

FEDERAL FINANCIAL REPORT

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted ELECTION ASSISTANCE COMMISSION					2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) WA20101001				
3. Recipient Organization (Name and complete address including Zip code) Secretary of State, Washington 416 Sid Snyder Ave SW, Olympia, WA 985011347									
4a. DUNS Number		4b. EIN		5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)		6. Report Type <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final		7. Basis of Accounting <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual	
8. Project/Grant Period (Month, Day, Year) From: March 28, 2018 To: September 30, 2019						9. Reporting Period End Date (Month, Day, Year) September 30, 2020			
10. Transactions								Cumulative	
(Use lines a-c for single or combined multiple grant reporting)									
Federal Cash (To report multiple grants separately, also use FFR Attachment):									
a. Cash Receipts								\$16,805,723.00	
b. Cash Disbursements								\$0.00	
c. Cash on Hand (line a minus b)								\$16,805,723.00	
(Use lines d-o for single grant reporting)									
Federal Expenditures and Unobligated Balance:									
d. Total Federal funds authorized								\$16,805,723.00	
e. Federal share of expenditures								\$5,818,635.29	
f. Federal share of unliquidated obligations								\$0.00	
g. Total Federal share (sum of lines e and f)								\$5,818,635.29	
h. Unobligated balance of Federal funds (line d minus g)								\$10,987,087.71	
Recipient Share:									
i. Total recipient share required								\$2,175,644.87	
j. Recipient share of expenditures								\$488,505.50	
k. Remaining recipient share to be provided (line i minus j)								\$1,687,139.37	
Program Income:									
l. Total Federal share of program income earned								\$303,368.83	
m. Program income expended in accordance with the deduction alternative								\$0.00	
n. Program income expended in accordance with the addition alternative								\$0.00	
o. Unexpended program income (line l minus line m and line n)								\$303,368.83	
11. Indirect Expense		a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share	
					g. Totals:	\$0.00	\$0.00	\$0.00	
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation: o State Interest Earned: \$665.87									
13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)									
a. Typed or Printed Name and Title of Authorized Certifying Official Holmes, Stuart Deputy Director of Elections						c. Telephone (Area code, number, and extension) d. Email Address s			
b. Signature of Authorized Certifying Official Holmes, Stuart						e. Date Report Submitted (Month, Day, Year) December 29, 2020			

Standard Form 425
OMB Approval Number: 4040-0014
Expiration Date: 02/28/2022

Paperwork Burden Statement

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

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(Additional Page)

Federal Agency & Organization : ELECTION ASSISTANCE COMMISSION

Federal Grant ID : WA20101001

Recipient Organization : Secretary of State, Washington
416 Sid Snyder Ave SW, Olympia, WA 985011347:

DUNS Number :

DUNS Status when Certified :

EIN :

Reporting Period End Date : September 30, 2020

Status : Awarding Agency Approval

Remarks : o State Interest Earned: \$665.87
o State Interest Expended: \$0
o Program Income Earned: \$0
o Program Income Earned Source: \$0
o Program Income Expended: \$0

Federal Agency Review

Reviewer Name :

Phone # :

Email :

Review Date :

Review Comments :

EAC Progress Report

Response ID:39 Data

1. Login

Please enter your userword and password to begin the Progress Narrative. If you require assistance or have any questions, please contact grants@eac.gov

2. Verification

3. EAC Progress Report

1. State or Territory:

Washington

2. Grant Number:

WA2010100101

3. Report:

Annual (Oct 1 - Sept 30)

4. Grant:

Please select only one.

Election Security

5. Reporting Period Start Date

10/01/2019

6. Reporting Period End Date

09/30/2020

7. DUNS/UEI:

8. EIN:

9. Recipient Organization:

Organization Name

Washington State - Office Of The Secretary Of State

Street Address

520 Union Ave Se

City

Olympia

State

WA

Zip

98501-1429

4. Progress and Narrative

10. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities.

Washington received \$16,805,723 in combined security funding. The security funding was matched with State appropriated funding of \$2,174,979, for a total of \$18,980,702. The security funding will be used to enhance election technology and make election security improvements. Primary Security Improvement goals for the use of the funding were determined; the goals are to invest in a Security Operations Center (SOC) and harden the State Elections processes from cyber security vulnerabilities. The plan includes purchasing monitoring sensors, security and event management software, upgrading firewalls and multi-factor authentication within the system, staffing the SOC, and adding needed hardware. Additionally, a goal was set for the SOC to review the security of all County Elections Departments throughout the State, and for the Certification & Training Team to provide security trainings Statewide. Each County was awarded \$100,000 plus \$0.9048 per registered voter of the grant funding to fill the site security assessment gaps and help with needed voting equipment. Total cumulative Life of both grants funding spent to date is \$6,307,140.79.

During the reporting period of October 2019 – September 2020, Washington:

- Purchased and delivered Albert Sensors (egress monitoring sensors)
- Performed individual security site visits to all 39 counties
- The SOC and Homeland Security completed individual detailed security analysis reports of all 39 counties
- Provided 9 days (total of 5.5 hours) of training sessions to 1,016 statewide participants
- Participated in a State table top exercise
- Assured security staff took the Cybersecurity Certification courses with both SANS and Pluralsight
- Continued use of multi-factor authentication service and hardware
- Continued work with the National Guard conducting assessments of the cyber critical infrastructure that support the State's election systems
- Continued use of Cyber systems to assist with: Security Information and Event Management; Backup and recovery; Network monitoring and analysis; Network Capture and aggregation; email threat protection; Endpoint Detection and Response; Configuration Assessment and Management; and data security
- Entered into a contract with Microsoft for guaranteed US-based support across Microsoft product platforms
- Executed sub-grant agreements with the 39 Counties
- Reviewed Scopes of Work and started sub-grant projects to improve security prior to the 2020 Presidential Election

11. Provide a timeline and description of project activities funded to meet HAVA requirements. Provide an analysis of how such activities conform to the submitted State Plan or Program Narrative as applicable.

As per the two program narratives Washington assured security assessments were performed by the SOC. The Counties were then awarded funding to assist them in addressing the security needs determined in the security site reviews. Security training was provided throughout the performance period.

October 2019

SOC security site review assessment 1 performed

November 2019

SOC security site review assessments 3 performed
 December 2019
 SOC security site review assessment 1 performed
 January 2020
 SOC security site review assessments 12 performed
 2020 HAVA Election Security Grant announcement \$8,860,638 Federal award \$1,772,128 match New Total HAVA Security Funding \$16,768,406 Federal \$2,167,516 State match Total \$18,935,922
 February 2020

March 2020
 SOC security site review assessments 3 performed
 April 2020
 SOC security site review assessments 19 performed
 2020 HAVA Election Security Grant Narrative submitted to EAC
 May 2020
 April lessons learned / Grants 2020 .5 hours 148 participants
 2020 HAVA Election Security Sub – Grant agreements executed \$7,974,575 of 2020 HAVA security grant to be sub-awarded to the 39 counties
 June 2020
 Crisis Communication Planning training 1 hour 178 participants
 Incident Response Planning Training 1 hour 186 participants
 COOP Planning training 1 hour 178 Participants
 July 2020
 2020 HAVA Scope of Works due to State Approved security projects started July – Sept 2020
 Universal Registration Training 1 hour 148 participants
 VoteWA Ballot setup training, Ballot Return training, Managing Addresses and Precincts training, Best Practices in Reconciliation and Certification training 1 hour 178 participants
 August 2020
 Federal Primary Election 8/4/2020
 September 2020

12. Describe any significant changes to your program during the course of the project, or if the project was implemented differently than described in your original State Plan or Program Narrative.

Otherwise enter - no significant changes during this period.

No significant changes during this period.

13. Describe any favorable developments which enabled meeting time schedules and objectives sooner or at less cost than anticipated or producing more or different beneficial results than originally planned.

Otherwise enter N/A.

N/A

14. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure table.

Otherwise enter - No articles of voting equipment purchased during this period.

- Runbeck Agilis Sorters – 2 Counties
- Verity Tabulation System / workstations – 5 Counties
- ClearBallot upgrade – 1 County
- Cannon Central Scanner – 1 County

15. Provide a description of any security training conducted and the number of participants.

Otherwise enter - no security training conducted during this period.

Training's are listed in the timeline.

16. Subgrants (if applicable):

Describe how you made funds available to local jurisdictions.

Provide a description of the major categories of subgrant activities local voting districts will accomplish with the funds.

Otherwise enter N/A.

75% (\$7,974,575) of the 2020 HAVA Security funding was sub-awarded to the 39 counties of Washington State to assist them in addressing the gaps in physical security needs determined in the security site reviews. The funding will additionally allow the counties to replace or upgrade voting equipment and help address cyber vulnerabilities. The local voting districts will accomplish projects within the Voting Equipment Replacement and Upgrades; Cyber Vulnerabilities; and Communications categories.

Albert Sensors have begun to be deployed throughout the State.

17. Match (if applicable):

Describe how you are meeting the matching requirement.

Otherwise enter - match not required.

The Washington State Legislature appropriated all matching funds for the HAVA Security Grants and the money has been placed with the HAVA grant funds in an interest bearing account.

18. Issues Encountered:

Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if appropriate. Address each issue separately in its own section, and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

Otherwise enter - no issues encountered.

No issues, (aside from the pandemic), encountered.

19. Upcoming Activities:

Provide a timeline and description of upcoming activities.

The 2021 schedule has not been set yet but the State Certification & Training will be providing a 20 hour Elections-101 course, an annual Elections Conference, and two Security Webinars in the spring. The SOC will continue security monitoring to all 39 Counties throughout the year.

5. Expenditures

20. Current Period Amount Expended and Unliquidated Obligations

GRANT COST CATEGORIES

	Federal	Match
Voting Equipment:	\$128,287.31	\$32,071.83
Post-Election Auditing:		
Voter Registration Systems:		
Cyber Security:	\$4,260.61	\$1,065.15
Communications:	\$1,882.78	\$470.70
Total	\$1,927,102.37	\$481,775.60
Operations	\$1,615,407.96	\$403,851.99
Security and Training	\$177,263.71	\$44,315.93

OMB CONTROL NUMBER: 3265-0020

6. Certification

Name and Contact of the authorized certifying official of the recipient.

First Name

Stuart

Last Name

Holmes

Title

Deputy Director of Elections

Phone Number

(360) 725-5794

Email Address

stuart.holmes@sos.wa.gov

Signature of Certifying Official:



Signature of: Stuart Holmes

7. Report Submitted to EAC



Thank you, your progress report has been submitted to EAC. Please keep the PDF download of your submission as grant record.