

Federal Financial Report

(Follow form instructions)

OMB Number: 4040-0014

Expiration Date: 01/31/2019

1. Federal Agency and Organizational Element to Which Report is Submitted <div style="border: 1px solid black; padding: 2px; min-height: 20px;">U.S Election Assistance Commission</div>		2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) <div style="border: 1px solid black; padding: 2px; min-height: 20px;">PR18101001</div>	
3. Recipient Organization (Name and complete address including Zip code) Recipient Organization Name: <div style="border: 1px solid black; padding: 2px; width: 90%;">State Election Commission of Puerto Rico</div> Street1: <div style="border: 1px solid black; padding: 2px; width: 80%;">Arterial B #550</div> Street2: <div style="border: 1px solid black; padding: 2px; width: 80%;"></div> City: <div style="border: 1px solid black; padding: 2px; width: 30%;">Hato Rey</div> County: <div style="border: 1px solid black; padding: 2px; width: 30%;">San Juan</div> State: <div style="border: 1px solid black; padding: 2px; width: 30%;">PR: Puerto Rico</div> Province: <div style="border: 1px solid black; padding: 2px; width: 30%;"></div> Country: <div style="border: 1px solid black; padding: 2px; width: 40%;">USA: UNITED STATES</div> ZIP / Postal Code: <div style="border: 1px solid black; padding: 2px; width: 30%;">00919-5552</div>			
4a. DUNS Number <div style="border: 1px solid black; padding: 2px; min-height: 20px;"></div>		4b. EIN <div style="border: 1px solid black; padding: 2px; min-height: 20px;"></div>	
5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment) <div style="border: 1px solid black; padding: 2px; min-height: 20px;"></div>			
6. Report Type <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final		7. Basis of Accounting <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual	
8. Project/Grant Period From: <div style="border: 1px solid black; padding: 2px; width: 40%;">03/22/2018</div> To: <div style="border: 1px solid black; padding: 2px; width: 40%;">03/23/2023</div>		9. Reporting Period End Date <div style="border: 1px solid black; padding: 2px; width: 100%;">09/30/2019</div>	

10. Transactions	Cumulative
<i>(Use lines a-c for single or multiple grant reporting)</i>	
Federal Cash (To report multiple grants, also use FFR attachment):	
a. Cash Receipts	0.00
b. Cash Disbursements	0.00
c. Cash on Hand (line a minus b)	0.00
<i>(Use lines d-o for single grant reporting)</i>	
Federal Expenditures and Unobligated Balance:	
d. Total Federal funds authorized	3,676,962.00
e. Federal share of expenditures	589,662.47
f. Federal share of unliquidated obligations	0.00
g. Total Federal share (sum of lines e and f)	589,662.47
h. Unobligated balance of Federal Funds (line d minus g)	3,087,299.53
Recipient Share:	
i. Total recipient share required	183,848.00
j. Recipient share of expenditures	0.00
k. Remaining recipient share to be provided (line i minus j)	183,848.00
Program Income:	
l. Total Federal program income earned	0.00
m. Program Income expended in accordance with the deduction alternative	0.00
n. Program Income expended in accordance with the addition alternative	0.00
o. Unexpended program income (line l minus line m or line n)	0.00

11. Indirect Expense

a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
g. Totals:				<input type="text"/>	<input type="text"/>	<input type="text"/>

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:

<input type="text"/>	<input type="button" value="Add Attachment"/>	<input type="button" value="Delete Attachment"/>	<input type="button" value="View Attachment"/>
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13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the Federal award. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729-3730 and 3801-3812).

a. Name and Title of Authorized Certifying Official

Prefix: Mr. First Name: Juan Middle Name: E
Last Name: Dávila Rivera Suffix:
Title: Chairman

b. Signature of Authorized Certifying Official



c. Telephone (Area code, number and extension)

d. Email Address

e. Date Report Submitted

 12/27/2018

14. Agency use only:

COMMONWEALTH OF PUERTO RICO
STATE ELECTIONS COMMISSION
BUDGET DIVISION

HELP AMERICA VOTE ACT 2018 HAVA CYBER SECURITY GRANT, SECTION 101 & INTERESTS

EXXX-272-0280000- -081-2004 (GRANT PR18101001)

DETAILED LIST OF FEDERAL CASH RECEIPTS, EXPENDITURES AND PROGRAM INCOME (PERIOD: Initial inception 10/01/2018 - 9/30/2019)

FEDERAL CASH RECEIPTS

A. CASH RECEIPTS

\$ 3,676,962.00

DATE	INVOICE	DESCRIPTION	AMOUNT
9/7/2018	0001625223	Federal Cash Receipt -2018 HAVA Cyber Security Initial Payment	\$ 3,676,962.00
TOTAL			\$ 3,676,962.00

B. FEDERAL CASH DISBURSEMENTS

C. FEDERAL CASH ON HAND

\$ 3,676,962.00

FEDERAL EXPENDITURE AND UNOBLIGATED BALANCE

D. FEDERAL FUNDS AUTHORIZED

\$ 3,676,962.00

E. FEDERAL SHARE OF EXPENDITURES REPORTED PREVIOUSLY

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F. FEDERAL SHARE OF EXPENDITURES DURING THIS PERIOD (10/01/2018-9/30/2019)

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Title I, Section 101 of HAVA-Program & Budget Categories Descriptions

Project © (2) Equipment- Voter Registration System

DATE	INVOICE	DESCRIPTION	OBLIGATIONS	EXPENSES
2/20/2019	#comp. 19000685 O.C. 19-154	SCANNER OVERSEAS OF P.R. Connectivity Technology Projectors.		2,475.00
3/18/2019	#comp. 19000000161	EM SYSTEMS GROUP. (90) New Data Card Printers for IDs ~\$5,100 ea. Amend amount.		459,000.00
TOTALS				\$ 461,475.00

Project: (d) (2) Equipment- Cyber Security

DATE	INVOICE	DESCRIPTION	OBLIGATIONS	EXPENSES
4/23/2019	# comp. 19001011 O.C. 19-130	Licessing three years for Nessus Scanning Software	-	6,177.60
7/31/2019	#comp. 19000000201	Open Link P.R. Server Virtualization Software WMWare VCenter Server Standard 3-year support and subcription	-	10,889.52
7/31/2019	#comp. 19000000201	Open Link P.R. VMWare Vsphere Enterprise Plus for CPU's	-	37,035.60

7/18/2019	#comp. 19000000258	Truenorth Corp. FortiAnalyzer VM Virtual Machine License	-	6,749.00
TOTALS				\$ 60,851.72

Project: (c) (4) Voter Registration Systems

DATE	INVOICE	DESCRIPTION	OBLIGATIONS	EXPENSES
2/27/2019	#comp. 1900714	TRUE NORTH CORP. (90 unit) Fortinet Forti Gate/ Fortiwi-Fi 50E SKU: FWF 50E. Interfaces devices: USB (1) console RJ45, (2) WAN GE RJ45, (5) switch GE RJ45, Dual Wi-Fi	-	40,950.00
TOTALS				\$ 40,950.00

Project: (c) (5) All Other Cost- Voter Registration Systems

DATE	INVOICE	DESCRIPTION	OBLIGATIONS	EXPENSES
-	-	-	-	-
TOTALS				\$ -

Project: (f) (5) All Other Cost- Incidental Cost

DATE	INVOICE	DESCRIPTION	OBLIGATIONS	EXPENSES
4/23/2019	19001010	HECTOR AIR CONDITIONING INC. Mini Split Inverter Air Conditioner air pump system.		7,000
4/12/2019	19000982	HECTOR AIR CONDITIONING INC. Mini Split Inverter Air Conditioner air pump system. Installation		3,000
1/14/2019	#comp. 1902800188	NASED RENEWAL MEMBERSHIP 2019.		600
2/15/2019	#comp. 1900000116	PRINTECH, INC. Preventive Maintenance's ballots for scrutiny machines 2018.		4,200
2/20/2019	#comp. 19000685	JENN ELECTRIC SERVICES- Electrician Supplies for Inverter connection.		2,235.75
2/20/2019	#comp. 19000685	JENN ELECTRIC SERVICES- Electrician Professional for Inverter connection.		1,950
7/8/2019	#compr. 19000000242	COMPUTER NETWORK SYST.- Advanced Civil Id Key license	-	3,800
7/8/2019	#compr. 19000000242	COMPUTER NETWORK SYST.- Advanced Civil Id Card holders		3,600
TOTALS				\$ 26,385.75

G. ACCUMULATED FEDERAL SHARE OF EXPENDITURES	\$ 589,662.47
H. FEDERAL SHARE OF UNLIQUIDATED OBLIGATIONS	-
I. TOTAL FEDERAL SHARE	589,662.47
J. UNOBLIGATED BALANCE OF FEDERAL FUNDS	3,087,299.53
Recipient Share (5% State Match)	

K. RECIPIENT SHARE REQUIRED (STATE MATCH)	\$ 183,848.00
L. TOTAL EARNED INTEREST PREVIOUSLY REPORTED ON STATE MATCH	-
M. TOTAL INTEREST EARNED DURING THIS PERIOD	-

DATE	INVOICE	DESCRIPTION	AMOUNT
-	-	INTERESTS FROM OCTOBER 2018-PRESENT hasn't been recognized	-
TOTALS			\$ -

Note: Interests earned from October 2018 to present haven't been recognized by the Treasury Department of P.R. Please refer to the attached letter for further information.

N. TOTAL RECIPIENT SHARE REQUIRED (K + L + M) \$ 183,848.00
O. RECIPIENT SHARE OF EXPENDITURES -
P. REMAINING RECIPIENT SHARE (N - O) 183,848.00

PROGRAM INCOME (Interest Earned)

Q. FEDERAL PROGRAM INCOME REPORTED PREVIOUSLY \$ -
R. FEDERAL PROGRAM INCOME EARNED DURING THIS PERIOD -

DATE	INVOICE	DESCRIPTION	AMOUNT
-	-	INTERESTS FROM OCTOBER 2018-PRESENT hasn't been recognized	-
TOTALS			\$ -

Note: Interests earned from October 2018 to present haven't been recognized by the Treasury Department of P.R. Please refer to the attached letter for further information.

S. TOTAL FEDERAL INCOME EARNED (Q + R) -
T. PROGRAM INCOME EXPENDED -
U. UNEXPENDED PROGRAM INCOME (S - T) \$ -



COMISION ESTATAL DE ELECCIONES
ESTADO LIBRE ASOCIADO DE PUERTO RICO

Projected Expenditures of HAVA Security Grant Fiscal Year 2019 Narrative Report

FEDERAL SPENDING

A total of **\$3,676,962** of federal funds were awarded and deposited on September 7, 2018 under the HAVA Election Security Fund. During this initial period, **10/01/2018 to 09/30/2019**, a total of **\$589,662.47** was spent from HAVA Security Funds. Each of these amounts are reflected separately in the supplemental Detailed List of Federal Cash Receipts, Expenditures and Program Income (DL) attached to the Federal Financial and Transaction Report (SF 425), dated December 30, 2019 as follows:

No interest income has been recognized at this period. As mentioned in the past, the Fiscal Board has caused a lot of state processes to be modified, especially when pertaining to the creation of monetary accounts or IBAs which was requested before the deposit of the grant. As a reference, please refer to the letter submitted by the Treasury Department, attached to this report, for more details.

As requested, we will detail all the programs and their expenditures for this period to comply as required for the following activities: (1) enhancing the election cybersecurity infrastructure and network infrastructure and (2) upgrading election day voter registry as describe on the Grant Proposal. Each program projection is reflected separately in the supplemental Detailed List of Federal Cash Receipts, Expenditures, and Program Income (DL) attached to the Federal Status Report (SF 425), dated December 30, 2019 as follows:

Project: Cyber Security and Infrastructure; Voter Registration System, Cyber Security & Training

A total of **\$104,276.72** was expended in this category for upgraded equipment, cyber security components and training. For cyber security equipment we have spent **\$60,851.72** related to the acquisition of software licensing and server virtualizations and **\$2,475.00** for connectivity

technology projectors seeking to improve our actual system. Also, and as described before in the submitted proposal, we spent **\$40,950** for new interface devices for the integration of the Fortinet components to enhance a unified threat management and for the upgrade of the network infrastructure through full network virtualization from physical to virtual transformation and virtual private networks.

Project: Election Day Registry

A total of **\$459,000** was spent in this category for the acquisition of new data card printers for Ids. This expenditure will improve the registration process for the forthcoming 2020 Elections.

Project: Incidentals

We have spent **\$26,385.75** for management of incidental costs that have arisen over the course of this one-year period. As mentioned in the past narrative report, we have acquired an air conditioning mini split inverter to assure the best operating conditions and maintenance of the new purchase servers at OSIPE's area. To install this inverter, expenditures for electrician services and the related supplies for its connection are contemplated. Also, as presented in the detailed list, we have renewed our membership in NASED, and have invested in preventive ballot maintenance, card holders and key licenses.

INTEREST ON FEDERAL FUNDS

No interest on federal funds was earned on 2018 HAVA Security Grant. The interests earned during this reported period will be reflected on the next year's report.

INTEREST EXPENDED ON FEDERAL FUNDS

There are no interest expenditures on federal funds for HAVA Security Grant for this period.

TOTALS

From the receipt of 2018 HAVA Security Grant funds to the date of this report, expenditures and balances include:

FUNDS

Total Federal Funds Authorized:	\$3,676,962.00
Total Authorized Sec. 101 Expenditures during this period:	<u>589,662.47</u>

Total Federal Funds Authorized Remaining**\$3,087,299.53****INTEREST**

Total Federal Interest on Federal Funds Earned:	\$	0
Total Federal Interest Expended reported previously:		-
Total Federal Interest Expended during this period:		-
Total Federal Interest Unliquidated Obligations:		(0)
Total Unexpended Program Interest Remaining:	\$	0

STATE MATCHING FUNDS

HAVA requires a 5% State match of the 2018 Security funds. The State match associated with the total amount to date is **\$183,848.00**. The interest earned during October 2018 to present, had to be reported on the next fiscal year because it was not reflected in our accountable system PRIFAS. The interest earned during this one-year period will be identified on the next period report.

STATE SPENDING

During this reporting period, no State matching funds were spent. Consequently, the remaining balance is: **\$183,848.00**.

SERVING VOTER with DISABILITIES

Is important to mention that, since 1992, Puerto Rico has provided voters with physical disabilities such as blindness or visual impairment with optional mechanisms such as the Vote by Phone, Braille ballot templates, magnifying glasses or large front information materials, to assist them to vote independently, privately and securely. Also, the State Election Commission has facilitated access to voters who are bed-ridden or in hospitals during elections or has provided them with transportation and mobile services for electoral purposes, such as registration, changes of domicile, name updates, etc. This grant intends to uplift a new technology infrastructure to support even more all the efforts to ensure the right of voting.