

FEDERAL FINANCIAL REPORT

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted ELECTION ASSISTANCE COMMISSION					2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) NE20101001				
3. Recipient Organization (Name and complete address including Zip code) Secretary Of State, Nebraska STATE CAPITOL STE 2300, LINCOLN, NE 68509									
4a. DUNS Number		4b. EIN		5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)		6. Report Type <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final		7. Basis of Accounting <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual	
8. Project/Grant Period (Month, Day, Year) From: March 28, 2018 To: September 30, 2019					9. Reporting Period End Date (Month, Day, Year) September 30, 2020				
10. Transactions								Cumulative	
(Use lines a-c for single or combined multiple grant reporting)									
Federal Cash (To report multiple grants separately, also use FFR Attachment):									
a. Cash Receipts								\$7,422,268.00	
b. Cash Disbursements								\$1,534,553.36	
c. Cash on Hand (line a minus b)								\$5,887,714.64	
(Use lines d-o for single grant reporting)									
Federal Expenditures and Unobligated Balance:									
d. Total Federal funds authorized								\$7,422,268.00	
e. Federal share of expenditures								\$1,534,553.36	
f. Federal share of unliquidated obligations								\$0.00	
g. Total Federal share (sum of lines e and f)								\$1,534,553.36	
h. Unobligated balance of Federal funds (line d minus g)								\$5,887,714.64	
Recipient Share:									
i. Total recipient share required								\$959,913.00	
j. Recipient share of expenditures								\$959,913.00	
k. Remaining recipient share to be provided (line i minus j)								\$0.00	
Program Income:									
l. Total Federal share of program income earned								\$201,902.24	
m. Program income expended in accordance with the deduction alternative								\$0.00	
n. Program income expended in accordance with the addition alternative								\$0.01	
o. Unexpended program income (line l minus line m and line n)								\$201,902.23	
11. Indirect Expense		a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share	
					g. Totals:	\$0.00	\$0.00	\$0.00	
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation: State Interest earned: \$0									
13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)									
a. Typed or Printed Name and Title of Authorized Certifying Official Arnold, Joan Controller						c. Telephone (Area code, number, and extension) d. Email Address			
b. Signature of Authorized Certifying Official Arnold, Joan						e. Date Report Submitted (Month, Day, Year) December 18, 2020			

Standard Form 425
OMB Approval Number: 4040-0014
Expiration Date: 02/28/2022

Paperwork Burden Statement

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

FEDERAL FINANCIAL REPORT

(Additional Page)

Federal Agency & Organization : ELECTION ASSISTANCE COMMISSION

Federal Grant ID : NE20101001

Recipient Organization : Secretary Of State, Nebraska
STATE CAPITOL STE 2300, LINCOLN, NE 68509:

DUNS Number

DUNS Status when Certified

EIN

Reporting Period End Date : September 30, 2020

Status :

Remarks :

Federal Agency Review

Reviewer Name :

Phone # :

Email :

Review Date :

Review Comments :

EAC Progress Report

Response ID:29 Data

1. Login

Please enter your userword and password to begin the Progress Narrative. If you require assistance or have any questions, please contact grants@eac.gov

2. Verification

3. EAC Progress Report

1. State or Territory:

Nebraska

2. Grant Number:

NE2010100101

3. Report:

Annual (Oct 1 - Sept 30)

4. Grant:

Please select only one.

Election Security

5. Reporting Period Start Date

10/01/2019

6. Reporting Period End Date

09/30/2020

7. DUNS/UEI:

8. EIN:

9. Recipient Organization:

Organization Name

Office Of The Secretary Of State

Street Address

1445 K Street, Ste 2300

City

Lincoln

State

NE

Zip

68509

4. Progress and Narrative

10. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities.

The State of Nebraska replaced all vote tabulation equipment and ADA compliant ballot marking devices across the State's 93 counties prior to the May 2020 primary election. Approximately \$1.2 million of HAVA federal funds contributed to the approximately \$10 million replacement project. The state funding for this project enabled Nebraska to fully meet the state matching requirement for the Election Security grant during this period as well.

A Training and Implementation Coordinator was hired in December of 2019 to coordinate the equipment replacement project and provide ongoing training to the counties regarding election administration and security. New equipment training sessions were held for regions across the state until March 2020, when the COVID-19 pandemic prevented large gatherings. After that point, individual on-site and virtual training was provided in compliance with COVID-19 restrictions.

An Albert Monitor was added to a fourth county to ensure coverage of the most critical areas in Nebraska. These devices monitor suspicious activity in real time with the assistance of the Elections Infrastructure –Information Sharing Analysis Center (EI-ISAC). Funds continue to be used for the ongoing monitoring service costs.

Voter Registration System enhancements were requested by the Secretary of State and local election officials to increase efficiency and enhance reporting. Many of those enhancements were implemented during this period. Additionally, prior to the 2018 General Election, a two-factor authentication process for the Statewide Voter Registration System was put in place in each county election office for voter registration. Security tokens and license fees continue to be provided.

The Secretary of State provided assistance to local election officials to accommodate voters with disabilities and offer modifications to polling sites to maintain ADA compliance in several counties.

11. Provide a timeline and description of project activities funded to meet HAVA requirements. Provide an analysis of how such activities conform to the submitted State Plan or Program Narrative as applicable.

October 2019: Election Training and Security Conference was held.

December 2019: Voting equipment contract was signed. A Training and Implementation Coordinator was hired to coordinate the equipment replacement project and provide ongoing training to the counties regarding election administration and security.

January 2020: Delivery and implementation of statewide equipment replacement project began. Regional equipment training also started.

May 2020: New election equipment replacement and training was completed in time for the May 2020 primary election.

June 2020: Fourth Albert Monitor was installed to monitor suspicious activity in the State's most critical areas.

The activities described conformed to the categories outlined in the Program Narrative describing how Nebraska planned to use the grant funds to improve the administration of elections for Federal office, including to enhance election technology and make election security improvements.

12. Describe any significant changes to your program during the course of the project, or if the project was implemented differently than described in your original State Plan or Program Narrative.

Otherwise enter - no significant changes during this period.

The Program Narrative was amended during this period to adjust for a couple of changes. Initial plans for the HAVA Election Security award was to be used to pay for emergency replacement of older equipment. When the Nebraska legislature appropriated funds for a complete equipment replacement in 2019, the plan was modified to use the federal award in conjunction with the appropriated state funds to replace all equipment. Additionally, two new positions were added. Due to the magnitude of the replacement project, the plan was modified to add a coordinator to provide training and implementation services statewide. The second position was a grant administrator. Existing staff didn't have the time to take on administration of several new federal grants related to Elections or create a subaward program. This new Grant Administrator position has created a subaward program and will be able to better facilitate grant management going forward and assist with subaward grants to our local election officials.

13. Describe any favorable developments which enabled meeting time schedules and objectives sooner or at less cost than anticipated or producing more or different beneficial results than originally planned.

Otherwise enter N/A.

N/A

14. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure table.

Otherwise enter - No articles of voting equipment purchased during this period.

154 new vote tabulators (72 precinct level scanners, 69 mid range scanners, and 13 high speed scanners), 81 air-gapped computers, and 1,337 ADA compliant ballot marking devices were purchased. These items, along with the related software and vendor training amounted to a total project costs of nearly \$10 million, of which \$1.2 million federal funds were used.

15. Provide a description of any security training conducted and the number of participants.

Otherwise enter - no security training conducted during this period.

An Election Training and Security Conference was held in October 2019 for over 125 participants representing 92 of the 93 counties in the state. At this conference, participants heard from National and Regional representatives from the Department of Homeland Security and a Representative of the EI-ISAC. Election officials also participated in a security related tabletop exercise simulating scenarios that could occur leading up to election day.

16. Subgrants (if applicable):

Describe how you made funds available to local jurisdictions.

Provide a description of the major categories of subgrant activities local voting districts will accomplish with the funds.

Otherwise enter N/A.

A process has been developed to provide subgrants for election offices across the state for election security. Election offices can apply for the funds which will be available for ADA compliance requirements, computer security and other supported requests. However, no funds were sub-awarded out during this period.

17. Match (if applicable):

Describe how you are meeting the matching requirement.

Otherwise enter - match not required.

The Nebraska State Legislature appropriated state funds for a statewide election equipment replacement project. As previously discussed, those funds were used to replace ballot counting and ADA compliant ballot marking devices, fully meeting the state match requirement.

18. Issues Encountered:

Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if appropriate. Address each issue separately in its own section, and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

Otherwise enter - no issues encountered.

No major issues were encountered. Any minor issues were previously addressed in the section above regarding significant changes to the Program Narrative.

19. Upcoming Activities:

Provide a timeline and description of upcoming activities.

HAVA Election Security funds will primarily be used to cover maintenance, firmware and software license costs related to the vote tabulation equipment and ADA compliant ballot marking devices purchased in 2020 as needed.

5. Expenditures**20. Current Period Amount Expended and Unliquidated Obligations****GRANT COST CATEGORIES**

	Federal	Match
Voting Equipment:	\$1,241,656.00	\$0.00
Post-Election Auditing:	\$0.00	\$0.00
Voter Registration Systems:	\$53,970.00	\$959,913.00
Cyber Security:	\$76,414.00	\$0.00
Communications:	\$70,810.00	
Total	\$1,442,850.00	\$959,913.00
Others Accessibility-Related Services: Including improving accessibility and quantity of polling places	\$11,634.00	0
Others (describe) Administration	\$882.00	

OMB CONTROL NUMBER: 3265-0020

6. Certification

Name and Contact of the authorized certifying official of the recipient.

First Name

Marilyn

Last Name

Tabor

Title

Federal Aid Administrator

Phone Number

Email Address

Signature of Certifying Official:



Signature of: Marilyn Tabor

7. Report Submitted to EAC



Thank you, your progress report has been submitted to EAC. Please keep the PDF download of your submission as grant record.