**ELECTION ASSISTANCE COMMISSION**

**GOVERNMENT OF GUAM**

414 WEST SOLEDAD AVE, SUITE 200, HAGATNA 969105067, GU 969105067

<table>
<thead>
<tr>
<th>4a. DUNS Number</th>
<th>4b. EIN</th>
<th>5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)</th>
<th>6. Report Type</th>
<th>7. Basis of Accounting</th>
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**Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment)**

**Recipient Organization (Name and complete address including Zip code)**

**Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)**

**Project/Grant Period (Month, Day, Year)**

From: March 28, 2020
To: December 31, 2020

**Reporting Period End Date (Month, Day, Year)**

September 30, 2021

**Transactions**

Cumulative

**Federal Cash (To report multiple grants separately, also use FFR Attachment):**

- a. Cash Receipts $600,000.00
- b. Cash Disbursements $600,000.00
- c. Cash on Hand (line a minus b) $0.00

**Federal Expenditures and Unobligated Balance:**

- d. Total Federal funds authorized $600,000.00
- e. Federal share of expenditures $600,000.00
- f. Federal share of unliquidated obligations $0.00
- g. Total Federal share (sum of lines e and f) $600,000.00
- h. Unobligated balance of Federal funds (line d minus g) $0.00

**Recipient Share:**

- i. Total recipient share required $0.00
- j. Recipient share of expenditures $0.00
- k. Remaining recipient share to be provided (line i minus j) $0.00

**Program Income:**

- l. Total Federal share of program income earned $437.54
- m. Program income expended in accordance with the deduction alternative $0.00
- n. Program income expended in accordance with the addition alternative $437.54
- o. Unexpended program income (line l minus line m and line n) $0.00

**Indirect Expense**

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- g. Totals: $0.00 $0.00 $0.00

**Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:**

*Please provide the following information:

13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)

<table>
<thead>
<tr>
<th>Pangelinan, Maria</th>
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<tbody>
<tr>
<td>Executive Director</td>
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<tr>
<th>Pangelinan, Maria</th>
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<td>January 31, 2022</td>
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**Paperwork Burden Statement**

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCI/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

**Report Status: Awarding Agency Approval**
Federal Agency & Organization: ELECTION ASSISTANCE COMMISSION

<table>
<thead>
<tr>
<th>Federal Grant ID</th>
<th>Recipient Organization</th>
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<tr>
<td>DUNS Number</td>
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<tr>
<td>DUNS Status when Certified</td>
<td>September 30, 2021</td>
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<tr>
<td>EIN</td>
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<tr>
<td>Reporting Period End Date</td>
<td>September 30, 2021</td>
</tr>
<tr>
<td>Status</td>
<td>Awarding Agency Approval</td>
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| Remarks          | "Please provide the following information:
State interest earned (current fiscal year): $0
State interest expended (current fiscal year): $0
Program income earned (current fiscal year): $0
Program income earned breakdown (current fiscal year): $0
Program income expended (current fiscal year): $0"

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**Federal Agency Review**

Reviewer Name
Phone #
Email
Review Date
Review Comments
3. EAC Progress Report

1. State or Territory:
   Guam

2. Grant Number:
   GU20101CARES

3. Report:
   Final (Start of Grant - End)

4. Grant:
   CARES

5. Reporting Period Start Date
   03/28/2020

6. Reporting Period End Date
   09/30/2021

4. Progress and Narrative

Final Progress Report:

The final report is your opportunity to share the significant features of your project and present information about the results your project achieved. It should be written as if the reader has no previous knowledge of your project’s activities. The report should cover the entire period of performance.

Review and Self-Assessment:
Review and highlight all activities that occurred during the implementation of the project, including an assessment of your performance.

Guam Public Law 35-95 was passed on July 20, 2020, which gave all registered voters the option to vote absentee ahead of election day in an effort to minimize the spread of COVID-19. Additional office space was leased and temporary, full-time election clerks were recruited to assist with in-office absentee voting, which began on July 30, 2020 and ended (early) on August 20, 2020 when the island was placed on lockdown by the Governor as a result of a significant spike in COVID-19 cases. During the in-office absentee voting period, the GEC saw a 60% increase in in-office absentee voting. The 2020 Primary Election was cancelled by the enactment of Public Law 35-96 on August 28, 2020, just one day before the election was scheduled to occur.

Public Law 35-96 also increased the number of days for in-office absentee voting for the 2020 General Election from thirty days to forty-five days (in an effort to reduce the number of voters who would vote on election day at polling sites while still encouraging voter participation) and required the GEC to adopt a Safety Plan. The Safety Plan included increased curbside voting capacity and a schedule of four satellite voting outreach events resulting in a 1073% growth in the number of in-office absentee voters.

Although a great effort was made to encourage voter participation and protocols were put in place to conduct the election in a manner that was safe for the public, the 2020 General Election resulted in a record low voter participation of 52%.

A runoff election for Guam’s Non-Voting Delegate to the U.S. House of Representatives was held on November 17, 2020, fourteen days after the General Election, as required by federal law. Since the Primary Election was cancelled due to the public health emergency, all three candidates for delegate advanced to the General Election with no one garnering the required majority of votes. In-office absentee voting was afforded to Guam’s voters and all twenty-two polling sites were set up for Guam’s sixty-seven voting precincts but resulted in only a 31% voter turnout.

Although voter participation was so low, the GEC was prepared for 100% voter turnout. Poll workers were trained, additional election day workers were hired, supplies were procured, and critical election partners provided services to ensure the elections were conducted safely.

The ever-changing pandemic environment posed a significant challenge to the GEC during the 2020 election cycle. The funding provided through the CARES grant allowed the GEC to not only conduct the elections amidst the pandemic but also do so in a manner that protected the public safety. GEC was successful in its efforts to conduct the 2020 election cycle in a manner that minimized the spread of COVID-19.

7. CARES Grant Specific:

Describe in detail how you used the funds to address the pandemic.

CARES grant funding was used to hire additional staff, procure supplies, and rent facilities necessary to conduct In Office Absentee Voting; to purchase personal protective equipments (PPEs) for election workers and voters; to hire additional election workers for election day; and the pre- and post-election cleaning of polling places.

8. Describe the major issues you faced in dealing with the pandemic and how you addressed or resolved those issues.

One major issue faced in dealing with the pandemic in the 2020 election cycle was the limited supplies and services caused by the mandated shutdown as a result of the public health emergency. Critical election partners were unable to provide services and many local vendors were unable to fulfill the demand for PPEs and sanitizing agents necessary to minimize the spread of COVID-19.

Another major issue was the challenge of hiring election workers. In addition to the 335 poll workers that are hired in normal election cycles, the GEC hired election workers to conduct In Office Absentee Voting and additional poll workers for election day to screen voters at polling site entrances, sanitize frequently touched surfaces, and assist with curbside voting. Hiring was difficult as individuals were not willing to risk exposure to the virus or they contracted the virus and were no longer able to work.
9. Provide a description of any training conducted, including security training.

Training from Guam Department of Public Health and Social Services relative to preventing the spread of COVID-19

Precinct official training for each election (Primary, General, Runoff; to include new protocols in place to prevent virus spread)

Additional election workers training - temporary, full-time election staff hired for expanded In Office Absentee Voting; additional election day workers for screening and sanitization

10. Subgrants:

Did your office provide subawards to local jurisdictions during this reporting period?

No

11. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure table.

N/A

12. Impact:

Write an assessment of how your project has impacted the problems you were trying to solve. Were there unexpected benefits? Shortfalls?

All the activities funded with the CARES Grant impacted the challenge of minimizing the spread of COVID. Some unexpected benefits of changes that were made in response to the pandemic was the streamlining and improvement of election processes. One shortfall would be the low voter turnout for the General and Runoff elections, even after all the additional protocols were put in place to conduct the election in a manner that was safe for the public.

13. Lessons Learned:

Provide a review of your successes and suggest ways that your experience may be helpful to others. Did you make permanent changes to your processes? What are some areas of potential improvement?

Major modifications were made to train all Election Workers while following COVID-19 restrictions, which is now a permanent change to our training model. GEC created and produced Precinct Official Training videos, which the Commission had never attempted before. These videos recreated real life scenarios and highlighted common issues the officials might encounter on election day. They were made to be concise yet fully informative and were uploaded to the GEC website for future reference by any interested officials. Any person invited to training was instructed to watch the videos beforehand to prepare themselves for testing. The goal was to create training methods that could be easily adjustable and practical for future use.

The GEC's main objective is to provide the citizens of Guam a fair, honest, and orderly election process. To meet that objective for the 2020 election cycle, the GEC had to research, reflect, implement, and adapt to the ever-changing pandemic environment. This resulted in the expansion of existing programs and the implementation of new services (such as more robust curbside and homebound voting services) and new methods in the election process.

One area of potential improvement would be to take the experience of the expanded In Office Absentee Voting period of the 2020 election cycle and work with the Guam Legislature to allow for vote centers to encourage early voting and, hopefully, higher voter turnout.

Another area for potential improvement would be to expand GEC's remote services. The pandemic highlighted a number of services that could be offered online such as the issuance of candidate packets and voter certifications and the filing of reports. The GEC continues to identify other services that can be offered remotely.
5. Expenditures

14. CARES COST CATEGORIES - FEDERAL

- Voting Equipment and Processes: $113265
- Post-Election Auditing: $0
- Voter Registration Systems: $0
- Cyber Security: $0
- Voter Education/Communications: $0
- Accessibility: $0
- Staffing: $308571
- Supplies: $47483
- Training: $74748
- Subgrants: $0
- Indirect Costs (If applicable, FFR Line 11): $0
- Unliquidated Obligations (If applicable, FFR Line 10f): $0
- Other (Specify below): $56370

Total: $600437

Comments: Facilities (polling room for expanded early in-office absentee voting; office space for candidate filing and curbside voting; training venue)

7. Expenditures

15. Confirm Total CARES Expenditure Amounts

Federal: $600437
Match: $0.00
Total: $600437

OMB CONTROL NUMBER: 3265-0020

8. Certification

Name and Contact of the authorized certifying official of the recipient.

First Name
Maria

Last Name
Pangelinan

Title
Executive Director

Phone Number
16. Add another contact to send a copy of submission confirmation and edit link?
Yes!

17.

First Name
Elizabeth

Last Name
Santos

Title
Program Coordinator

Email Address

Signature of Certifying Official:

Signature of: Maria Pangelinan

9. Report Submitted to EAC

Thank you. Your Final (Start of Grant - End) progress report for CARES has been submitted to the EAC. Please keep the PDF download of your submission as grant record.