

FEDERAL FINANCIAL REPORT

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted ELECTION ASSISTANCE COMMISSION				2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) PA20101001				
3. Recipient Organization (Name and complete address including Zip code) STATE, PENNSYLVANIA DEPARTMENT OF 401 NORTH ST RM 308 N OFC BLDG, HARRISBURG, PA 171200500								
4a. DUNS Number	4b. EIN	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)		6. Report Type	7. Basis of Accounting			
				<input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	<input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual			
8. Project/Grant Period (Month, Day, Year) From: March 28, 2018				9. Reporting Period End Date (Month, Day, Year) September 30, 2020				
To: September 30, 2029								
10. Transactions					Cumulative			
<i>(Use lines a-c for single or combined multiple grant reporting)</i>								
Federal Cash (To report multiple grants separately, also use FFR Attachment):								
a. Cash Receipts					\$28,651,723.00			
b. Cash Disbursements					\$14,497,302.10			
c. Cash on Hand (line a minus b)					\$14,154,420.90			
<i>(Use lines d-o for single grant reporting)</i>								
Federal Expenditures and Unobligated Balance:								
d. Total Federal funds authorized					\$28,651,723.00			
e. Federal share of expenditures					\$14,497,302.10			
f. Federal share of unliquidated obligations					\$11,143,299.31			
g. Total Federal share (sum of lines e and f)					\$25,640,601.41			
h. Unobligated balance of Federal funds (line d minus g)					\$3,011,121.59			
Recipient Share:								
i. Total recipient share required					\$3,708,922.00			
j. Recipient share of expenditures					\$673,808.05			
k. Remaining recipient share to be provided (line i minus j)					\$3,035,113.95			
Program Income:								
l. Total Federal share of program income earned					\$488,894.11			
m. Program income expended in accordance with the deduction alternative					\$0.00			
n. Program income expended in accordance with the addition alternative					\$61,019.67			
o. Unexpended program income (line l minus line m and line n)					\$427,874.44			
11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share	
g. Totals:					\$0.00	\$0.00	\$0.00	
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:								
10c. Funding is received in advances, for this reason there is an excess cash on hand								
13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)								
a. Typed or Printed Name and Title of Authorized Certifying Official Rivera, Alfredo ACCOUNTANT 3					c. Telephone (Area code, number, and extension)			
					d. Email Address			
b. Signature of Authorized Certifying Official Rivera, Alfredo					e. Date Report Submitted (Month, Day, Year) February 16, 2021			

Standard Form 425
OMB Approval Number: 4040-0014
Expiration Date: 02/28/2022

Paperwork Burden Statement
According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

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(Additional Page)

Federal Agency & Organization : ELECTION ASSISTANCE COMMISSION

Federal Grant ID : PA20101001

Recipient Organization : STATE, PENNSYLVANIA DEPARTMENT OF
401 NORTH ST RM 308 N OFC BLDG, HARRISBURG, PA 171200500

DUNS Number :

DUNS Status when Certified :

EIN : September 30, 2020

Reporting Period End Date :

Status :

Remarks :

Federal Agency Review

Reviewer Name :

Phone # :

Email :

Review Date :

Review Comments :

EAC Progress Report

Response ID:118 Data

1. Login

Please enter your userword and password to begin the Progress Narrative. If you require assistance or have any questions, please contact grants@eac.gov

2. Verification

3. EAC Progress Report

1. State or Territory:

Pennsylvania

2. Grant Number:

PA20101001-01

3. Report:

Annual (Oct 1 - Sept 30)

4. Grant:

Please select only one.

Election Security

5. Reporting Period Start Date

10/01/2019

6. Reporting Period End Date

09/30/2020

7. Recipient Organization:

Organization Name

Pa Dept Of State

Street Address

308 N Office Building

City

Harrisburg

State

PA

Zip

17120

4. Progress and Narrative

8. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities.

The 2018 Election Security grant funds were sub-granted in their entirety to county election offices for the replacement of aging voting machines. The 2020 Election Security Grant funds were used in part by the commonwealth as well as sub-granted to county election offices. In total \$10,598,532.84 in federal grant dollars and \$468,609.72 in state match was expended during the 12-month period.

9. Describe any significant changes to your program during the project, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.**Otherwise enter N/A.**

In early September 2020, the Department was notified that it would be receiving additional non-federal grant awards that held specific purposes. These grants focused primarily on voter outreach, personal protective equipment for election day polling places, equipment needs for main and satellite county election offices, and increased capacity and technical support for the commonwealth's Statewide Uniform Registry of Electors (SURE) system. Due to the additional grant funds, the department has reallocated budget for projects originally planned for the 2020 Election Security Grant projects to other commonwealth initiatives.

10. Issues Encountered:

Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if applicable. Address each issue separately and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

Otherwise enter N/A.

no issues encountered

11. Provide a description of any security training conducted.

Otherwise enter N/A.

No election security grant funds were expended on security training during this rating period.

12. Subgrants (if applicable):

Describe how you made funds available to local jurisdictions.

Provide a description of the major categories of subgrant activities local voting districts will accomplish with the funds.

Otherwise enter N/A.

Of the total grant award, \$7 million in sub-grants awards will be provided to counties with the award amount calculated using voter registration numbers in each county as of April 13, 2020, with a minimum grant award totaling \$3,750. County Election offices can use funds for permissible expenditures preferably related to the 2020 election for increased personnel, equipment, and/or other approved expenditures related to Act 77 and expansion of the provision and administration of election services; ransomware, DDOS protections, and other cybersecurity measures; increased security of voting systems and ballots; and/or increased expenditures to expand and support vote by mail and other voter services.

13. Match (if applicable):

Describe how you are meeting the matching requirement.

Otherwise enter - match not required.

Pennsylvania's total state match totals \$3,708,921, of which \$673,808.05 has been expended (\$468,609.72 during this annual reporting period). The current state match spend is the commonwealth's 5% match requirement for the 2018 Election Security grant used to reimburse counties for new voting equipment. The remainder of the state match will come from the commonwealth's initiative to upgrade the Statewide Uniform Registry of Electors (SURE) system. This contract is slated to start on January 4, 2021. From the contract start through June 2023, the commonwealth will invest more than \$4 million in state funding towards this initiative meeting the requirements of the match.

5. Expenditures

20. Current Period Amount Expended and Unliquidated Obligations

GRANT COST CATEGORIES

	Federal	Match
Voting Equipment and Processes:	\$9,577,386.74	\$468,609.72
Post-Election Auditing:		
Voter Registration Systems:	\$2,261,001.36	
Cyber Security:	\$2,013,624.00	
Communications:	\$855,036.87	
Total	\$10,598,532.84	\$468,609.72
Others (County Sub-Grants)	\$7,000,000.00	
Others (OmniBallot)	\$31,783.18	

OMB CONTROL NUMBER: 3265-0020

6. Certification

Name and Contact of the authorized certifying official of the recipient.

First Name

Kimberly

Last Name

Mattis

Title

Director, Bureau of Finance and Operations, PA DOS

Phone Number

Email Address

Signature of Certifying Official:



Signature of: Kimberly Mattis

7. Report Submitted to EAC



Thank you, your progress report has been submitted to EAC. Please keep the PDF download of your submission as grant record.
