

FEDERAL FINANCIAL REPORT

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted ELECTION ASSISTANCE COMMISSION					2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) MO20101001				
3. Recipient Organization (Name and complete address including Zip code) SECRETARY OF STATE, MISSOURI OFFICE OF THE 600 W MAIN ST, JEFFERSON CITY, MO 651011592									
4a. DUNS Number		4b. EIN		5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)		6. Report Type <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final		7. Basis of Accounting <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual	
8. Project/Grant Period (Month, Day, Year) From: March 28, 2018 To: September 30, 2019					9. Reporting Period End Date (Month, Day, Year) September 30, 2020				
10. Transactions								Cumulative	
(Use lines a-c for single or combined multiple grant reporting)									
Federal Cash (To report multiple grants separately, also use FFR Attachment):									
a. Cash Receipts								\$15,365,191.00	
b. Cash Disbursements								\$2,338,863.92	
c. Cash on Hand (line a minus b)								\$13,026,327.08	
(Use lines d-o for single grant reporting)									
Federal Expenditures and Unobligated Balance:									
d. Total Federal funds authorized								\$15,365,191.00	
e. Federal share of expenditures								\$2,338,863.92	
f. Federal share of unliquidated obligations								\$210,405.20	
g. Total Federal share (sum of lines e and f)								\$2,549,269.12	
h. Unobligated balance of Federal funds (line d minus g)								\$12,815,921.88	
Recipient Share:									
i. Total recipient share required								\$1,988,444.00	
j. Recipient share of expenditures								\$1,001,976.83	
k. Remaining recipient share to be provided (line i minus j)								\$986,467.17	
Program Income:									
l. Total Federal share of program income earned								\$310,917.61	
m. Program income expended in accordance with the deduction alternative								\$0.00	
n. Program income expended in accordance with the addition alternative								\$0.01	
o. Unexpended program income (line l minus line m and line n)								\$310,917.60	
11. Indirect Expense		a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share	
					g. Totals:	\$0.00	\$0.00	\$0.00	
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation: For current year (10/01/19-09/30/20):									
13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)									
a. Typed or Printed Name and Title of Authorized Certifying Official Hughes, Lori Director of Fiscal, HR and Facilities						c. Telephone (Area code, number, and extension) d. Email Address			
b. Signature of Authorized Certifying Official Hughes, Lori						e. Date Report Submitted (Month, Day, Year) January 26, 2021			

Standard Form 425
OMB Approval Number: 4040-0014
Expiration Date: 02/28/2022

Paperwork Burden Statement

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

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(Additional Page)

Federal Agency & Organization : ELECTION ASSISTANCE COMMISSION

Federal Grant ID : MO20101001

Recipient Organization : SECRETARY OF STATE, MISSOURI OFFICE OF THE
600 W MAIN ST, JEFFERSON CITY, MO 651011592 :

DUNS Number :

DUNS Status when Certified :

EIN : September 30, 2020

Reporting Period End Date

Status :

Remarks :

Federal Agency Review

Reviewer Name :

Phone # :

Email :

Review Date :

Review Comments :

EAC Progress Report

Response ID:34 Data

1. Login

Please enter your userword and password to begin the Progress Narrative. If you require assistance or have any questions, please contact grants@eac.gov

2. Verification

3. EAC Progress Report

1. State or Territory:

Missouri

2. Grant Number:

3. Report:

Annual (Oct 1 - Sept 30)

4. Grant:

Please select only one.

Election Security

5. Reporting Period Start Date

10/01/2019

6. Reporting Period End Date

09/30/2020

7. DUNS/UEI:

8. EIN:

9. Recipient Organization:

Organization Name

Secretary Of State, Missouri Office Of The

Street Address

600 W Main St

City

Jefferson City

State

MO

Zip

65101

4. Progress and Narrative

10. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities.

During this grant period the Missouri Office of the Secretary of State (MSOS) worked with the local election authorities (LEAs), and our office to perform an election security audits/assessments (Election Security Assessment). Most LEAs have completed an Election Security Assessment. In addition to the cybersecurity assessment, the LEAs received a Security Best Practices guide. This guide is designed to provide fundamental security recommendations, election security best practices and Missouri resources to continue to improve election security on the local level. MSOS also used these funds to provide manage defense and threat intelligence on the state level.

11. Provide a timeline and description of project activities funded to meet HAVA requirements. Provide an analysis of how such activities conform to the submitted State Plan or Program Narrative as applicable.

We have spent the majority of the year going to each LEA to perform the Election Security Assessment. After each assessment there is a review process and then a meeting with each LEA. After the majority of the Election Security Assessments and reviews were complete we moved into the process of developing and distributing the Security Best Practices guide.

12. Describe any significant changes to your program during the course of the project, or if the project was implemented differently than described in your original State Plan or Program Narrative.

Otherwise enter - no significant changes during this period.

No significant changes during this period.

13. Describe any favorable developments which enabled meeting time schedules and objectives sooner or at less cost than anticipated or producing more or different beneficial results than originally planned.

Otherwise enter N/A.

MSOS has benefited from the knowledge and service gained during this course of action.

14. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure table.

Otherwise enter - No articles of voting equipment purchased during this period.

No articles of voting equipment purchased during this period.

15. Provide a description of any security training conducted and the number of participants.

Otherwise enter - no security training conducted during this period.

After assessments were completed each LEA went through the review process on the local level to improve security.

16. Subgrants (if applicable):

Describe how you made funds available to local jurisdictions.

Provide a description of the major categories of subgrant activities local voting districts will accomplish with the funds.

Otherwise enter N/A.

N/A

17. Match (if applicable):

Describe how you are meeting the matching requirement.

Otherwise enter - match not required.

Thus far, we have met \$1,001,976.83 of our required \$1,988,444 match. This \$1,001,976.83 consists of a portion of the Election Division's annual payments for MSOS software maintenance and support renewal costs for our statewide voter registration database. We anticipate that the remaining \$986,467.17 match requirement will be achieved on the next annual payment on or near February 2021.

18. Issues Encountered:

Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if appropriate. Address each issue separately in its own section, and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

Otherwise enter - no issues encountered.

The timing of the MSOS and LEAs efforts to complete the Election Security Assessments, reviews and individualized local overviews took a direct impact with the COVID-19 pandemic. In order to participate in the Election Security Assessment the

LEA had to submit a Work Authorization, there are some LEAs who have not signed the work authorization in order for the assessment to be performed. Efforts at the MSOS will continue to encourage work authorizations to be complete.

19. Upcoming Activities:

Provide a timeline and description of upcoming activities.

MSOS next steps will be two separate security programs. The first is the Election Security Policy Package that includes customized security policies and plans and security expertise. The second program is Managing Election Security Essentials that will include installing security products, monitoring and segmenting. Sub-grants will be offered as we continue to identify local needs for improving security through these next upcoming programs.

5. Expenditures

20. Current Period Amount Expended and Unliquidated Obligations

GRANT COST CATEGORIES

	Federal	Match
Voting Equipment:		
Post-Election Auditing:		
Voter Registration Systems:	\$88,515.33	
Cyber Security:	\$1,974,952.41	\$1,001,976.83
Communications:		
Total		
Absentee Ballot Box Decals (decals for absentee ballot boxes to secure ballots; boxes themselves were not invoiced until after this billing period)	\$584.34	

OMB CONTROL NUMBER: 3265-0020

6. Certification

Name and Contact of the authorized certifying official of the recipient.

First Name

Sherry

Last Name

Rowden

Title

Accounting Specialist II

Phone Number

Email Address

Signature of Certifying Official:

A handwritten signature in black ink that reads "Sherry Rowden". The signature is written in a cursive, flowing style.

Signature of: Sherry Rowden

7. Report Submitted to EAC



Thank you, your progress report has been submitted to EAC. Please keep the PDF download of your submission as grant record.