

Federal Financial Report
(Follow form Instructions)

OMB Number: 4040-0014
Expiration Date: 01/31/2019

1. Federal Agency and Organizational Element to Which Report is Submitted U.S Elections Assistance Commission		2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) 90.404 2018 Election Security	
3. Recipient Organization (Name and complete address including Zip code) Recipient Organization Name: Iowa Secretary of State Street1: 321 East 12th Street Street2: City: Des Moines County: Polk State: IA: Iowa Province: Country: USA: UNITED STATES ZIP / Postal Code: 50319			
4a. DUNS Number _____	4b. EIN _____	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment) _____	
6. Report Type <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	7. Basis of Accounting <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual	8. Project/Grant Period From: 03/23/2018 To: 03/22/2023	9. Reporting Period End Date 09/30/2019
10. Transactions (Use lines a-c for single or multiple grant reporting)			Cumulative
Federal Cash (To report multiple grants, also use FFR attachment):			
a. Cash Receipts			0.00
b. Cash Disbursements			0.00
c. Cash on Hand (line a minus b)			0.00
(Use lines d-o for single grant reporting)			
Federal Expenditures and Unobligated Balance:			
d. Total Federal funds authorized			4,608,084.00
e. Federal share of expenditures			1,032,782.06
f. Federal share of unliquidated obligations			0.00
g. Total Federal share (sum of lines e and f)			1,032,782.06
h. Unobligated balance of Federal Funds (line d minus g)			3,575,301.94
Recipient Share:			
i. Total recipient share required			235,154.60
j. Recipient share of expenditures			0.00
k. Remaining recipient share to be provided (line i minus j)			235,154.60
Program Income:			
l. Total Federal program income earned			97,460.40
m. Program Income expended in accordance with the deduction alternative			0.00
n. Program Income expended in accordance with the addition alternative			0.00
o. Unexpended program income (line l minus line m or line n)			97,460.40

11. Indirect Expense

a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
g. Totals:						

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:

13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the Federal award. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729-3730 and 3801-3812).

a. Name and Title of Authorized Certifying Official

Prefix: First Name: Middle Name: Last Name: Suffix: Title:

b. Signature of Authorized Certifying Official

c. Telephone (Area code, number and extension)

d. Email Address

e. Date Report Submitted

14. Agency use only



OFFICE OF THE IOWA SECRETARY OF STATE

Grants Officer
U.S. Election Assistance Commission

Dear Grants Officer:

The security of Iowa's elections is the top priority for Secretary of State Paul D. Pate and his staff. During federal FY19, the State of Iowa utilized the HAVA grant money to further protect the integrity of the vote.

During federal FY19, the State of Iowa utilized HAVA grant money for the following initiatives:

A. Voting Equipment

As previously stated in our original narrative, voters in Iowa already cast paper ballots. Because, election equipment decisions are made by each individual county, and many Iowa's counties have updated equipment over the last three years, none of the HAVA funds were used toward the purchase of new voting equipment.

FY19 Total: \$0.00

B. Election Auditing

Following the 2018 General Election, the first statewide post-election audits in Iowa history were conducted. The Iowa Secretary of State's Office randomly selected precincts in all 99 counties to conduct a post-election audit. County auditors and staff hand-counted ballots in those precincts to ensure the vote totals are accurate. Post-election audits add another layer of integrity to the election process the election process.

The costs associated with developing training materials related to Iowa's post-election audits were incurred in FY19. This included creating a training video for counties to utilize when training their audit board on proper hand count procedures.

FY19 Total: \$2715.00

C. Voter Registration

Following the distribution of the HAVA grant money, Iowa completed the process of joining the Electronic Registration Information Center, Inc. (ERIC). HAVA grant money was used to pay Iowa's membership fee. Additionally, HAVA funds were utilized for Iowa's Eligible But Unregistered (EBU) mailing. Through the EBU mailing, Iowa was able to reach out to more than 300,000 Iowans who were eligible to vote but were not registered. The mailing was timed to coincide with National Voter Registration Day and encouraged recipients to utilize Iowa's online voter registration system in advance of the November 2018 elections. Between September 19, 2018 and October 10, 2018, 21,614 Iowans utilized the online voter registration portal.

In addition to costs incurred for ERIC mailings, Iowa also used HAVA funds to make updates to I-VOTERS, the statewide voter registration database. Updates include programming to allow voters who will be 18 by the General Election to participate in primary elections, the combination of Iowa's city and school elections, including adding additional reports to assist auditors with order ballots, two-factor authentication, and automatically creating a daily export of electronic pollbook data within 30 days of any pending election. Many of the expenditures allocated to the Voter Registration budget category, could have just as easily been classified as cybersecurity expenditures, but because the funds were spent to improve the overall security posture of the statewide voter registration system, we felt that they belonged in this budget category.

FY19 Total: \$305,807.00

D. Cybersecurity

In FY19, the Iowa Secretary of State's Office utilized HAVA grant funds to hire a Cyber Navigator. Having a Cyber Navigator on staff has been incredibly valuable in building partnerships with our County Auditors, county IT staff, and other entities. This member of our staff takes information that is received from our state and federal partners and combines it with other sources to communicate it in a way that makes it more accessible and meaningful for county auditors and their staff. Additionally, the Cyber Navigator travels to assist counties with assessments, organizes trainings dedicated to increasing cyber awareness, and serves as the focal point for counties that need to report information back to SOS.

Additionally, funds were utilized to send staff to the meeting of Government Coordinating Council and the workshop for the National Institute of Standards and Technology's Cybersecurity Framework for Elections. Secretary of State and county elections staff were also able to attend a variety of Table Top Exercises to prepare for hosting events for county auditors and their staff during the 2020 election cycle.

Funds were also utilized to purchase cellphones for key staff members to ensure they are reachable outside of work, or in the event the state phone system is compromised. Moreover, improvements were made to further harden the environment that surrounds the Secretary of State's IT infrastructure. Finally, funds were spent to replace hardware, including computers for election division, upgrading software, and increasing our managed firewall.

FY19 Total: \$318,732.25

E. Communication

HAVA grant funds were expended on voter outreach to reassure Iowans that their elections are safe and secure. We utilized funds to increase voter outreach for the 2018 General Election, including the creation of a Voter Ready Toolkit and website, and to educate voters on the safety and security of Iowa's elections. Iowa saw a record turnout for the 2018 General Election, which is an indicator that Iowans are confident in the state of elections.

FY19 Total: \$91,854.24

F. Accessibility

With the help of HAVA grant funds, Iowa was able to increase outreach related to accessibility. In partnership with stakeholders, we were able to develop a brochure and toolkit related to accessible voting. These items were available to advocacy groups to distribute to their publics. Additionally, funds were used for a social media campaign to education persons with disabilities, including disabled veterans, on options for accessible voting in Iowa.

Additionally, the Secretary of State's Office partnered with Disability Rights Iowa to purchase curbside voting signs for every precinct in the state.

The grant funds expended on accessibility in FY19, were a part of the same projects that incurred some costs in FY18.

As a result of these efforts, the U.S. Election Assistance Commission named the Iowa Secretary of State's Office as the recipient of a 2018 Clearie Award for Improving Accessibility for Voters with Disabilities. The award recognized Secretary of State Paul Pate's "Helping Veterans and Iowans with Disabilities Vote" initiative that has educated thousands of veterans and Iowans with disabilities about resources available to help them participate in elections.

FY 18 Total: \$71,920.84

G. Election Administration

Iowa utilized HAVA grant funds to send members of the Secretary of State's Election Division to national elections conferences hosted by the National Associations of Secretaries of States and the National Association of State Election Directors. Additionally, staff have attended conferences hosted by the Election Center. Two Iowa Secretary of State staff members are currently completing the Election Center's Certified Elections Registration Administrator. Secretary Pate is already CERA certified and attends Election Center events as a part of maintaining his certification. Finally, a staff member attended the National Postal Forum held in May of 2019. Additionally, funds were used to sponsor and host training sessions for County Auditors and their staffs on election administration and Iowa law.

As a part of Iowa's EBU mailing, the Elections Division brought on temporary staff to answer phone calls and assist voters with the online registration process.

Funds were also used to create training materials for precinct election officials and to make improvements to the electronic pollbook product provided by the Secretary of State's Office.

FY18 Total: \$47,573.37

Sincerely,

Molly M. Widen
Legal Counsel