

Federal Financial Report
(Follow form Instructions)

OMB Number: 4040-0014
Expiration Date: 01/31/2019

1. Federal Agency and Organizational Element to Which Report is Submitted US ELECTION ASSISTANCE COMMISSION		2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) HAVA EAC 2018 Security Grant	
3. Recipient Organization (Name and complete address including Zip code) Recipient Organization Name: VERMONT SECRETARY OF STATE			
Street1: 128 STATE STREET		Street2:	
City: MONTPELIER		County: WASHINGTON	
State: VT: Vermont		Province:	
Country: USA: UNITED STATES		ZIP / Postal Code: 05633-11-1	
4a. DUNS Number	4b. EIN	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)	
6. Report Type <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input type="checkbox"/> Annual <input checked="" type="checkbox"/> Final	7. Basis of Accounting <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual	8. Project/Grant Period From: 03/22/2018 To: 09/30/2019	9. Reporting Period End Date 09/30/2019
10. Transactions			Cumulative
<i>(Use lines a-c for single or multiple grant reporting)</i>			
Federal Cash (To report multiple grants, also use FFR attachment):			
a. Cash Receipts			0.00
b. Cash Disbursements			0.00
c. Cash on Hand (line a minus b)			0.00
<i>(Use lines d-o for single grant reporting)</i>			
Federal Expenditures and Unobligated Balance:			
d. Total Federal funds authorized			3,000,000.00
e. Federal share of expenditures			1,057,596.99
f. Federal share of unliquidated obligations			0.00
g. Total Federal share (sum of lines e and f)			1,057,596.99
h. Unobligated balance of Federal Funds (line d minus g)			1,942,403.01
Recipient Share:			
i. Total recipient share required			150,000.00
j. Recipient share of expenditures			150,000.00
k. Remaining recipient share to be provided (line i minus j)			0.00
Program Income:			
l. Total Federal program income earned			63,867.37
m. Program Income expended in accordance with the deduction alternative			0.00
n. Program Income expended in accordance with the addition alternative			0.00
o. Unexpended program income (line l minus line m or line n)			63,867.37

11. Indirect Expense

a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
N/A	0.00	10/01/2018	09/30/2019	0.00	0.00	0.00
g. Totals:				0.00	0.00	0.00

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:

13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the Federal award. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729-3730 and 3801-3812).

a. Name and Title of Authorized Certifying Official

Prefix: First Name: James Middle Name: C

Last Name: Condos Suffix:

Title: Secretary of State

b. Signature of Authorized Certifying Official

James Condos

c. Telephone (Area code, number and extension)

d. Email Address

e. Date Report Submitted

01/13/2020

14. Agency use only:

MEMORANDUM

TO: U.S. Election Assistance Commission

FR: Will Senning, Director of Elections
Office of the Vermont Secretary of State

RE: September 30, 2019 HAVA 2018 Security Grant Title 1 Section 101 federal financial report—Additional Information

The following information is provided as a supplement to the **FFR 425** that accompanies this memorandum. This information covers the reporting period October 1, 2018 – September 30, 2019.

1. List of expenditures made with respect to each category of activities described for the use of funds in HAVA Title 1 Section 101:

Voting Equipment Replacement and Upgrades.	\$642,369.04
Election Auditing.	\$0.00
Voting Registration Systems and Management	\$0.00
Cyber Vulnerabilities.	\$81,784.00
Training.	\$6,415.17
Communication.	\$0.00
Additional categories to be identified by States.	\$4,098.50
Total Spending for Period 10/1/18-9/30/2019	\$734,666.71

1. The number and type of articles of voting equipment obtained with the funds:

Implementation of new Accessible Voting System at every polling place in Vermont during the reporting period, beginning with August 2018 Primary election at a cost of \$642,369.04. The new system is a tablet-based ballot-marking device that uses an off-the-shelf printer to print the voter's choices, made on the tablet, directly onto the appropriate ovals on the same pre-printed ballot that all other voters use.

The new system, replacing the previous Vote-by Phone system, which the state acquired following passage of the Help America Vote Act, is a significant improvement. The system is designed to serve people with a much broader range of disabilities, is easier to use and

increases the privacy and independence of the voting experience for voters with disabilities. Significantly, the system also includes an online component which will allow voters with disabilities to mark their ballot using the accessible interface from home during the 45-day early voting period without assistance. All votes are printed on a paper ballot, which is returned to the clerk by mail. We purchased 311 new voting units during the reporting year.

2. An analysis and description of the activities funded to meet HAVA requirements and how such activities conform to the submitted State plan:

We provided accessible voting system training regionally to Municipal Officials at a cost of \$1,755.17.

“Secure the human” online cyber-security webinar was required training that we provided to all town and city clerks in spring of 2018, prior to the 2018 elections, at a cost of \$7,742.00 (\$3,082 of this invoice has been charged to state funds as a portion of state match). Almost all clerks have taken the course and it received universally positive feedback. The State plans to continue providing additional rounds of this online webinar-based cyber security training to our town and city clerks.

We implemented two-factor authentication for all state and local users of the Vermont Election management System at a cost of \$81,784.

We produced and distributed to our local clerks an informational laminated poster on the accessible voting system for clerks to hang in their polling place at a cost of \$4,098.50.

Title I, Section 101, spending for the twelve months ending September 30, 2019, totaled \$734,666.71.

3. State spending – required match:

State match requirements were satisfied on January 10, 2020, in the amount of \$150,000.00, prior to the deadline of March 23, 2020. The match consists of the following invoices:

- 1) Democracy Live in the amount of \$138,000.00 (category 1),
- 2) SHI International Corp in the amount of \$8,918.00 (penetration testing - category 4); and
- 3) SHI International Corp in the amount of \$3,082 (online cyber security training for town clerks, category 5).