

FEDERAL FINANCIAL REPORT

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted Election Assistance Commission	2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) UT20101001
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3. Recipient Organization (Name and complete address including Zip code)
**Office of the Lt Governor of Utah
State Capitol Complex Ste 220, SALT LAKE CITY, UT 841141202**

4a. DUNS Number	4b. EIN	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)	6. Report Type <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	7. Basis of Accounting <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual
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8. Project/Grant Period (Month, Day, Year) From: March 28, 2018 To: September 30, 2019	9. Reporting Period End Date (Month, Day, Year) September 30, 2020
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10. Transactions Cumulative
(Use lines a-c for single or combined multiple grant reporting)

Federal Cash (To report multiple grants separately, also use FFR Attachment):

a. Cash Receipts	\$8,728,717.00
b. Cash Disbursements	\$1,305,161.22
c. Cash on Hand (line a minus b)	\$7,423,555.78

(Use lines d-o for single grant reporting)

Federal Expenditures and Unobligated Balance:

d. Total Federal funds authorized	\$8,728,717.00
e. Federal share of expenditures	\$1,305,161.22
f. Federal share of unliquidated obligations	\$0.00
g. Total Federal share (sum of lines e and f)	\$1,305,161.22
h. Unobligated balance of Federal funds (line d minus g)	\$7,423,555.78

Recipient Share:

i. Total recipient share required	\$1,126,339.00
j. Recipient share of expenditures	\$1,126,339.00
k. Remaining recipient share to be provided (line i minus j)	\$0.00

Program Income:

l. Total Federal share of program income earned	\$151,382.18
m. Program income expended in accordance with the deduction alternative	\$0.00
n. Program income expended in accordance with the addition alternative	\$0.00
o. Unexpended program income (line l minus line m and line n)	\$151,382.18

11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
g. Totals:					\$0.00	\$0.00	\$0.00

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:
The combined grant award notification says the total grant is \$8,714,983 and the total state match is \$1,126,339. System wouldn't

13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)

a. Typed or Printed Name and Title of Authorized Certifying Official Evans, Duncan Budget Manager	c. Telephone (Area code, number, and extension)
b. Signature of Authorized Certifying Official Evans, Duncan	d. Email Address
	e. Date Report Submitted (Month, Day, Year) December 29, 2020

Standard Form 425
OMB Approval Number: 4040-0014
Expiration Date: 02/28/2022

Paperwork Burden Statement
According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

FEDERAL FINANCIAL REPORT

(Additional Page)

Federal Agency & Organization : Election Assistance Commission

Federal Grant ID : UT20101001

Recipient Organization : Office of the Lt Governor of Utah
State Capitol Complex Ste 220, SALT LAKE CITY, UT 841141202

DUNS Number

EIN

Reporting Period End Date : September 30, 2020

Status :

Remarks :

Federal Agency Review

Reviewer Name :

Phone # :

Email :

Review Date :

Review Comments :

EAC Progress Report

Response ID:134 Data

1. Login

Please enter your userword and password to begin the Progress Narrative. If you require assistance or have any questions, please contact grants@eac.gov

2. Verification

3. EAC Progress Report

1. State or Territory:

Utah

2. Grant Number:

UT20101001

3. Report:

Annual (Oct 1 - Sept 30)

4. Grant:

Please select only one.

Election Security

5. Reporting Period Start Date

10/01/2019

6. Reporting Period End Date

09/30/2020

7. DUNS/UEI:

8. EIN:

9. Recipient Organization:

Organization Name

Office Of The Lieutenant Governor

Street Address

State Capitol #220

City

Salt Lake City

State

UT

Zip

84114

4. Progress and Narrative

10. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities.

During this period, a total of \$827,184.48 federal funds from the combined 2018 and 2020 grant were expended. \$477,189.27 was used to reimburse county election offices for allowable expenses. \$272,445.00 was spent on the elections system upgrade project. The remaining \$2,022.58 was spent on travel to provide security training to county elections officials.

11. Provide a timeline and description of project activities funded to meet HAVA requirements. Provide an analysis of how such activities conform to the submitted State Plan or Program Narrative as applicable.

Through the end of this period, the State of Utah has awarded \$1,029,906.67 in election equipment grants to 11 of Utah's 29 counties. Utah also began the it's elections system upgrade project. The new system is designed to be a complete election management system that manages voter registration, GIS, elections, races, candidates, ballots, initiatives and referenda, etc. It interfaces with various state and other agencies to access data necessary to effectively conduct voter registration and election activities. It provides a voter portal that citizens can use to review their current voter registration or to register to vote.

12. Describe any significant changes to your program during the course of the project, or if the project was implemented

differently than described in your original State Plan or Program Narrative.

Otherwise enter - no significant changes during this period.

No significant changes during this period.

13. Describe any favorable developments which enabled meeting time schedules and objectives sooner or at less cost than anticipated or producing more or different beneficial results than originally planned.

Otherwise enter N/A.

N/A

14. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure table.

Otherwise enter - No articles of voting equipment purchased during this period.

\$477,189.27 was used to reimburse county election offices for equipment expenses.

15. Provide a description of any security training conducted and the number of participants.

Otherwise enter - no security training conducted during this period.

The State Elections Security Tabletop training allowed counties and county officials to identify best practices and find areas for improvement. The exercises were interactive and discussion based. Each participant was given a "new" role in the exercise and assigned to a random group with individuals from other counties to encourage and aid in learning from different points of view. These roles range from County Clerk and Elections Specialist to County IT to County Sheriff and Attorney. Throughout a two hour period, the group was tasked with resolving scenarios related to election security, disaster management, legal questions, communications, and so forth. The exercises were beneficial to county officials as they encouraged teamwork and pinpointed the responsible party for each security issue, minimizing response time and limiting any detrimental outcomes. In some scenarios there were federal partners present and participating in the exercise giving county officials the opportunity to ask questions and build relationships with the state and federal team. 94 people participated in the training events.

16. Subgrants (if applicable):

Describe how you made funds available to local jurisdictions.

Provide a description of the major categories of subgrant activities local voting districts will accomplish with the funds.

Otherwise enter N/A.

\$477,189.27 was used to reimburse county election offices for allowable equipment expenses. This includes \$49,371.90 provided to Cache County and \$503,345.00 provided to Salt Lake County.

17. Match (if applicable):

Describe how you are meeting the matching requirement.

Otherwise enter - match not required.

All state match requirements were met in a prior period.

18. Issues Encountered:

Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if appropriate. Address each issue separately in its own section, and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

Otherwise enter - no issues encountered.

No issues encountered.

19. Upcoming Activities:

Provide a timeline and description of upcoming activities.

Utah will continue it's elections system upgrade project and will continue to strengthen cyber security.

5. Expenditures

20. Current Period Amount Expended and Unliquidated Obligations

GRANT COST CATEGORIES

	Federal	Match
Voting Equipment:	\$552,716.90	
Post-Election Auditing:		
Voter Registration Systems:	\$272,445.00	
Cyber Security:		
Communications:		
Total	\$827,184.48	
Training	\$2,022.58	

OMB CONTROL NUMBER: 3265-0020

6. Certification

Name and Contact of the authorized certifying official of the recipient.

First Name

Duncan

Last Name

Evans

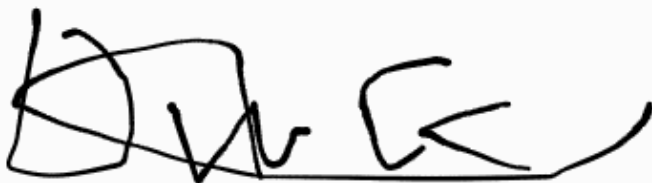
Title

Budget Manager, Governor's Office of Management and Budget

Phone Number

Email Address

Signature of Certifying Official:

A handwritten signature in black ink, appearing to read 'Duncan Evans', written over a horizontal line.

Signature of: James Duncan Evans

7. Report Submitted to EAC



Thank you, your progress report has been submitted to EAC. Please keep the PDF download of your submission as grant record.
