

FEDERAL FINANCIAL REPORT

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted ELECTION ASSISTANCE COMMISSION				2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) NY20101001				
3. Recipient Organization (Name and complete address including Zip code) STATE, NEW YORK DEPARTMENT OF 40 N PEARL ST STE 5, ALBANY, NY 122072729								
4a. DUNS Number	4b. EIN	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)		6. Report Type	7. Basis of Accounting			
				<input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	<input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual			
8. Project/Grant Period (Month, Day, Year) From: March 28, 2018				9. Reporting Period End Date (Month, Day, Year) September 30, 2020				
To: September 30, 2019								
10. Transactions					Cumulative			
<i>(Use lines a-c for single or combined multiple grant reporting)</i>								
Federal Cash (To report multiple grants separately, also use FFR Attachment):								
a. Cash Receipts					\$41,431,856.00			
b. Cash Disbursements					\$11,836,838.08			
c. Cash on Hand (line a minus b)					\$29,595,017.92			
<i>(Use lines d-o for single grant reporting)</i>								
Federal Expenditures and Unobligated Balance:								
d. Total Federal funds authorized					\$41,431,856.00			
e. Federal share of expenditures					\$11,836,838.08			
f. Federal share of unliquidated obligations					\$0.00			
g. Total Federal share (sum of lines e and f)					\$11,836,838.08			
h. Unobligated balance of Federal funds (line d minus g)					\$29,595,017.92			
Recipient Share:								
i. Total recipient share required					\$5,363,824.00			
j. Recipient share of expenditures					\$933,664.42			
k. Remaining recipient share to be provided (line i minus j)					\$4,430,159.58			
Program Income:								
l. Total Federal share of program income earned					\$673,535.09			
m. Program income expended in accordance with the deduction alternative					\$0.00			
n. Program income expended in accordance with the addition alternative					\$0.01			
o. Unexpended program income (line l minus line m and line n)					\$673,535.08			
11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share	
g. Totals:					\$0.00	\$0.00	\$0.00	
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation: State interest earned (current year): \$0, State interest expended (current year): \$0, Program Income Earned (current year): \$.....								
13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)								
a. Typed or Printed Name and Title of Authorized Certifying Official Brehm, Robert Co-Executive Director					c. Telephone (Area code, number, and extension)			
					d. Email Address			
b. Signature of Authorized Certifying Official Brehm, Robert					e. Date Report Submitted (Month, Day, Year) December 28, 2020			

Standard Form 425
OMB Approval Number: 4040-0014
Expiration Date: 02/28/2022

Paperwork Burden Statement

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

FEDERAL FINANCIAL REPORT

(Additional Page)

Federal Agency & Organization : ELECTION ASSISTANCE COMMISSION

Federal Grant ID : NY20101001

Recipient Organization : STATE, NEW YORK DEPARTMENT OF
40 N PEARL ST STE 5, ALBANY, NY 122072729:

DUNS Number :

DUNS Status when Certified :

EIN : September 30, 2020

Reporting Period End Date :

Status :

Remarks :

Federal Agency Review

Reviewer Name :

Phone # :

Email :

Review Date :

Review Comments :

EAC Progress Report

Response ID:48 Data

1. Login

Please enter your userword and password to begin the Progress Narrative. If you require assistance or have any questions, please contact grants@eac.gov

2. Verification

3. EAC Progress Report

1. State or Territory:

New York

2. Grant Number:

NY20101001

3. Report:

Annual (Oct 1 - Sept 30)

4. Grant:

Please select only one.

Election Security

5. Reporting Period Start Date

10/01/2019

6. Reporting Period End Date

09/30/2020

7. DUNS/UEI:

8. EIN:

9. Recipient Organization:

Organization Name

New York State Board Of Elections

Street Address

40 N. Pearl Street

City

Albany

State

NY

Zip

12207

4. Progress and Narrative

10. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities.

The New York State Board of Elections (NYSBOE) has continued its efforts in the implementation of our ARMOR plan developed in 2018. ARMOR stands for "Assess the Risk, Remediate Vulnerabilities, Monitor on-going Operations and Respond to incidents. The NYSBOE has continued its work in strengthening the State and County Board of Elections cybersecurity infrastructure by continuing intrusion detection, managed security services, annual cyber hygiene training requirements, administering statewide tabletop exercises, daily pre-election operation center statewide calls; through the maturation of our Secure Elections Center and via a distributed cybersecurity newsletter.

11. Provide a timeline and description of project activities funded to meet HAVA requirements. Provide an analysis of how such activities conform to the submitted State Plan or Program Narrative as applicable.

The NYSBOE has implemented a number of projects:

- Annual Cyberhygiene from 2018-2020; this program will be continued for another two years through 2022;
- the administration of a 2020 statewide tabletop exercise;
- the continuation of intrusion detection capability;
- the continuation of managed security services;
- the creation of a new Elections Cybersecurity Remediation grant program to run through December 2021.

12. Describe any significant changes to your program during the course of the project, or if the project was implemented differently than described in your original State Plan or Program Narrative.**Otherwise enter - no significant changes during this period.**

The NYSBOE has utilized \$9 million dollars of the 2018/2020 HAVA Election security funds to create the NYS Elections Cybersecurity Remediation Grant Fund. This fund allocates each County Board of Elections with grant funds to remediate vulnerabilities or strengthen their infrastructure as documented in their risk assessment and risk remediation plans submitted with the NYSBOE.

13. Describe any favorable developments which enabled meeting time schedules and objectives sooner or at less cost than anticipated or producing more or different beneficial results than originally planned.**Otherwise enter N/A.**

The NYSBOE continued to provide services to CBOES that had a uniform, consistent impact on strengthening our cybersecurity posture. The Elections Cybersecurity Remediation grant funds will enable County Boards of Elections to address issues identified in their risk assessment plan that are unique to their infrastructure.

14. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on

the expenditure table.

Otherwise enter - No articles of voting equipment purchased during this period.

No articles of voting equipment purchased during this period.

15. Provide a description of any security training conducted and the number of participants.

Otherwise enter - no security training conducted during this period.

The NYSBOE provided cyber-hygiene training to all State Board, County Board, County IT and applicable vendors from 2018-2020. NYSBOE provided training to 1,068 staff in 2020.

In addition, NYSBOE administered a statewide tabletop exercise to all sixty-two (62) County Boards of Elections, County IT administrators, our vendor community and our state partners. Overall, 254 participants signed up to attend this tabletop exercise.

16. Subgrants (if applicable):

Describe how you made funds available to local jurisdictions.

Provide a description of the major categories of subgrant activities local voting districts will accomplish with the funds.

Otherwise enter N/A.

The NYSBOE created a \$9 million dollar Elections Cybersecurity Remediation grant program to allocate funding directly to our 62 Board of Elections. Each Board of Election had a comprehensive, uniform risk assessment performed by NYSBOE in 2018-19. The NYSBOE worked with each County Board to develop and approve a cybersecurity risk remediation plan. The grant funds will support County's in implementing their specific cybersecurity risk remediation plan.

17. Match (if applicable):

Describe how you are meeting the matching requirement.

Otherwise enter - match not required.

The New York State Legislature appropriated the required 20% match.

18. Issues Encountered:

Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if appropriate. Address each issue separately in its own section, and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

Otherwise enter - no issues encountered.

No issues encountered.

19. Upcoming Activities:

Provide a timeline and description of upcoming activities.

In 2021, the NYSBOE will continue intrusion detection services, managed security services, cyber hygiene user training, developing cybersecurity regulations, continue the work of our Secure Elections Center, administering the Elections Cybersecurity Remediation Grant program and respond to incidents.

5. Expenditures

20. Current Period Amount Expended and Unliquidated Obligations

GRANT COST CATEGORIES

	Federal	Match
Voting Equipment:		
Post-Election Auditing:		
Voter Registration Systems:		
Cyber Security:	\$5,222,855.87	\$256,588.88
Communications:		
Total	\$5,222,855.87	\$256,588.88

OMB CONTROL NUMBER: 3265-0020

6. Certification

Name and Contact of the authorized certifying official of the recipient.

First Name

Robert

Last Name

Brehm

Title

Co-Executive Director

Phone Number

Email Address

Signature of Certifying Official:



Signature of: Robert A. Brehm

7. Report Submitted to EAC



Thank you, your progress report has been submitted to EAC. Please keep the PDF download of your submission as grant record.