

FEDERAL FINANCIAL REPORT

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted ELECTION ASSISTANCE COMMISSION				2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) GU20101CARES				
3. Recipient Organization (Name and complete address including Zip code) GOVERNMENT OF GUAM 414 WEST SOLEDAD AVE, SUITE 200,, HAGATNA 969105067, GU 969105067								
4a. DUNS Number	4b. EIN	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)		6. Report Type	7. Basis of Accounting			
				<input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	<input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual			
8. Project/Grant Period (Month, Day, Year) From: March 28, 2020				9. Reporting Period End Date (Month, Day, Year) December 31, 2020				
To: December 31, 2020								
10. Transactions					Cumulative			
<i>(Use lines a-c for single or combined multiple grant reporting)</i>								
Federal Cash (To report multiple grants separately, also use FFR Attachment):								
a. Cash Receipts					\$600,000.00			
b. Cash Disbursements					\$597,387.77			
c. Cash on Hand (line a minus b)					\$2,612.23			
<i>(Use lines d-o for single grant reporting)</i>								
Federal Expenditures and Unobligated Balance:								
d. Total Federal funds authorized					\$600,000.00			
e. Federal share of expenditures					\$597,387.77			
f. Federal share of unliquidated obligations					\$2,612.23			
g. Total Federal share (sum of lines e and f)					\$600,000.00			
h. Unobligated balance of Federal funds (line d minus g)					\$0.00			
Recipient Share:								
i. Total recipient share required					\$0.00			
j. Recipient share of expenditures					\$0.00			
k. Remaining recipient share to be provided (line i minus j)					\$0.00			
Program Income:								
l. Total Federal share of program income earned					\$432.84			
m. Program income expended in accordance with the deduction alternative					\$0.00			
n. Program income expended in accordance with the addition alternative					\$0.00			
o. Unexpended program income (line l minus line m and line n)					\$432.84			
11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share	
g. Totals:					\$0.00	\$0.00	\$0.00	
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation: State interest earned (current fiscal year): \$0								
13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)								
a. Typed or Printed Name and Title of Authorized Certifying Official Pangelinan, Maria Executive Director					c. Telephone (Area code, number, and extension)			
					d. Email Address			
b. Signature of Authorized Certifying Official Pangelinan, Maria					e. Date Report Submitted (Month, Day, Year) March 9, 2021			

Standard Form 425
OMB Approval Number: 4040-0014
Expiration Date: 02/28/2022

Paperwork Burden Statement

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

FEDERAL FINANCIAL REPORT

(Additional Page)

Federal Agency & Organization : ELECTION ASSISTANCE COMMISSION

Federal Grant ID : GU20101CARES

Recipient Organization : GOVERNMENT OF GUAM
414 WEST SOLEDAD AVE, SUITE 200,, HAGATNA 969105067, GU 69105067 :

DUNS Number

EIN

Reporting Period End Date : December 31, 2020

Status : Awarding Agency Approval

Remarks : State interest earned (current fiscal year): \$0
State interest expended (current fiscal year): \$0
Program income earned (current fiscal year): \$0
Program income earned breakdown (current fiscal year): \$0
Source: n/a

EAC Progress Report

Response ID:189 Data

1. Login

Please enter your userword and password to begin the Progress Narrative. If you require assistance or have any questions, please contact grants@eac.gov

2. Verification

reCAPTCHA

reCAPTCHA answered

3. EAC Progress Report

1. State or Territory:

Guam

2. Grant Number:

GU20101CARES

3. Report:

CARES (Off-cycle report only due February 28, 2021)

4. Grant:

Please select only one.

CARES

5. Reporting Period Start Date

03/28/2020

6. Reporting Period End Date

12/31/2020

7. DUNS/UEI:

8. EIN:

9. Recipient Organization:

Organization Name

Guam Election Commission

Street Address

414 West Soledad Avenue, Gcic Building

City

Hagatna

State

GU

Zip

96910

4. Progress and Narrative

10. CARES Grant Specific:

Describe how you used the funds to address the pandemic.

CARES grant funding was used to hire additional staff, procure supplies, and rent facilities necessary to conduct In Office Absentee Voting; to purchase personal protective equipment (PPEs) for election workers and voters; to hire additional election workers for election day; and the pre- and post-election cleaning of polling places.

11. Describe the major issues you faced in dealing with the pandemic in the election cycle.

One major issue faced in dealing with the pandemic in the election cycle was the limited supplies and services caused by the mandated shutdown as a result of the public health emergency. Critical election partners were unable to provide services and many local vendors were unable to fulfill the demand for PPEs and sanitizing agents necessary to minimize the spread of COVID-19.

Another major issue was the challenge of hiring election workers. In addition to the 335 poll workers that are hired in normal election cycles, the GEC hired election workers to conduct In Office Absentee voting and additional poll workers for election day to screen voters at polling site entrances, sanitize frequently touched surfaces, and assist with curbside voting. Hiring was difficult as individuals were not willing to risk exposure to the virus or they contracted the virus and were no longer able to work.

12. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities.

Guam Public Law 35-95 was passed on July 20, 2020, which gave all registered voters the option to vote absentee ahead of election day in an effort to minimize the spread of COVID-19. Additional office space was leased and temporary, full-time election clerks were recruited to assist with in-office absentee voting, which began on July 30, 2020 and ended (early) on August 20, 2020 when the island was placed on lockdown by the Governor as a result of a significant spike in COVID-19 cases. During the in-office absentee voting period, the GEC saw a 60% increase in in-office absentee voting. The 2020 Primary Election was cancelled by the enactment of Public Law 35-96 on August 28, 2020, just one day before the election was scheduled to occur.

Public Law 35-96 also increased the number of days for in-office absentee voting for the 2020 General Election from thirty days to forty-five days (in an effort to reduce the number of voters who would vote on election day at polling sites while still encouraging voter participation) and required the GEC to adopt a Safety Plan. The Safety Plan included increased curbside voting capacity and a schedule of four satellite voting outreach events resulting in a 1073% growth in the number of in-office absentee voters.

Although a great effort was made to encourage voter participation and protocols were put in place to conduct the election in a

manner that was safe for the public, the 2020 General Election resulted in a record low voter participation of 52%.

A runoff election for Guam's Non-Voting Delegate to the U.S. House of Representatives was held on November 17, 2020, fourteen days after the General Election, as required by federal law. Since the Primary Election was cancelled due to the public health emergency, all three candidates for delegate advanced to the General Election with no one garnering the required majority of votes. In-office absentee voting was afforded to Guam's voters and all twenty-two polling sites were set up for Guam's sixty-seven voting precincts but resulted in only a 31% voter turnout.

Although voter participation was so low, the GEC was prepared for 100% voter turnout. Poll workers were trained, additional election day workers were hired, supplies were procured, and critical election partners provided services to ensure the elections were conducted safely.

13. Provide a timeline and description of project activities funded to meet HAVA requirements. Provide an analysis of how such activities conform to the submitted State Plan or Program Narrative as applicable.

CARES funding was used to "prevent, prepare for, and respond to coronavirus."

Timeline and description of project activities:

April 2020: GEC offices were sanitized; additional office space leased on the first floor to better accommodate GEC customers; sneeze guards and PPEs were purchased; GEC employees familiarized themselves with guidance from the CDC and Guam Department of Public Health and Social Services on preventing the spread of COVID-19

May 11, 2020 - June 30, 2020: candidate filing period; candidate packets were accepted in temporary GEC office space which was located on the ground floor (eliminating elevator use) and accommodated for social distancing protocols

July 30, 2020 - August 20, 2020: In-Office Absentee voting period for 2020 Primary election; additional absentee voting materials purchased in anticipation of higher volume of absentee ballot requests; curbside voting offered in an effort to minimize spread of COVID-19 and accommodate for voters with disabilities; cleaning supplies, protective masks, and other related equipment for staff and voters were procured; additional staff hired; new sanitization procedures for in-person voting adopted; daily (except Sundays) training for precinct officials and additional election workers

September 19, 2020 - October 30, 2020: In-office absentee voting period for 2020 General Election; expanded curbside voting capacity; satellite voting outreach events offered to encourage voter participation; conducted precinct official training; pre-election day deep cleaning of polling places

November 3, 2020: General Election Day; additional safety protocols to minimize spread of COVID-19: screenings at entrance to polling sites, social distancing markers throughout polling places, additional election workers to sanitize polling rooms and assist with expanded curbside voting; Election Return Center changes: limited number of precinct officials in facility, frequent sanitization, and masks/social distancing protocols in place

November 4, 2020 - November 16, 2020: post-General election day deep cleaning of polling places and pre-Runoff Election day deep cleaning of polling places

November 9, 2020 - November 16, 2020: In-office absentee voting period for 2020 Runoff Election for Guam's Non-Voting Delegate to the U.S. House of Representatives; expanded curbside voting capacity; rental of larger venue for precinct official training to accommodate social distancing within a shorter timeframe

November 17, 2020 - Runoff Election day; additional safety protocols to minimize spread of COVID-19: screenings at entrance to polling sites, social distancing markers throughout polling places, additional election workers to sanitize polling rooms and assist with expanded curbside voting; Election Return Center changes: limited number of precinct officials in facility, frequent sanitization, and masks/social distancing protocols in place

November 18, 2020 - November 30, 2020: post-election day deep cleaning of polling places

14. Describe any significant changes to your program during the course of the project, or if the project was implemented differently than described in your original State Plan or Program Narrative.

Otherwise enter - no significant changes during this period.

No significant changes during this period.

15. Describe any favorable developments which enabled meeting time schedules and objectives sooner or at less cost than anticipated or producing more or different beneficial results than originally planned.

Otherwise enter N/A.

N/a.

16. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure table.

Otherwise enter - No articles of voting equipment purchased during this period.

No articles of voting equipment purchased during this period.

17. Provide a description of any security training conducted and the number of participants.

Otherwise enter - no security training conducted during this period.

Training from Guam Department of Public Health and Social Services relative to preventing spread of COVID-19: 15 participants (GEC permanent staff)

Precinct official training for each election (Primary, General, Runoff; to include new protocols in place to prevent virus spread): 340 participants (conducted over several weeks)

Additional election workers training - temporary, full-time election staff hired for expanded In-Office Absentee voting; additional election day workers for screening and sanitization: 150 (conducted over several weeks)

18. Subgrants (if applicable):

Describe how you made funds available to local jurisdictions.

Provide a description of the major categories of subgrant activities local voting districts will accomplish with the funds.

Otherwise enter N/A.

N/a.

19. Match (if applicable):

Describe how you are meeting the matching requirement.

Otherwise enter - match not required.

Match not required.

20. Issues Encountered:

Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if appropriate. Address each issue separately in its own section, and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

Otherwise enter - no issues encountered.

No issues encountered.

21. Upcoming Activities:

Provide a timeline and description of upcoming activities.

No upcoming activities as the federal expenditure deadline was December 31, 2020.

5. Expenditures

22. Current Period Amount Expended and Unliquidated Obligations

CARES COST CATEGORIES

	Federal	Match
Voting Processes:	\$113,264.90	\$0.00
Staffing:	\$308,571.09	\$0.00
Security and Training:	\$74,748.48	\$0.00
Communications:	\$0.00	\$0.00
Supplies:	\$47,045.88	\$0.00
Total	\$600,000.00	\$0.00
Facilities (polling room for expanded early in-office absentee voting; office space for candidate filing and curbside voting; training venue)	\$56,369.65	\$0.00

OMB CONTROL NUMBER: 3265-0020

6. Certification

Name and Contact of the authorized certifying official of the recipient.

First Name

Maria

Last Name

Pangelinan

Title

Executive Director

Phone Number

Email Address

Signature of Certifying Official:



Signature of: Maria I.D. Pangelinan

7. Report Submitted to EAC



Thank you, your progress report has been submitted to EAC. Please keep the PDF download of your submission as grant record.