

FEDERAL FINANCIAL REPORT

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted ELECTION ASSISTANCE COMMISSION					2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) GU20101001				
3. Recipient Organization (Name and complete address including Zip code) GOVERNMENT OF GUAM 414 WEST SOLEDAD AVE, SUITE 200,, HAGATNA 969105067, GU 969105067									
4a. DUNS Number		4b. EIN		5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)		6. Report Type <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final		7. Basis of Accounting <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual	
8. Project/Grant Period (Month, Day, Year) From: March 28, 2018 To: September 30, 2019						9. Reporting Period End Date (Month, Day, Year) September 30, 2020			
10. Transactions								Cumulative	
(Use lines a-c for single or combined multiple grant reporting)									
Federal Cash (To report multiple grants separately, also use FFR Attachment):									
a. Cash Receipts								\$1,200,000.00	
b. Cash Disbursements								\$474,223.00	
c. Cash on Hand (line a minus b)								\$725,777.00	
(Use lines d-o for single grant reporting)									
Federal Expenditures and Unobligated Balance:									
d. Total Federal funds authorized								\$1,200,000.00	
e. Federal share of expenditures								\$474,223.00	
f. Federal share of unliquidated obligations								\$0.00	
g. Total Federal share (sum of lines e and f)								\$474,223.00	
h. Unobligated balance of Federal funds (line d minus g)								\$725,777.00	
Recipient Share:									
i. Total recipient share required								\$0.00	
j. Recipient share of expenditures								\$0.00	
k. Remaining recipient share to be provided (line i minus j)								\$0.00	
Program Income:									
l. Total Federal share of program income earned								\$4,620.00	
m. Program income expended in accordance with the deduction alternative								\$0.00	
n. Program income expended in accordance with the addition alternative								\$0.00	
o. Unexpended program income (line l minus line m and line n)								\$4,620.00	
11. Indirect Expense		a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share	
g. Totals:						\$0.00	\$0.00	\$0.00	
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation: None.									
13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)									
a. Typed or Printed Name and Title of Authorized Certifying Official Pangelinan, Maria Executive Director						c. Telephone (Area code, number, and extension) d. Email Address			
b. Signature of Authorized Certifying Official Pangelinan, Maria						e. Date Report Submitted (Month, Day, Year) December 29, 2020			

Standard Form 425
OMB Approval Number: 4040-0014
Expiration Date: 02/28/2022

Paperwork Burden Statement

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

FEDERAL FINANCIAL REPORT

(Additional Page)

Federal Agency & Organization : ELECTION ASSISTANCE COMMISSION

Federal Grant ID : GU20101001

Recipient Organization : GOVERNMENT OF GUAM
414 WEST SOLEDAD AVE, SUITE 200,, HAGATNA 969105067, GU 969105067:

DUNS Number

DUNS Status when Certified

EIN

Reporting Period End Date : September 30, 2020

Status : Awarding Agency Approval

Remarks : None.

Federal Agency Review

Reviewer Name :

Phone # :

Email :

Review Date

Review Comments :

EAC Progress Report

Response ID:105 Data

1. Login

Please enter your userword and password to begin the Progress Narrative. If you require assistance or have any questions, please contact grants@eac.gov

2. Verification

3. EAC Progress Report

1. State or Territory:

Guam

2. Grant Number:

GU20101001-01

3. Report:

Annual (Oct 1 - Sept 30)

4. Grant:

Please select only one.

Election Security

5. Reporting Period Start Date

10/01/2019

6. Reporting Period End Date

09/30/2020

7. DUNS/UEI:

8. EIN:

9. Recipient Organization:

Organization Name

Guam Election Commission

Street Address

414 West Soledad Ave.

City

Hagatna

State

GU

Zip

96910

4. Progress and Narrative

10. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities.

Funds were used to purchase three new central count tabulators and accompanying results reporting software, four ballot on demand systems, and eight universal ballot marking devices.

The new central count tabulators were used during Guam's 2020 General Election and subsequent Runoff Election. The machine's sort feature made election night tabulation more efficient as ballots were sorted for further review without stopping. The accompanying results reporting software was also used for the General and Runoff elections.

The ballot on demand (BOD) systems streamlined ballot production and increased security of digital ballot files. Guam's early voting period was expanded in the 2020 election cycle in light of the COVID-19 pandemic. The BOD systems allowed the GEC to produce ballots to meet the demand of both expanded early voting and UOCAVA/off island absentee voting.

The eight universal ballot marking devices were purchased to work in tandem with the upgraded tabulators. The devices were deployed during the In Office Absentee Early Voting Period, which was extended by Guam's legislature as a response to the public health emergency.

11. Provide a timeline and description of project activities funded to meet HAVA requirements. Provide an analysis of how such activities conform to the submitted State Plan or Program Narrative as applicable.

Voting Equipment - July 2020

Procurement for the new tabulators, ballot on demand systems, and universal access ballot marking devices was completed in July of 2020. This procurement conforms to the Program Narratives submitted in 2018 and 2020, which describe plans for upgrading tabulator machines and accompanying results reporting software and purchasing new universal access marking devices and ballot on demand systems.

Voter Registration Systems - February and May 2020

A consultant was hired to assess Guam's current voter registration system and assist in-house development of a backup voter registration system. The backup system was developed so processes would not cease in the event that the antiquated system failed during this election year.

Cyber Security - FY2020

Hardware and software were purchased to monitor and prevent unauthorized access to election systems.

Communication

May 2020 - Funds were used to lease a postage meter to mail information to voters regarding voter registration, polling locations, and other important election information.

August 2020 - Funds were used to produce a demonstration video to inform voters about the proper use of the new universal access ballot marking devices.

Campaign Finance - December 2019

GEC Executive Director M. Pangelinan, Vice Chairperson A. Taijeron, Legal Counsel V. Camacho, and Program Coordinator E. Santos attended the campaign finance seminars at the 2019 conference conducted by the Council on Governmental Ethics and Laws (COGEL).

12. Describe any significant changes to your program during the course of the project, or if the project was implemented differently than described in your original State Plan or Program Narrative.

Otherwise enter - no significant changes during this period.

No significant changes during this period.

13. Describe any favorable developments which enabled meeting time schedules and objectives sooner or at less cost than anticipated or producing more or different beneficial results than originally planned.

Otherwise enter N/A.

N/A.

14. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure table.

Otherwise enter - No articles of voting equipment purchased during this period.

Funds were used to purchase three new central count tabulators and accompanying results reporting software, four ballot on demand systems, and eight universal access ballot marking devices.

15. Provide a description of any security training conducted and the number of participants.

Otherwise enter - no security training conducted during this period.

No security training conducted during this period.

16. Subgrants (if applicable):

Describe how you made funds available to local jurisdictions.

Provide a description of the major categories of subgrant activities local voting districts will accomplish with the funds.

Otherwise enter N/A.

N/A

17. Match (if applicable):

Describe how you are meeting the matching requirement.

Otherwise enter - match not required.

Match not required.

18. Issues Encountered:

Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if appropriate. Address each issue separately in its own section, and describe whether and how the issues were

resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

Otherwise enter - no issues encountered.

No issues encountered.

19. Upcoming Activities:

Provide a timeline and description of upcoming activities.

Voter Registration Systems - February 2021

GEC will begin the procurement process for a new Central Voter Registry System (CVRS) to replace Guam's limited, antiquated system.

Campaign Finance - July 2021

GEC will begin the procurement process for campaign finance reporting software.

Communication - July 2021

GEC will use funds to upgrade its website to (1) make it more secure and (2) offer voters online access to services that are currently only offered in-person.

5. Expenditures

20. Current Period Amount Expended and Unliquidated Obligations

GRANT COST CATEGORIES

	Federal	Match
Voting Equipment:	\$369,824.00	
Post-Election Auditing:		
Voter Registration Systems:	\$14,940.00	
Cyber Security:	\$9,227.00	
Communications:	\$3,711.00	
Total	\$412,132.00	
Campaign Finance	\$14,430.00	

OMB CONTROL NUMBER: 3265-0020

6. Certification

Name and Contact of the authorized certifying official of the recipient.

First Name

Maria

Last Name

Pangelinan

Title

Executive Director

Phone Number

Email Address

Signature of Certifying Official:



Signature of: Maria Pangelinan

7. Report Submitted to EAC



Thank you, your progress report has been submitted to EAC. Please keep the PDF download of your submission as grant record.