

FEDERAL FINANCIAL REPORT

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted ELECTION ASSISTANCE COMMISSION				2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) OH20101001			
3. Recipient Organization (Name and complete address including Zip code) Secretary of State, Ohio 180 E Broad St 16th Fl, columbus, OH 432153726							
4a. DUNS Number	4b. EIN	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)		6. Report Type	7. Basis of Accounting		
				<input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	<input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual		
8. Project/Grant Period (Month, Day, Year) From: March 28, 2018				9. Reporting Period End Date (Month, Day, Year) September 30, 2020			
To: September 30, 2019							
10. Transactions					Cumulative		
<i>(Use lines a-c for single or combined multiple grant reporting)</i>							
Federal Cash (To report multiple grants separately, also use FFR Attachment):							
a. Cash Receipts					\$25,907,133.00		
b. Cash Disbursements					\$12,389,548.43		
c. Cash on Hand (line a minus b)					\$13,517,584.57		
<i>(Use lines d-o for single grant reporting)</i>							
Federal Expenditures and Unobligated Balance:							
d. Total Federal funds authorized					\$25,907,133.00		
e. Federal share of expenditures					\$12,389,548.43		
f. Federal share of unliquidated obligations					\$3,078,089.60		
g. Total Federal share (sum of lines e and f)					\$15,467,638.03		
h. Unobligated balance of Federal funds (line d minus g)					\$10,439,494.97		
Recipient Share:							
i. Total recipient share required					\$3,353,523.00		
j. Recipient share of expenditures					\$3,353,523.00		
k. Remaining recipient share to be provided (line i minus j)					\$0.00		
Program Income:							
l. Total Federal share of program income earned					\$468,848.72		
m. Program income expended in accordance with the deduction alternative					\$0.00		
n. Program income expended in accordance with the addition alternative					\$228,945.56		
o. Unexpended program income (line l minus line m and line n)					\$239,903.16		
11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
g. Totals:					\$0.00	\$0.00	\$0.00
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation: State Interest Earned: \$0.							
13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)							
a. Typed or Printed Name and Title of Authorized Certifying Official					c. Telephone (Area code, number, and extension)		
Geib, Scott Budget Coordinator					d. Email Address		
b. Signature of Authorized Certifying Official					e. Date Report Submitted (Month, Day, Year)		
Geib, Scott					March 4, 2021		

Standard Form 425
OMB Approval Number: 4040-0014
Expiration Date: 02/28/2022

Paperwork Burden Statement

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

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(Additional Page)

Federal Agency & Organization : ELECTION ASSISTANCE COMMISSION

Federal Grant ID : OH20101001

Recipient Organization : Secretary of State, Ohio
180 E Broad St 16th Fl, Columbus, OH 432153726:

DUNS Number :

DUNS Status when Certified :

EIN :

Reporting Period End Date : September 30, 2020

Status :

Remarks :

Federal Agency Review

Reviewer Name :

Phone # :

Email :

Review Date :

Review Comments :

EAC Progress Report

Response ID:40 Data

1. Login

Please enter your userword and password to begin the Progress Narrative. If you require assistance or have any questions, please contact grants@eac.gov

2. Verification

3. EAC Progress Report

1. State or Territory:

Ohio

2. Grant Number:

OH2010100101

3. Report:

Annual (Oct 1 - Sept 30)

4. Grant:

Please select only one.

Election Security

5. Reporting Period Start Date

10/01/2019

6. Reporting Period End Date

09/30/2020

9. Recipient Organization:

Organization Name

Ohio Secretary Of State Office

Street Address

180 East Broad Street

City

Columbus

State

OH

Zip

43215-3705

4. Progress and Narrative

10. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities.

During federal fiscal year 2020, the Ohio Secretary of State's Office and county boards of elections were successful in implementing the most safe and secure election cycle in Ohio's history. The Secretary of State's Office issued multiple Security Directives requiring county boards of elections to procure services and equipment that provided significant election security enhancements to IT systems and cybersecurity infrastructure statewide.

HAVA Election Security Grant funds were used at both the state and local levels to ensure that the robust security upgrades required by the Security Directives were in place for the 2020 election cycle, and to provide ongoing support for those security enhancements.

Grant funding for voter accessibility improvements was also provided to each county board of elections to continue the Secretary of State's Office's goal of ensuring that people with disabilities have a full and equal opportunity to vote in all aspects of voting, including voter registration, polling location selection, board offices, early vote centers, websites, and the casting of ballots, whether on Election Day or during the absentee voting period.

While the COVID-19 pandemic introduced unprecedented challenges this year, the Secretary of State's Office was able to address issues related to the pandemic mostly through the use of CARES grant funding and other state appropriations, which allowed the Office to target the use of HAVA funds mainly on cybersecurity, physical security, and voter accessibility improvements as described in the Office's Program Narratives.

12. Describe any significant changes to your program during the project, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.**Otherwise enter N/A.**

No significant changes during this period.

18. Issues Encountered:

Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if applicable. Address each issue separately and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

Otherwise enter N/A.

No issues encountered.

Provide a description of any training conducted.**Otherwise enter N/A.****15. Provide a description of any security training conducted.**

Otherwise enter N/A.

The Office trained roughly 1,000 Secretary of State and County Elections Officials in cybersecurity best practices using KnowBe4. This package allowed the Office to provide a quick, high impact cyber security training to help understand the dangers of phishing, how to properly spot a phishing email, and general cybersecurity principles such as good cybersecurity hygiene and password security.

16. Subgrants (if applicable):

Describe how you made funds available to local jurisdictions.

Provide a description of the major categories of subgrant activities local voting districts will accomplish with the funds.

Otherwise enter N/A.

Block grants were made available to each county board of elections in equal amounts. \$25,000 of each grant is to be dedicated to cybersecurity and physical security improvements. \$15,000 is to be dedicated to making voting accessibility improvements at boards of elections. If boards were able to comply with the Secretary of State's Security Directives and had additional cyber and physical security sub-grant funding remaining, they are permitted to use the remainder on voting accessibility improvements.

17. Match (if applicable):

Describe how you are meeting the matching requirement.

Otherwise enter - match not required.

In federal fiscal year 2019, Ohio reported about \$750,000 in state match that was spent from state appropriations on various cybersecurity expenses, including remote cybersecurity services supporting the statewide voter registration database, disaster recovery support, and payroll costs for staff dedicated to cybersecurity roles.

This March, the Ohio legislature passed H.B. 197, which made several changes to this year's primary election process due to the COVID-19 pandemic and included state appropriations to aid in implementing those changes. About \$4.7 million of those appropriations were distributed to reimburse county boards of elections for the costs of implementing H.B. 197. Such eligible costs included vote by mail printing, postage, and equipment; secure receptacles for the return of ballots; temporary elections staffing; cleaning supplies; and protective masks and equipment. Of this \$4.7 million in reimbursements, about \$0.9 million has already been claimed as state match for CARES funding, and \$2.6 million is claimed as a state match for HAVA, satisfying Ohio's HAVA state match requirement.

14. Report on the number and type of articles of voting equipment obtained with the funds.

Include the amount expended on the expenditure table.

Otherwise enter N/A.

No articles of voting equipment purchased during this period.

5. Expenditures

20. Current Period Amount Expended and Unliquidated Obligations

GRANT COST CATEGORIES

	Federal	Match
Voting Equipment and Processes:	\$0.00	\$0.00
Post-Election Auditing:	\$0.00	\$0.00
Voter Registration Systems:	\$3,662.86	\$0.00
Cyber Security:	\$8,094,832.50	\$0.00
Communications:	\$0.00	\$0.00
Total	\$9,473,127.26	\$2,600,730.69
Pathfinder	\$15,799.00	\$0.00
Email Pilot	\$34,032.90	\$0.00
Voter Accessibility	\$1,320,000.00	\$0.00
Primary Election Assistance	\$4,800.00	\$2,600,730.69

6. Certification

Name and Contact of the authorized certifying official of the recipient.

First Name

Deckard

Last Name

Stanger

Title

Chief Financial Officer

Phone Number**Email Address**

Signature of Certifying Official:

A handwritten signature in black ink, consisting of several loops and a long horizontal stroke at the end.

Signature of: Deckard Stanger