

FEDERAL FINANCIAL REPORT

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted ELECTION ASSISTANCE COMMISSION				2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) KY20101001				
3. Recipient Organization (Name and complete address including Zip code) ELECTIONS, KENTUCKY STATE BOARD OF 140 WALNUT ST, FRANKFORT, KY 406013240								
4a. DUNS Number	4b. EIN	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)		6. Report Type	7. Basis of Accounting			
				<input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	<input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual			
8. Project/Grant Period (Month, Day, Year) From: March 28, 2018				9. Reporting Period End Date (Month, Day, Year) September 30, 2020				
To: September 30, 2019								
10. Transactions					Cumulative			
<i>(Use lines a-c for single or combined multiple grant reporting)</i>								
Federal Cash (To report multiple grants separately, also use FFR Attachment):								
a. Cash Receipts					\$12,265,189.00			
b. Cash Disbursements					\$5,763,112.91			
c. Cash on Hand (line a minus b)					\$6,502,076.09			
<i>(Use lines d-o for single grant reporting)</i>								
Federal Expenditures and Unobligated Balance:								
d. Total Federal funds authorized					\$12,265,189.00			
e. Federal share of expenditures					\$5,763,112.91			
f. Federal share of unliquidated obligations					\$0.00			
g. Total Federal share (sum of lines e and f)					\$5,763,112.91			
h. Unobligated balance of Federal funds (line d minus g)					\$6,502,076.09			
Recipient Share:								
i. Total recipient share required					\$1,653,903.37			
j. Recipient share of expenditures					\$206,598.47			
k. Remaining recipient share to be provided (line i minus j)					\$1,447,304.90			
Program Income:								
l. Total Federal share of program income earned					\$35,487.18			
m. Program income expended in accordance with the deduction alternative					\$0.00			
n. Program income expended in accordance with the addition alternative					\$0.00			
o. Unexpended program income (line l minus line m and line n)					\$35,487.18			
11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share	
g. Totals:					\$0.00	\$0.00	\$0.00	
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation: State interest earned: \$0.00								
13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)								
a. Typed or Printed Name and Title of Authorized Certifying Official Sellers, Karen Assistant Director					c. Telephone (Area code, number, and extension)			
					d. Email Address			
b. Signature of Authorized Certifying Official Sellers, Karen					e. Date Report Submitted (Month, Day, Year) February 8, 2021			

Standard Form 425
OMB Approval Number: 4040-0014
Expiration Date: 02/28/2022

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(Additional Page)

Federal Agency & Organization : ELECTION ASSISTANCE COMMISSION

Federal Grant ID : KY20101001

Recipient Organization : ELECTIONS, KENTUCKY STATE BOARD OF
140 WALNUT ST, FRANKFORT, KY 406013240:

DUNS Number :

DUNS Status when Certified :

EIN :

Reporting Period End Date : September 30, 2020

Status :

Remarks :

Federal Agency Review

Reviewer Name :

Phone # :

Email :

Review Date :

Review Comments :

EAC Progress Report

Response ID:64 Data

1. Login

Please enter your userword and password to begin the Progress Narrative. If you require assistance or have any questions, please contact grants@eac.gov

2. Verification

3. EAC Progress Report

1. State or Territory:

Kentucky

2. Grant Number:

KY18101001

3. Report:

Annual (Oct 1 - Sept 30)

4. Grant:

Please select only one.

Election Security

5. Reporting Period Start Date

10/01/2019

6. Reporting Period End Date

09/30/2020

7. DUNS/UEI:

8. EIN:

9. Recipient Organization:

Organization Name

Kentucky State Board Of Elections

Street Address

140 Walnut Street

City

Frankfort

State

KY

Zip

40601

4. Progress and Narrative

10. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities.

During this reporting period the Kentucky State Board of Elections continued to maintain and upgrade the Voter Registration System (VRS) platform in order to sustain the integrity and security of the election system and processes. This reporting period required more attention due to the COVID-19 pandemic, starting for the Agency in May 2020 and has continued through the 2020 General Election. An implementation of an Absentee Ballot portal that allows eligible voters to request absentee ballots online was created to be used during the 2020 Primary Election. The implementation of this portal created a priority to track those ballots diligently. Creating this portal required an increase in labor hours for the IT development staff. Storage for the Voter Registration System became higher as the voter population increased. As voting became more accessible to the public, increased voter information was housed on the servers, creating a need for additional hardware and support. As the Commonwealth transitioned all one hundred twenty (120) counties to casting paper ballots, the need for the tracking of those ballots became vital. The printing of these secure ballots increased and a financial burden within the counties was incurred. Due to the increased voter turnout and the higher costs associated to trackable ballots, the State Board of Elections provided subgrants to counties. Hand scanners were purchased for the counties to effectively scan each ballot as it was sent to the voter and as it was received to process the voter credit. All counties converted to the use of ePoll books and resulted in costs associated with election support, security of the hardware, and a 3-year maintenance agreement was put in place to cover the Primary and General Election of 2020.

11. Provide a timeline and description of project activities funded to meet HAVA requirements. Provide an analysis of how such activities conform to the submitted State Plan or Program Narrative as applicable.

TEK SYSTEMS & LATITUDE 36 – IT contractors in charge of maintaining and upgrading the Voter Registration System. Implementation of the absentee ballot portal was established in the Primary Election and refined during the General Election. Due to the high number of absentee ballots the contractors acquired more hours to implement this portal. This resulted in a total of \$412,302.90 being spent on contractor costs during this period.

STEPCG, LLC – Higher volume use of the Voter Registration System caused more hardware in the form of Ethernet switches, power supplies and warranties on the hardware resulted in \$25,901.82 to be spent with this vendor.

MGM SOLUTIONS (dba POSGUYS) – As the Commonwealth transitioned all voting equipment to paper ballots, the bar coding system was introduced to track all ballots. The switch to paper ballots was implemented urgently because of the pandemic and many counties saw the need for handheld scanners. As a result of this need, 297 handheld scanners were purchased. The overall charge was \$38,016.00.

AT&T – The need for new regulations on communicating about VRS required all communications about changes and training were moved to Zoom to follow the guidelines of social distancing. The total spent for this reporting period was \$1,764.49.

VARIOUS COUNTIES – Due to the pandemic, various counties needed emergency assistance for staffing to provide services during the 2020 Election Cycle. This staffing would require access to Citrix to be able to access voter information in the Voter Registration System. This expenditure was originally charged to the Security Grant but when the State Board of Elections was awarded the Coronavirus Relief Fund from the Department of Treasury, these expenditures were credited to the Security

Grant and transferred the Coronavirus Relief Fund. The Coronavirus Relief Fund was awarded to the State Board of Elections on October 1, 2020 causing the movement expenses to fall just outside of the scope of this reporting period. The credit of these expenditures will be seen in the next reporting period, starting on October 1, 2020.

TENEX SOLUTIONS INC – Provided the agency with ePoll books, training, hardware, equipment support and Election Day support. \$325,000.00 was spent to renew a maintenance agreement for the next 3 years. All one hundred twenty (120) counties in the Commonwealth transitioned to using ePoll books during the 2020 Election Cycle, causing a need for more ePoll book cases at the precincts. These were purchased by the State Board of Elections in a quantity of 969 cases, totaling an expenditure of \$28,132.00. Election support was provided at a cost of \$12,600.00.

BLUEGRASS INTEGRATED COMMUNICATIONS (dba Bluegrass Business Services) – Bluegrass Business services provided trackable absentee ballots for the Primary and General Election to increase the security of this voting type that had previously not been utilized in this magnitude in the Commonwealth. A movement of \$82,022.50 in expenditures was initiated after the Coronavirus Relief Fund was established on October 1, 2020. This movement of expenditures will be present on the next Federal Financial Report. The total of this expenditure was \$262,601.97.

USPS (United States Postal Service) – As a direct result of the implementation of the absentee ballot portal and the accessibility of absentee ballots in an unprecedented volume, the postage to mail these out securely was provided by the State Board of Elections in the total amount of \$777,601.97 during the 2020 Primary Election. As Coronavirus Relief Funds were made available by the Department of Treasury, established on October 1, 2020, funds for these expenditures were transferred but the movement will be shown on the next Federal Financial Report.

12. Describe any significant changes to your program during the course of the project, or if the project was implemented differently than described in your original State Plan or Program Narrative.

Otherwise enter - no significant changes during this period.

On October 1, 2020 the State Board of Elections was awarded Coronavirus Relief Funds from the Department of Treasury and required no state match for applicable expenditures. Because this reporting period for the Security Grants ended on September 30, 2020, costs were incurred during this period that met the criteria for Coronavirus Relief Fund. As a result, some expenditures that were originally charged to the Security Grants were then moved to fall on the Coronavirus Relief Fund and the movement will be reflected on the next Federal Financial and Progress Narrative reports. The Absentee Ballot portal was established during this reporting period in preparation for the 2020 Primary Election. Executive Orders within the Commonwealth created a need for a socially distant option for casting a ballot. The urgency of the implementation of the portal led to more manual hours from our IT staff to develop and execute this type of voting option. The higher voter volume led to higher costs to maintain the data housed in the Voter Registration System.

13. Describe any favorable developments which enabled meeting time schedules and objectives sooner or at less cost than anticipated or producing more or different beneficial results than originally planned.

Otherwise enter N/A.

N/A

14. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure table.

Otherwise enter - No articles of voting equipment purchased during this period.

No articles of voting equipment purchased during this period.

15. Provide a description of any security training conducted and the number of participants.

Otherwise enter - no security training conducted during this period.

No security training conducted during this period.

16. Subgrants (if applicable):

Describe how you made funds available to local jurisdictions.

Provide a description of the major categories of subgrant activities local voting districts will accomplish with the funds.

Otherwise enter N/A.

The Absentee Ballot portal was implemented before the 2020 Primary Election, allowing eligible voters to have a higher opportunity to cast a ballot by applying online. With this implementation of voting type, the one hundred (120) counties within the Commonwealth saw a surge in absentee ballot requests because of the COVID-19 pandemic. During this time the counties also streamlined their voting system to cast all ballots on paper. The combination of higher voter volume due to the availability of absentee ballots and a higher increase in ballots themselves resulted in a need for funding by the State Board of Elections. Trackable absentee ballots were printed and distributed throughout the counties in an effort to streamline the security and integrity of the voting system. Associated ballot and postage costs were incurred.

17. Match (if applicable):

Describe how you are meeting the matching requirement.

Otherwise enter - match not required.

COT (Commonwealth Office of Technology) – The expenditure here were paid out of General Funds and paid for the monthly charge for Citrix users and the data storage for the files housed within the Voter Registration System. The total spent during this reporting period is \$171,921.40.

AT&T – Cradle points were provided during the Primary Elections along with MiFi devices to each of the one hundred twenty (120) counties of the Commonwealth. The total spent out of General Funds was \$21,524.39.

DELL – New laptops and workstations were needed for the IT contractors working on the Voter Registration System to accommodate the implementation of the Absentee Portal. The total paid with General Funds was \$4,717.29.

PROSYS – 14 power supply items were purchased to help accommodate the storage server needed to house the Voter Registration System as the Absentee Portal was established. The total spent out of General Funds was \$2,968.00.

SHI International – 2 MSDN licenses were purchased for use by the IT contractors to continue work on the Voter Registration System. The total paid General Funds was \$2,746.26.

VERIZON – Cradle points were purchased for the Primary Election for counties within the Commonwealth. If the service could not be provided by AT&T, it was provided by Verizon. The total paid from General Funds was \$2,721.13.

The total amount of State Match expended is \$206,598.47 for this reporting period. Applicable State Match funding for the State Board of Elections can be met with existing funding from the Agency's designated Restricted Fund or can be paid directly from the Agency's designated General Funds. Both fund types will be available for the Agency's remaining required State Match funding.

18. Issues Encountered:

Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if appropriate. Address each issue separately in its own section, and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

Otherwise enter - no issues encountered.

No issues encountered.

19. Upcoming Activities:

Provide a timeline and description of upcoming activities.

During this reporting period, the State Board of Elections continued Phase I of the Voter Registration System. The Absentee Ballot portal was established before the 2020 Primary Election and was maintained for use during the 2020 General Election. The implementation of this portal, and its urgency due to the COVID-19 pandemic, required a large portion of dedicated time

by the development staff. During the next reporting period the IT team will begin work on Phase II of the Voter Registration System. This will allow them to move the existing database mainframe to an object relational platform to enable a more highly trackable and efficient system. Work on Phase II will begin after the 2020 General Election and will be anticipated to continue throughout the next Federal Financial Reporting period.

5. Expenditures

20. Current Period Amount Expended and Unliquidated Obligations

GRANT COST CATEGORIES

	Federal	Match
Voting Equipment:	\$0.00	\$0.00
Post-Election Auditing:	\$0.00	\$0.00
Voter Registration Systems:	\$1,007,905.21	\$206,598.47
Cyber Security:	\$364,732.00	\$0.00
Communications:	\$777,601.97	\$0.00
Total	\$2,150,239.18	\$0.00
n/a	\$0.00	\$0.00
n/a-1	\$0.00	\$0.00
n/a-2	\$0.00	\$0.00
n/a-3	\$0.00	\$0.00

OMB CONTROL NUMBER: 3265-0020

6. Certification

Name and Contact of the authorized certifying official of the recipient.

First Name

Rachel

Last Name

Poynter

Title

Executive Staff Advisor

Phone Number

Email Address

Signature of Certifying Official:

A handwritten signature in black ink that reads "Rachel Poynter". The signature is written in a cursive, flowing style.

Signature of: Rachel Poynter

7. Report Submitted to EAC



Thank you, your progress report has been submitted to EAC. Please keep the PDF download of your submission as grant record.