

**FEDERAL FINANCIAL REPORT**

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted  <b>ELECTION ASSISTANCE COMMISSION</b>				2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment)  <b>TX20101001</b>			
3. Recipient Organization (Name and complete address including Zip code)  <b>Secretary of State, Texas</b> <b>1019 Brazos Street, Austin, TX 787012413</b>							
4a. DUNS Number	4b. EIN	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)		6. Report Type	7. Basis of Accounting		
				<input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	<input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual		
8. Project/Grant Period (Month, Day, Year) From: <b>March 28, 2018</b>				9. Reporting Period End Date (Month, Day, Year) <b>September 30, 2020</b>			
To: <b>September 30, 2019</b>							
<b>10. Transactions</b>					Cumulative		
<i>(Use lines a-c for single or combined multiple grant reporting)</i>							
<b>Federal Cash (To report multiple grants separately, also use FFR Attachment):</b>							
a. Cash Receipts					\$49,449,807.00		
b. Cash Disbursements					\$19,691,459.56		
c. Cash on Hand (line a minus b)					\$29,758,347.44		
<i>(Use lines d-o for single grant reporting)</i>							
<b>Federal Expenditures and Unobligated Balance:</b>							
d. Total Federal funds authorized					\$49,449,807.00		
e. Federal share of expenditures					\$19,691,459.56		
f. Federal share of unliquidated obligations					\$0.00		
g. Total Federal share (sum of lines e and f)					\$19,691,459.56		
h. Unobligated balance of Federal funds (line d minus g)					\$29,758,347.44		
<b>Recipient Share:</b>							
i. Total recipient share required					\$6,402,071.00		
j. Recipient share of expenditures					\$139,479.02		
k. Remaining recipient share to be provided (line i minus j)					\$6,262,591.98		
<b>Program Income:</b>							
l. Total Federal share of program income earned					\$2,054,247.54		
m. Program income expended in accordance with the deduction alternative					\$0.00		
n. Program income expended in accordance with the addition alternative					\$1,054,247.54		
o. Unexpended program income (line l minus line m and line n)					\$1,000,000.00		
11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
g. Totals:					\$0.00	\$0.00	\$0.00
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:  State interest earned: \$0							
13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)							
a. Typed or Printed Name and Title of Authorized Certifying Official					c. Telephone (Area code, number, and extension)		
<b>Glotzer, Dan</b>					d. Email Address		
<b>Election Funds Manager</b>							
b. Signature of Authorized Certifying Official					e. Date Report Submitted (Month, Day, Year)		
<b>Glotzer, Dan</b>					<b>March 4, 2021</b>		

Standard Form 425  
OMB Approval Number: 4040-0014  
Expiration Date: 02/28/2022

**Paperwork Burden Statement**

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

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(Additional Page)

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Federal Agency & Organization : ELECTION ASSISTANCE COMMISSION

Federal Grant ID : TX20101001

Recipient Organization : Secretary of State, Texas  
1019 Brazos Street, Austin, TX 787012413

DUNS Number :

DUNS Status when Certified :

EIN :

Reporting Period End Date : September 30, 2020

Status :

Remarks :

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**Federal Agency Review**

Reviewer Name :

Phone # :

Email :

Review Date :

Review Comments :

# EAC Progress Report

Response ID:119 Data

## 1. Login

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Please enter your userword and password to begin the Progress Narrative. If you require assistance or have any questions, please contact [grants@eac.gov](mailto:grants@eac.gov)

## 2. Verification

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## 3. EAC Progress Report

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### 1. State or Territory:

Texas

### 2. Grant Number:

TX20101001

### 3. Report:

Annual (Oct 1 - Sept 30)

### 4. Grant:

Please select only one.

Election Security

### 5. Reporting Period Start Date

10/01/2019

### 6. Reporting Period End Date

09/30/2020

### 9. Recipient Organization:

#### Organization Name

Secretary Of State, Texas

#### Street Address

1019 Brazos Street

**City**

Austin

**State**

TX

**Zip**

78701

#### 4. Progress and Narrative

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**10. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities.**

During the reporting period, Texas used funding to make security enhancements to its HAVA-mandated voter registration system ("TEAM"). The Texas Secretary of State's Office ("SOS") worked with its voter registration system software vendor to make security updates to the TEAM system, including election management integration.

SOS also partnered with the Texas Department of Information Resources ("DIR") to provide election security assessments to Texas counties through DIR's Managed Security Services program. Also through that program, SOS used funds for remediation services, a security assessment of the TEAM system, and darknet monitoring services. SOS also hired two election security trainers to provide technical assistance to counties regarding election security.

Lastly, any county that received an ESA was eligible to apply for an Election Security sub-grant of up to \$120,000.

**12. Describe any significant changes to your program during the project, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.**

**Otherwise enter N/A.**

No significant changes during this period.

**18. Issues Encountered:**

Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if applicable. Address each issue separately and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

Otherwise enter N/A.

No issues encountered.

**15. Provide a description of any security training conducted.**

Otherwise enter N/A.

Through the ESA program and various webinars, Texas has trained numerous local election and IT staff members. The webinars alone included approximately 1800 attendees.

**16. Subgrants (if applicable):**

Describe how you made funds available to local jurisdictions.

Provide a description of the major categories of subgrant activities local voting districts will accomplish with the funds.

Otherwise enter N/A.

Because SOS paid for the election security assessments directly to the vendor on behalf of the counties, the state is considering the payments as sub-grants. As stated, upon completion of the ESA, the county is eligible for an election security sub-grant up to \$120,000. The counties can use the funds for voting equipment, election auditing, voter registration system enhancements, cyber security, and communications. All expenditures must be related to election security. It is anticipated much of the expenditures will be in response to recommendations found in the election security assessments.

**17. Match (if applicable):**

Describe how you are meeting the matching requirement.

Otherwise enter - match not required.

The state secured \$1,162,630 in matching funds from the Texas legislature in response to the 2018 Election Security grant. Due to the additional funds appropriated by Congress in 2020, SOS is requesting an additional \$1.175MM from the legislature for anticipated expenditures by SOS. The remaining \$4mm in match will be made by the counties through the election security sub-grants.

**14. Report on the number and type of articles of voting equipment obtained with the funds.**

Include the amount expended on the expenditure table.

Otherwise enter N/A.

No articles of voting equipment purchased during this period by the state. However, as the counties report expenditures, this will be updated accordingly.

## 20. Current Period Amount Expended and Unliquidated Obligations

### GRANT COST CATEGORIES

	Federal	Match
Voting Equipment and Processes:		
Post-Election Auditing:		
Voter Registration Systems:	\$1,130,614.79	
Cyber Security:	\$4,167,555.49	\$128,424.00
Communications:		
Total		
Subgrants	\$12,238,451.72	

OMB CONTROL NUMBER: 3265-0020

### 6. Certification

Name and Contact of the authorized certifying official of the recipient.

First Name

Dan

**Last Name**

Glotzer

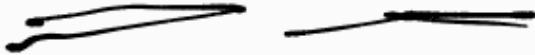
**Title**

Election Funds Manager

**Phone Number**

**Email Address**

**Signature of Certifying Official:**



Signature of: Dan Glotzer

**7. Report Submitted to EAC**

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**Thank you, your progress report has been submitted to EAC. Please keep the PDF download of your submission as grant record.**

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