

FEDERAL FINANCIAL REPORT

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted ELECTION ASSISTANCE COMMISSION				2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) WI20101001			
3. Recipient Organization (Name and complete address including Zip code) Elections Commission, Wisconsin 212 E Washington Ave Fl 3, Madison, WI 537034232							
4a. DUNS Number	4b. EIN	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)		6. Report Type	7. Basis of Accounting		
				<input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Semi-Annual <input type="checkbox"/> Annual <input type="checkbox"/> Final	<input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual		
8. Project/Grant Period (Month, Day, Year) From: March 28, 2018				9. Reporting Period End Date (Month, Day, Year) September 30, 2019			
				March 31, 2021			
10. Transactions					Cumulative		
<i>(Use lines a-c for single or combined multiple grant reporting)</i>							
Federal Cash (To report multiple grants separately, also use FFR Attachment):							
a. Cash Receipts					\$14,828,442.00		
b. Cash Disbursements					\$7,631,924.41		
c. Cash on Hand (line a minus b)					\$7,196,517.59		
<i>(Use lines d-o for single grant reporting)</i>							
Federal Expenditures and Unobligated Balance:							
d. Total Federal funds authorized					\$14,828,442.00		
e. Federal share of expenditures					\$7,631,924.41		
f. Federal share of unliquidated obligations					\$253,107.34		
g. Total Federal share (sum of lines e and f)					\$7,885,031.75		
h. Unobligated balance of Federal funds (line d minus g)					\$6,943,410.25		
Recipient Share:							
i. Total recipient share required					\$1,918,941.00		
j. Recipient share of expenditures					\$1,918,941.00		
k. Remaining recipient share to be provided (line i minus j)					\$0.00		
Program Income:							
l. Total Federal share of program income earned					\$279,932.47		
m. Program income expended in accordance with the deduction alternative					\$0.00		
n. Program income expended in accordance with the addition alternative					\$0.01		
o. Unexpended program income (line l minus line m and line n)					\$279,932.46		
11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
g. Totals:					\$0.00	\$0.00	\$0.00
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation: Please provide the following information:							
13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)							
a. Typed or Printed Name and Title of Authorized Certifying Official Billingham, Julia WEC Senior Accountant				c. Telephone (Area code, number, and extension)			
				d. Email Address			
b. Signature of Authorized Certifying Official Billingham, Julia				e. Date Report Submitted (Month, Day, Year) April 30, 2021			

Standard Form 425
OMB Approval Number: 4040-0014
Expiration Date: 02/28/2022

Paperwork Burden Statement

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

FEDERAL FINANCIAL REPORT

(Additional Page)

Federal Agency & Organization : ELECTION ASSISTANCE COMMISSION

Federal Grant ID : WI20101001

Recipient Organization : Elections Commission, Wisconsin
212 E Washington Ave Fl 3, Madison, WI 537034232 :

DUNS Number

DUNS Status when Certified : ACTIVE (as of 04/30/2021)

EIN :

Reporting Period End Date : March 31, 2021

Status : Report Certified/Pending Agency Approval

Remarks : Please provide the following information:

State interest earned (current fiscal year): \$0 (WEC does not earn interest on state share as match comes from current budget authority)

State interest expended (current fiscal year): \$0

Program income earned (current fiscal year): \$0

Program income earned breakdown (current fiscal year): N/A

Program income expended (current fiscal year): \$0

Federal Agency Review

Reviewer Name :

Phone # :

Email :

Review Date :

Review Comments :

EAC Progress Report

Response ID:272 Data

1. Login

2. Verification

3. EAC Progress Report

1. State or Territory:

Wisconsin

2. Grant Number:

WI20101001-01

3. Report:

Semi-Annual (Oct 1 - March 31)

4. Grant:

Please select only one.

Election Security

5. Reporting Period Start Date

10/01/2020

6. Reporting Period End Date

03/31/2021

7. Recipient Organization:

Organization Name

Wisconsin Elections Commission

Street Address

212 East Washington Avenue, 3rd Floor

City

Madison

State

WI

Zip

53707-7984

4. Progress and Narrative

8. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities.

During the reporting period of October 1, 2020 – March 31, 2021 the Wisconsin Elections Commission utilized the Help America Vote Act Election Security Grant funds appropriated to Wisconsin to improve the administration and security of Federal elections to assist our 1,850 local elections officials and 72 county election officials to improve their security posture in preparation for the federal election cycle and continuing to implement security measures into our statewide voter registration system. Wisconsin received \$14,828,442 in HAVA Elections Security funds.

WEC staff used HAVA security grant funds to maintain a variety of systems and services to monitor the health of local devices and networks accessing election data. For example:

- A multifactor authentication system consisting of thousands of physical "keys" helps safeguard local user credentials and validate each attempt to access election systems.
- Comprehensive endpoint testing provides continuous monitoring of local devices 24/7/365 to alert both users and state cybersecurity staff of malicious software, out-of-date software, overdue firmware upgrades, and even operating system status.
- Security information and event management (SIEM) products monitor, analyze, and aggregate all traffic on the statewide voter registration system to detect protocol anomalies, zero-day threats, polymorphic code, or other potentially malicious activity.
- Use of password manager tools and dedicated privileged access systems have improved the security of administrative systems and shared access data.
- Redundancy of the statewide voter registration system was increased by 50% and logging of all network traffic similarly increased ahead of the 2020 General Election.

Updates to the Statewide Voter Registration System

Since the November 2020 General Election, agency staff have built and implemented more than 100 improvements to the statewide voter registration system. Most recently, staff deployed additional safeguards for confidential voter information to enhance the privacy of residents granted a protective order as a victim of abuse, assault, or stalking. Another recent change is the creation of improved alerts and tracking tools to identify deceased voters or possible felons in the database. Finally, the agency also deployed numerous address validation improvements to enhance the accuracy of data in the statewide system.

Post-Election Auditing

The Wisconsin Election Commission conducted post-election audits of electronic voting equipment after the November General election. The established 2020 audit criteria were as follows:

1. Establish the audit sample as 5% of all reporting units statewide for a minimum of 184 total audits.
2. Ensure that at least one (1) piece of voting equipment is selected for audit in each of the 72 Wisconsin counties.
3. Ensure that a minimum of five (5) reporting units are selected for each piece of equipment

Over 6 days in November, county and municipal clerks directed the hand tally auditing of more than 145,000 ballots from the November 2020 General Election. The findings of the 2020 Post-Election Voting Equipment Audit showed that there was no evidence that any voting equipment subject to audit and used in the 2020 General Election in Wisconsin changed votes from one candidate to another, incorrectly tabulated votes, or altered vote totals in any way.

With very limited exceptions, tabulation and accessible voting equipment used in the 2020 General Election recorded and tabulated votes in a manner that satisfied certification standards and Wis. Stat. § 7.08(6). The audit results indicated that improvements can be made in both administrative procedures training and equipment programming requirements. The few discrepancies identified during the audit were primarily the result of human error that occurred as part of the process of conducting the audit. Additionally, the results of the audit did identify a single issue which impacted equipment in two selected reporting units. This issue was addressed by the Commission and resulted in an amendment to the certification for one previously certified system version. All selected jurisdictions were eligible for reimbursement for costs incurred while conducting the audit and agency staff received, reviewed and processed those reimbursement requests.

Staffing

The Wisconsin Elections Commission continues to utilize grant funds for staffing federal project positions to facilitate elections security projects as well as for IT developers who upgrade the statewide voter registration system.

9. Describe any significant changes to your program during the project, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.

Otherwise enter N/A.

N/A

10. Issues Encountered:

Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if applicable. Address each issue separately and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

Otherwise enter N/A.

N/A

11. Provide a description of any security training conducted.

Otherwise enter N/A.

Elections Security Training

192 municipal clerks completed interactive Security Awareness training tutorials on election and cyber security topics. The tutorials were incorporated into the WisVote learning center and new users are required to take these tutorials prior to gaining access to the system. The security training videos include: Securing WisVote – The Basics, WisVote Access Policy, Phishing Facts, Password Protocols, Browsing Safely and Computer Safeguards.

27 clerk subgrantees also participated in the CISA Cybersecurity and Phishing Webinar in order to meet compliance standards of the subgrant.

12. Subgrants (if applicable):

Describe how you made funds available to local jurisdictions.

Provide a description of the major categories of subgrant activities local voting districts will accomplish with the funds.

Otherwise enter N/A.

N/A

13. Match (if applicable):

Describe how you are meeting the matching requirement.

Otherwise enter - match not required.

During this past semi-annual reporting period, the Wisconsin Elections Commission has fully met its required state match for our Election Security grant, having spent an additional \$50,667 in state funds. Of that additional \$50,667, 54% was spent on Voter Registration Systems, 42% on Post-Election Auditing, and 4% on Cyber Security.

5. Expenditures

14. Current Period Amount Expended and Unliquidated Obligations

GRANT COST CATEGORIES

	Federal	Match
Voting Equipment and Processes:	\$33,764.87	
Post-Election Auditing:	\$13,152.54	\$21,042.25
Voter Registration Systems:	\$293,118.14	\$27,406.92
Cyber Security:	\$350,576.38	\$2,217.72
Communications:	\$40,899.10	
Total	\$835,200.50	\$50,666.89
General (Accounting / IT Project Management, etc.)	\$103,689.47	
Others (describe)	\$0.00	

6. Certification

Name and Contact of the authorized certifying official of the recipient.

First Name

Julia

Last Name

Billingham

Title

Senior Accountant

Phone Number

Email Address

Signature of Certifying Official:

J Billingham

Signature of: Julia Billingham