

JON HUSTED
Ohio Secretary of State



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Columbus, OH 43215
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www.OhioSecretaryofState.gov

via email to: havafunding@eac.gov

December 19, 2018

State HAVA Funding Reports
U.S. Election Assistance Commission
Attn: Director of Grants
1335 East West Highway, Suite 4300
Silver Spring, MD 20910

Reference: HAVA Standard Form 425 Report – Title I, Section 101 Election Security Grant Funds for federal fiscal year 2018

Dear Director of Grants:

Enclosed is the required report on funds provided to Ohio under the Help America Vote Act of 2002 (HAVA), Title I, Section 101 Election Security Grant Funds for federal fiscal year 2018.

Attachments to this letter include: Title I, Section 101 – Form 425 report for federal fiscal year 2018 along with detailed expenditures by category of activities.

If you have any questions or need further information, do not hesitate to contact me at (614) 995-5999.

Sincerely,

A handwritten signature in blue ink that reads "Katherine C. Nickey".

Katherine C. Nickey
Chief Financial Officer

Enclosures

FEDERAL FINANCIAL REPORT

(Follow form Instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted U.S. Election Assistance Commission	2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) Section 101 - 2018 HAVA Election Security Grant	Page of 1 2 pages
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3. Recipient Organization (Name and complete address including Zip code)
 Ohio Secretary of State Office
 180 E Broad St. 18th Floor
 Columbus, OH 43215

4a. DUNS Number 360744247	4b. EIN 31-1334850	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment) CFDA 90.404	6. Report Type <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	7. Basis of Accounting <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual
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8. Project/Grant Period (Month, Day, Year) From: 03/23/2018 To: 03/22/2023	9. Reporting Period End Date (Month, Day, Year) September 30, 2018
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10. Transactions Cumulative

(Use lines a-c for single or combined multiple grant reporting)

Federal Cash (To report multiple grants separately, also use FFR Attachment):	
a. Cash Receipts	\$12,186,021.00
b. Cash Disbursements	\$129,589.48
c. Cash on Hand (line a minus b)	\$12,056,431.52

(Use lines d-o for single grant reporting)

Federal Expenditures and Unobligated Balance:	
d. Total Federal funds authorized	\$12,186,021.00
e. Federal share of expenditures	\$129,589.48
f. Federal share of unliquidated obligations	\$0.00
g. Total Federal share (sum of lines e and f)	\$129,589.48
h. Unobligated balance of Federal funds (line d minus g)	\$12,056,431.52


Recipient Share:	
i. Total recipient share required	\$609,301.00
j. Recipient share of expenditures	\$0.00
k. Remaining recipient share to be provided (line i minus j)	\$0.00

Program Income:	
l. Total Federal share of program income earned	\$54,878.10
m. Program income expended in accordance with the deduction alternative	\$0.00
n. Program income expended in accordance with the addition alternative	\$0.00
o. Unexpended program income (line l minus line m or line n)	\$54,878.10

11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
					g. Totals:	0	0

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:

13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)

a. Typed or Printed Name and Title of Authorized Certifying Official Katherine C. Nickey, Chief Financial Officer	c. Telephone (Area code, number, and extension) 614-995-5999 d. Email Address knickey@ohiosecretaryofstate.gov
b. Signature of Authorized Certifying Official 	e. Date Report Submitted (Month, Day, Year) December 19, 2018
14. Agency use only:	

Standard Form 425 - Revised 10/11/2011
 OMB Approval Number: 0348-0061
 Expiration Date: 2/28/2015

Paperwork Burden Statement
 According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 0348-0061. Public reporting burden for this collection of information is estimated to average 1.5 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0061), Washington, DC 20503.

2018 HAVA ELECTION SECURITY GRANT - EXPENDITURES

Budget Information CFDA # 90.404 Non-Construction Program

Name of Organization: Ohio Secretary of State

Budget Period Start: 3/23/2018

Budget Period End: 9/30/2018

BUDGET CATEGORIES	PROGRAM CATEGORIES										% Fed Total	
	(a) Voting Equipment	(b) Election Auditing	(c) Voter Registration Systems	(d) Cyber Security	(e) Communications	(f) Other	(g) Other	TOTALS				
1. PERSONNEL (including fringe)											\$ -	0%
2. EQUIPMENT											\$ -	0%
3. SUBGRANTS- to local voting jurisdictions											\$ -	0%
4. TRAINING				\$ 48,584.48							\$ 48,584.48	37%
5. All OTHER COSTS			\$ 81,005.00								\$ 81,005.00	63%
6. TOTAL DIRECT COSTS (1-6)	\$ -	\$ -	\$ 81,005.00	\$ 48,584.48	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 129,589.48	
7. INDIRECT COSTS (if applied)											\$ -	0%
8. Total Federal Expenditures	\$ -	\$ -	\$ 81,005.00	\$ 48,584.48	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 129,589.48	
11. Non-Federal Match											\$ -	
12. Total Program Expenditures	\$ -	\$ -	\$ 81,005.00	\$ 48,584.48	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 129,589.48	
13. Percentage By Category	0%	0%	63%	37%	0%	0%	0%	0%	0%	0%		

Program Categories:

This costs represents an in-person cybersecurity training. It was a hands on approach to preparing and responding properly to a variety of cybersecurity threats facing the elections 4D systems. There were a total of 5 separate in-person training events with roughly 260 participants. Also included in the costs was an online cybersecurity training course. About 579 participants took the online course.

5C. These costs represents upgrading and strengthening our Voter Registration database.