

# Federal Financial Report

(Follow form instructions)

OMB Number: 4040-0014  
Expiration Date: 01/31/2019

|   |   |   |   |
|---|---|---|---|
| <b>1. Federal Agency and Organizational Element to Which Report is Submitted</b><br>U.S. Election Assistance Commission   |   | <b>2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment)</b><br>2018 HAVA Election Security |   |
| <b>3. Recipient Organization (Name and complete address including Zip code)</b><br>Recipient Organization Name: Ohio Secretary of State Office<br>Street1: 180 east broad street<br>Street2: 16th floor<br>City: Columbus County:<br>State: OH: Ohio Province:<br>Country: USA: UNITED STATES ZIP / Postal Code: 43215-3705 |   |   |   |
| <b>4a. DUNS Number</b><br>_____   | <b>4b. EIN</b><br>_____   | <b>5. Recipient Account Number or Identifying Number</b><br>_____   |   |
| <b>6. Report Type</b><br><input type="checkbox"/> Quarterly<br><input type="checkbox"/> Semi-Annual<br><input checked="" type="checkbox"/> Annual<br><input type="checkbox"/> Final   | <b>7. Basis of Accounting</b><br><input checked="" type="checkbox"/> Cash<br><input type="checkbox"/> Accrual | <b>8. Project/Grant Period</b><br>From: 03/23/2018 To: 03/22/2023   | <b>9. Reporting Period End Date</b><br>09/30/2019 |
| <b>10. Transactions</b><br>(Use lines a-c for single or multiple grant reporting)   |   |   | <b>Cumulative</b>                                 |
| <b>Federal Cash (To report multiple grants, also use FFR attachment):</b>   |   |   |   |
| a. Cash Receipts  |   |   | 0.00  |
| b. Cash Disbursements   |   |   | 0.00  |
| c. Cash on Hand (line a minus b)  |   |   | 0.00  |
| (Use lines d-o for single grant reporting)  |   |   |   |
| <b>Federal Expenditures and Unobligated Balance:</b>  |   |   |   |
| d. Total Federal funds authorized   |   |   | 12,186,021.00                                     |
| e. Federal share of expenditures  |   |   | 5,994,510.77                                      |
| f. Federal share of unliquidated obligations  |   |   | 0.00  |
| g. Total Federal share (sum of lines e and f)   |   |   | 5,994,510.77                                      |
| h. Unobligated balance of Federal Funds (line d minus g)  |   |   | 6,191,510.23                                      |
| <b>Recipient Share:</b>   |   |   |   |
| i. Total recipient share required   |   |   | 609,301.00  |
| j. Recipient share of expenditures  |   |   | 752,792.31  |
| k. Remaining recipient share to be provided (line i minus j)  |   |   | 0.00  |
| <b>Program Income:</b>  |   |   |   |
| l. Total Federal program income earned  |   |   | 327,846.62  |
| m. Program Income expended in accordance with the deduction alternative   |   |   | 0.00  |
| n. Program Income expended in accordance with the addition alternative  |   |   | 131,338.80  |
| o. Unexpended program income (line l minus line m or line n)  |   |   | 196,507.82  |

11. Indirect Expense

| a. Type    | b. Rate | c. Period From | Period To | d. Base | e. Amount Charged | f. Federal Share |
|------------|---------|----------------|-----------|---------|-------------------|------------------|
|            |         |                |           |         |                   |                  |
|            |         |                |           |         |                   |                  |
| g. Totals: |         |                |           |         |                   |                  |

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:

13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the Federal award. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729-3730 and 3801-3812).

a. Name and Title of Authorized Certifying Official

Prefix:  First Name:  Middle Name:   
 Last Name:  Suffix:   
 Title:

b. Signature of Authorized Certifying Official



c. Telephone (Area code, number and extension)

e. Date Report

Submitted

d. Email Address

14. Agency use only:

**2018 HAVA ELECTION SECURITY GRANT - EXPENDITURES**

| Budget Information                          |                         |                       |                                |                    |                    |                 |                              | CFDA # 90.404   | Non-Construction Program |
|---|-------------------------|-----------------------|--------------------------------|--------------------|--------------------|-----------------|------------------------------|-----------------|--------------------------|
| Name of Organization:                       | Ohio Secretary of State |                       |                                |                    |                    |                 |                              |                 |                          |
| Budget Period Start:                        | 3/23/2018               |                       |                                |                    |                    |                 |                              |                 |                          |
| Budget Period End:                          | 9/30/2019               |                       |                                |                    |                    |                 |                              |                 |                          |
| PROGRAM CATEGORIES                          |                         |                       |                                |                    |                    |                 |                              |                 |                          |
| BUDGET CATEGORIES                           | (a) Voting Equipment    | (b) Election Auditing | (c) Voter Registration Systems | (d) Cyber Security | (e) Communications | (f) Pathfinders | (g) IT & Email Support Pilot | TOTALS          | % Fed Total              |
| 1. PERSONNEL (including fringe)             |                         |                       |                                |                    |                    |                 |                              | \$ -            | 0%                       |
| 2. EQUIPMENT                                |                         |                       |                                | \$ 109,810.12      |                    |                 |                              | \$ 109,810.12   | 2%                       |
| 3. SUBGRANTS- to local voting jurisdictions |                         |                       |                                | \$ 4,340,000.00    |                    | \$ 596,394.34   |                              | \$ 4,936,394.34 | 81%                      |
| 4. TRAINING                                 |                         |                       |                                | \$ 59,526.03       |                    |                 |                              | \$ 59,526.03    | 1%                       |
| 5. All OTHER COSTS                          |                         |                       | \$ 718,839.85                  | \$ 296,210.73      |                    |                 | \$ 5,068.50                  | \$ 1,020,119.08 | 17%                      |
| 6. TOTAL DIRECT COSTS (1-6)                 | \$ -                    | \$ -                  | \$ 718,839.85                  | \$ 4,805,546.88    | \$ -               | \$ 596,394.34   | \$ 5,068.50                  | \$ 6,125,849.57 |                          |
| 7. INDIRECT COSTS (if applied)              |                         |                       |                                |                    |                    |                 |                              | \$ -            | 0%                       |
| 8. Total Federal Expenditures               | \$ -                    | \$ -                  | \$ 718,839.85                  | \$ 4,805,546.88    | \$ -               | \$ 596,394.34   | \$ 5,068.50                  | \$ 6,125,849.57 |                          |
| 11. Non-Federal Match                       |                         |                       | \$ 271,828.37                  | \$ 368,643.94      |                    | \$ 112,320.00   |                              | \$ 752,792.31   |                          |
| 12. Total Program Expenditures              | \$ -                    | \$ -                  | \$ 990,668.22                  | \$ 5,174,190.82    | \$ -               | \$ 708,714.34   | \$ 5,068.50                  | \$ 6,878,641.88 |                          |
| 13. Percentage By Category                  | 0%                      | 0%                    | 12%                            | 78%                | 0%                 | 10%             | 0%                           |                 |                          |

**Program Categories:**

2D These costs include secure and encrypted hardware equipment purchased for use in the Secretary of State's office and Ohio counties.

3D These costs include sub-grants funds provided directly to all 88 counties to assist in implementing the Secretary's cybersecurity priorities.

3F These costs include reimbursements provided to counties to have pathfinder assessments done of their county Board of Elections IT networks.

- 4D These costs include several trainings, tabletop exercises, and webinars related to the Secretary's cybersecurity priorities. Participants included Ohio Secretary of State office staff, county elections staff and officials, and other stakeholders.
- 5C These costs include software and services to upgrade and strengthen Ohio's voter registration database.
- 5D These costs include software and services to upgrade and strengthen elections-related IT networks at the Secretary of State's office and in Ohio counties.
- 5G These costs include email support and hosting services for pilot counties.
- 11C These costs include voter system database licenses, support, re-platforming, and security enhancement projects.
- 11D These costs include staffing, network/database support, disaster recovery support and equipment to obtain the achievement of the Secretary's cybersecurity plan.
- 11F These costs include the performance of a pathfinder network assessment of the Ohio Secretary of State's office's IT infrastructure.