

FEDERAL FINANCIAL REPORT

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted ELECTION ASSISTANCE COMMISSION	2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) VI20101001
--	--

3. Recipient Organization (Name and complete address including Zip code)
Elections System of the Virgin Islands
93 Sunny Isles Anx Ste AB, Christiansted, VI 00823

4a. DUNS Number	4b. EIN	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)	6. Report Type <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	7. Basis of Accounting <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual
-----------------	---------	---	--	--

8. Project/Grant Period (Month, Day, Year) From: March 28, 2018 To: September 30, 2019	9. Reporting Period End Date (Month, Day, Year) September 30, 2020
---	--

10. Transactions Cumulative
(Use lines a-c for single or combined multiple grant reporting)

Federal Cash (To report multiple grants separately, also use FFR Attachment):	
a. Cash Receipts	\$1,200,000.00
b. Cash Disbursements	\$721,709.00
c. Cash on Hand (line a minus b)	\$478,291.00

(Use lines d-o for single grant reporting)

Federal Expenditures and Unobligated Balance:	
d. Total Federal funds authorized	\$1,200,000.00
e. Federal share of expenditures	\$721,709.00
f. Federal share of unliquidated obligations	\$0.00
g. Total Federal share (sum of lines e and f)	\$721,709.00
h. Unobligated balance of Federal funds (line d minus g)	\$478,291.00

Recipient Share:	
i. Total recipient share required	\$0.00
j. Recipient share of expenditures	\$0.00
k. Remaining recipient share to be provided (line i minus j)	\$0.00

Program Income:	
l. Total Federal share of program income earned	\$4,973.81
m. Program income expended in accordance with the deduction alternative	\$0.00
n. Program income expended in accordance with the addition alternative	\$0.00
o. Unexpended program income (line l minus line m and line n)	\$4,973.81

11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
g. Totals:					\$0.00	\$0.00	\$0.00

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:
Total Federal Income Earned: \$4973.81

13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)

a. Typed or Printed Name and Title of Authorized Certifying Official Fawkes, Caroline Supervisor	c. Telephone (Area code, number, and extension) d. Email Address
b. Signature of Authorized Certifying Official Fawkes, Caroline	e. Date Report Submitted (Month, Day, Year) April 20, 2021

Standard Form 425
OMB Approval Number: 4040-0014
Expiration Date: 02/28/2022

Paperwork Burden Statement
According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

FEDERAL FINANCIAL REPORT

(Additional Page)

Federal Agency & Organization : ELECTION ASSISTANCE COMMISSION

Federal Grant ID : VI20101001

Recipient Organization : Elections System of the Virgin Islands
93 Sunny Isles Anx Ste AB, Christiansted, VI 00823:

DUNS Number :

DUNS Status when Certified

EIN

Reporting Period End Date : September 30, 2020

Status :

Remarks :

Federal Agency Review

Reviewer Name :

Phone # :

Email :

Review Date :

Review Comments :

EAC Progress Report

Response ID:132 Data

1. Login

Please enter your userword and password to begin the Progress Narrative. If you require assistance or have any questions, please contact grants@eac.gov

3. EAC Progress Report

1. State or Territory:

Virgin Islands

2. Grant Number:

V120101001

3. Report:

Annual (Oct 1 - Sept 30)

4. Grant:

Please select only one.

Election Security

5. Reporting Period Start Date

10/01/2019

6. Reporting Period End Date

09/30/2020

7. Recipient Organization:

Organization Name

Elections System Of The Virgin Islands

Street Address

Sunny Isle Annex Unit 4, 93a

City

Christiansted

State

VI

Zip

00851

4. Progress and Narrative

10. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities.

From the onset of receiving two (2) Help America Vote Again (HAVA) Election Security Grant awards (USVI11801001 and USVI20101001), the Elections System of the Virgin Islands' (ESVI) Board, Management and Staff worked diligently and responsibly to utilize all funding in compliance with Election Assistance Commission's (EAC) guidelines. However, and like other election agencies across the U.S. Mainland and Territories, and the world, the COVID-19 pandemic has significantly impacted our daily operations. Despite this, and through due diligence and unwavering focus, the ESVI has toiled effortlessly to ensure all HAVA-grant goals and objectives were realized within this reporting period. Through collective teamwork with internal and external stakeholders and resources, the ESVI has met these requirements, as set forth by the Elections Assistance Commission (EAC).

Despite this unforeseen constraint and in compliance with the EAC's HAVA guidelines, it must be noted that seven (7) major voting activities were comprehensively held during this period; 1 and 2) Early Voting (Primary and General), 3 and 4) Extended Early Voting (Primary and General Elections), 5) Absentee Ballot Processes, 6) Primary Election 2020 and 7) General Election 2020.

The ESVI has expended HAVA funding in three (3) key area to support voters' participation in election activities: a) Voting Processes such as the acquisition of voting machines and changing voting sites to accommodate voters b) Communications where the ESVI through radio, television, print and website shared timely HAVA-guided information to voters voting options e.g., Early Voting, Absentee ballot, Primary and/or General Elections. Additionally, Public Service Announcements using peer-to-peer target groups were blitzed across the Territory encouraging voters to participate in the process while adhering to the Center for Disease Control's (CDC) COVID-19's guidelines, e.g., wash hands, sanitize, wear a mask or shield, and maintain social distancing. The ESVI also raised awareness of the "No Mask, No Service" mantra as mandated by Governor Albert Bryan through Executive Orders, the Virgin Islands Department of Health and the Center for Disease Control; and c) Supplies. As the ESVI's primary role is to provide a service to the greater community, even during a global pandemic, procurement of supplies such as masks, face shields, hand sanitizers, soaps, disinfectant wipes, aerosols, hand-held temperature check devices, hand washing stations, signage, writing instruments, et al., were secured during this reporting period. It is important to note although there were no direct spending activities for two (2) areas; 1) Staffing and 2) Security Training, this report will highlight respective updates which is for informational purposes only. The details of these expenditures are noted in the Expenditure Table which is included in this report.

12. Describe any significant changes to your program during the project, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.

Otherwise enter N/A.

Despite Governor Albert Bryan, Jr., the VI Department of Health (VIDOH), and the Center for Disease Control (CDC) COVID-19 mandates, the ESVI shifted its paradigm and found positive ways in which to ensure the rights of voters were never compromised. Most of the changes involved learning to work and hold meetings remotely and hold election activities which directly impacted voters and non-voters.

18. Issues Encountered:

Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if applicable. Address each issue separately and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

Otherwise enter N/A.

No issues encountered.

15. Provide a description of any security training conducted.

Otherwise enter N/A.

During this reporting period which also occurred during the COVID-19 pandemic, the ESVI conducted Security Training which was necessary for personnel who were assigned at the voting sites during Primary and General Elections. These Security trainings were held during the months of July and October 2020, respectively. It is imperative to note that although no funding from the HAVA Security Election Grants were used, this information is being reported for informational purposes only and as outlined throughout this report.

16. Subgrants (if applicable):

Describe how you made funds available to local jurisdictions.

Provide a description of the major categories of subgrant activities local voting districts will accomplish with the funds.

Otherwise enter N/A.

N/A.

17. Match (if applicable):

Describe how you are meeting the matching requirement.

Otherwise enter - match not required.

Match not required.

14. Report on the number and type of articles of voting equipment obtained with the funds.

Include the amount expended on the expenditure table.

Otherwise enter N/A.

The ESVI purchased the following equipment which were used during the election activities, vis-a-vis; Primary Election 2020 and the General Election 2020 which were successfully executed by the Board; and in conjunction with Management, Staff, and temporary personnel:

75 - Express Vote Machines and;

14 – Surface Pro

The ESVI recognized the need to ensure all Voting Processes, Staffing, Security and Training, Communications and Supplies were critical components of elections activities, as outlined throughout this document and the Expenditure Table.

5. Expenditures

20. Current Period Amount Expended and Unliquidated Obligations

GRANT COST CATEGORIES

	Federal	Match
Voting Equipment and Processes:	\$452,158.47	
Post-Election Auditing:	\$0.00	
Voter Registration Systems:	\$0.00	
Cyber Security:	\$0.00	
Communications:	\$14,735.00	
Total	\$466,893.47	
Others (Supplies)	\$11,054.34	

OMB CONTROL NUMBER: 3265-0020

6. Certification

Name and Contact of the authorized certifying official of the recipient.

First Name

Caroline

Last Name

Fawkes

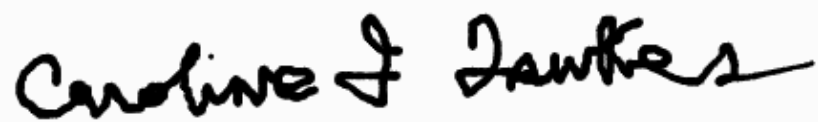
Title

Supervisor

Phone Number

Email Address

Signature of Certifying Official:

A handwritten signature in black ink that reads "Caroline F. Fawkes". The signature is written in a cursive style with a large, stylized 'C' and 'F'.

Signature of: Caroline F. Fawkes