

**FEDERAL FINANCIAL REPORT**

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted <b>ELECTION ASSISTANCE COMMISSION</b>	2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) <b>PR20101001</b>
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3. Recipient Organization (Name and complete address including Zip code)  
**State Of Elections Commission**  
**550 AVE ALTERIAL B, SAN JUAN, PR 009181480**

4a. DUNS Number	4b. EIN	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)	6. Report Type <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Semi-Annual <input type="checkbox"/> Annual <input type="checkbox"/> Final	7. Basis of Accounting <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual
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8. Project/Grant Period (Month, Day, Year) From: <b>March 28, 2018</b> To: <b>September 30, 2019</b>	9. Reporting Period End Date (Month, Day, Year) <b>March 31, 2021</b>
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**10. Transactions** Cumulative  
*(Use lines a-c for single or combined multiple grant reporting)*

**Federal Cash (To report multiple grants separately, also use FFR Attachment):**

a. Cash Receipts	\$7,818,845.00
b. Cash Disbursements	\$7,818,845.00
c. Cash on Hand (line a minus b)	\$0.00

*(Use lines d-o for single grant reporting)*

**Federal Expenditures and Unobligated Balance:**

d. Total Federal funds authorized	\$7,818,845.00
e. Federal share of expenditures	\$838,082.86
f. Federal share of unliquidated obligations	\$96,000.00
g. Total Federal share (sum of lines e and f)	\$934,082.86
h. Unobligated balance of Federal funds (line d minus g)	\$6,884,762.14

**Recipient Share:**

i. Total recipient share required	\$1,012,225.00
j. Recipient share of expenditures	\$0.00
k. Remaining recipient share to be provided (line i minus j)	\$1,012,225.00

**Program Income:**

l. Total Federal share of program income earned	\$0.01
m. Program income expended in accordance with the deduction alternative	\$0.00
n. Program income expended in accordance with the addition alternative	\$0.00
o. Unexpended program income (line l minus line m and line n)	\$0.01

11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
<b>g. Totals:</b>					\$0.00	\$0.00	\$0.00

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:  
Please provide the following information:

**13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)**

a. Typed or Printed Name and Title of Authorized Certifying Official  <b>Hidalgo Betances, Aideliz</b> <b>HAVA COORDINATOR/BUDGET ANALYST</b>	c. Telephone (Area code, number, and extension)  d. Email Address
b. Signature of Authorized Certifying Official <b>Hidalgo Betances, Aideliz</b>	e. Date Report Submitted (Month, Day, Year) <b>April 30, 2021</b>

Standard Form 425  
OMB Approval Number: 4040-0014  
Expiration Date: 02/28/2022

**Paperwork Burden Statement**  
According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

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(Additional Page)

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Federal Agency & Organization : ELECTION ASSISTANCE COMMISSION

Federal Grant ID : PR20101001

Recipient Organization : State Of Elections Commission  
550 AVE ALTERIAL B, SAN JUAN, PR 009181480 :

DUNS Number

DUNS Status when Certified : ACTIVE (as of 04/30/2021)

EIN :

Reporting Period End Date : March 31, 2021

Status : Report Certified/Pending Agency Approval

Remarks : Please provide the following information:

State interest earned (current fiscal year): \$0

State interest expended (current fiscal year): \$0

Program income earned (current fiscal year): \$0

Program income earned breakdown (current fiscal year): \$0 Source: e.g. Sale of registration list

Program income expended (current fiscal year): \$0

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**Federal Agency Review**

Reviewer Name :

Phone # :

Email :

Review Date :

Review Comments :

# EAC Progress Report

Response ID:370 Data

## 1. Login

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## 2. Verification

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## 3. EAC Progress Report

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### 1. State or Territory:

Puerto Rico

### 2. Grant Number:

PR20101001-03

### 3. Report:

Semi-Annual (Oct 1 - March 31)

### 4. Grant:

**Please select only one.**

Election Security

### 5. Reporting Period Start Date

10/01/2020

### 6. Reporting Period End Date

03/31/2021

### 7. Recipient Organization:

#### Organization Name

Comision Estatal De Elecciones

#### Street Address

550 Arterial B. St., Hato Rey

#### City

San Juan

**State**

PR

**Zip**

00919

## 4. Progress and Narrative

### 8. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities.

#### FEDERAL SPENDING

The Puerto Rico State Election Commission (CEE) has been awarded \$7,818,846 under the HAVA Election Security Funds, which an initial award in 2018 was for \$3,676,962 and the past fiscal 2020 award for \$4,141,884. As the grant requirement, Puerto Rico is responsible for providing \$1,012,255 of the State Match.

In the previous report, CEE informs a total of \$79,565.11 of expenditures and a pending obligation of \$145,937.02 under the following categories: Upgrade and Voting Equipment Replacement and Cyber Security Equipment, related to the beginning of optimizing our hardware and software infrastructure.

For this mid-term reporting period, CEE has spent \$168,855.28 of expenditures under the following activities: Upgrade of Voter Registration System and Cyber Security Equipment. Also, there still a pending obligation of \$96,000 allocated under the same expenditure's activities. We will describe in detail all activities during this reporting period below:

#### Project Equipment-Cyber Security and Infrastructure (Upgrade of Voter Registration System)

Under this category, and through a formal bid, CEE has spent \$151,314.28 to acquire computers, licenses, replacement of old equipment, and improvement to security. Also, there is an obligation of \$96,000 for the Advance Civil ID warranty and maintenance. It is essential to explain that this is the Agency's heart because it has multiple functionalities that offer the elector services. This includes the management of the electoral id, modifying elector address, absentee voting board management, recapture of wrong transactions, data updates like voter registration lists, and the exclusion of deceased voters.

#### Project Equipment-Cyber Security

Under this category, we have spent \$17,541.00 to acquire hardware Fortinet Transceivers, which are interconnector between Forti switch/FortiGate types of equipment and the extension of warranty of the computers acquired under the formal bid.

#### Interest Earned on Federal Money

As mentioned in the past report, no income has been recognized during this reporting period. However, as in recent communications with EAC staff, CEE will get support from EAC to request the transfer of the HAVA funds to interest-bearing bank accounts where the requirements of income-earning accounts can be fulfilled.

#### State Matching Funds

#### Totals

Since the initial receipt of HAVA Election Security funds through the completion of this report on March 31, 2021, total receipt, expenditure and balances include:

## FEDERAL CASH

Total Federal Funds Authorized: \$7,818,846.00

Total Federal Expenditures: - 838,082.86

Total Federal Cash on Hand \$6,980,763.14

## UNOBLIGATED BALANCE

Total Federal Share of Unliquidated Obligations: -96,000.00

Total Unobligated Balance of HAVA 251 funds: \$6,884,763.14

## STATE MATCH

Total State Share 2018 \$ 183,848.00

Total State Expenditures 0.00

Total Balance \$ 183,848.00

Note: The State Match related to the FY 2020, for \$848,377 is still under revision of the Office of Management and Budget of Puerto Rico.

## UNOBLIGATED BALANCE

Total Share of Unliquidated Obligations: 0.00

Total Unliquidated Balance of State Match funds: \$183,848

## FEDERAL INTEREST

Total Federal Program Interest Earned \$ 0.00

Total Federal Interest Unliquidated Obligations: (0)

Total Unexpended Program Interest Remaining: \$ 0.00

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### **9. Describe any significant changes to your program during the project, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.**

#### **Otherwise enter N/A.**

As mentioned in the past report, the global pandemic has delayed many implementations plans of improvements under the HAVA Election Security grant. The present challenges as the pandemic times make CEE unable to reach the prospect plan for Election 2020. However, we are optimistic that for the forthcoming Elections, we will be able to develop all the administrative and technology improvements as presented in the State Plan.

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### **10. Issues Encountered:**

**Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if applicable. Address each issue separately and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.**

#### **Otherwise enter N/A.**

Besides the global pandemic, during the past fiscal year, Puerto Rico's Government establish the Electoral Code Act for 2020, or "Ley Electoral 2020", with the principal goal of providing the right to vote, the electoral enrollment, to perform updates for the electoral registration and among other electoral transactions without the need of going physically at a polling center or to a Permanent Board Unit (Jip).

This new electoral code will bring a new implementation design and massive education about the new electoral technologic systems that will provide greater ease and direct accessibility to voting at the stipulated dates by the Electoral Code. Among all the implementation designs, CEE will need to adequate the actual Election Security State Plan, achieving and merging the improvement in technology purposes meeting the federal and state requirements.

Besides the reference scenario, no other issues or aspects were met that make us unable to reach the established goals.

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**11. Provide a description of any security training conducted.**

**Otherwise enter N/A.**

During this reporting period, no security training was conducted.

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**12. Subgrants (if applicable):**

**Describe how you made funds available to local jurisdictions.**

**Provide a description of the major categories of subgrant activities local voting districts will accomplish with the funds.**

**Otherwise enter N/A.**

N/A

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**13. Match (if applicable):**

**Describe how you are meeting the matching requirement.**

**Otherwise enter - match not required.**

For this reporting period, no expenditures was made under the state match funds. Consequently, the remaining balance is: \$183,848. However, CEE still working under the Control Fiscal Board and the Office and Management of Puerto Rico to provide the 20% state match of the FY 2020 Award.

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## 5. Expenditures

### 14. Current Period Amount Expended and Unliquidated Obligations

#### GRANT COST CATEGORIES

	Federal	Match
Voting Equipment and Processes:	\$247,314.28	
Post-Election Auditing:		
Voter Registration Systems:		
Cyber Security:	\$17,541.00	
Communications:		
Total		

## 6. Certification

Name and Contact of the authorized certifying official of the recipient.

**First Name**

Francisco J.

**Last Name**

Rosado Colomer

**Title**

Chairman

**Phone Number**

**Email Address**

Signature of Certifying Official:



Signature of: Francisco J. Rosado Colomer