

FEDERAL FINANCIAL REPORT

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted ELECTION ASSISTANCE COMMISSION					2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) GA20101001				
3. Recipient Organization (Name and complete address including Zip code) SECRETARY OF STATE, GEORGIA 237 COLISEUM DR, MACON, GA 312173805									
4a. DUNS Number		4b. EIN		5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)		6. Report Type <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final		7. Basis of Accounting <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual	
8. Project/Grant Period (Month, Day, Year) From: March 28, 2018 To: September 30, 2019						9. Reporting Period End Date (Month, Day, Year) September 30, 2020			
10. Transactions								Cumulative	
<i>(Use lines a-c for single or combined multiple grant reporting)</i>									
Federal Cash (To report multiple grants separately, also use FFR Attachment):									
a. Cash Receipts								\$21,907,178.00	
b. Cash Disbursements								\$7,918,749.15	
c. Cash on Hand (line a minus b)								\$13,988,428.85	
<i>(Use lines d-o for single grant reporting)</i>									
Federal Expenditures and Unobligated Balance:									
d. Total Federal funds authorized								\$21,907,178.00	
e. Federal share of expenditures								\$7,918,749.15	
f. Federal share of unliquidated obligations								\$0.00	
g. Total Federal share (sum of lines e and f)								\$7,918,749.15	
h. Unobligated balance of Federal funds (line d minus g)								\$13,988,428.85	
Recipient Share:									
i. Total recipient share required								\$2,835,568.15	
j. Recipient share of expenditures								\$1,070,763.82	
k. Remaining recipient share to be provided (line i minus j)								\$1,764,804.33	
Program Income:									
l. Total Federal share of program income earned								\$30,827.80	
m. Program income expended in accordance with the deduction alternative								\$0.00	
n. Program income expended in accordance with the addition alternative								\$0.00	
o. Unexpended program income (line l minus line m and line n)								\$30,827.79	
11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share		
g. Totals:					\$0.00	\$0.00	\$0.00		
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation: Funds to be expended at a later date.									
13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)									
a. Typed or Printed Name and Title of Authorized Certifying Official Orange, Robert Controller						c. Telephone (Area code, number, and extension) 			
b. Signature of Authorized Certifying Official Orange, Robert						d. Email Address 			
						e. Date Report Submitted (Month, Day, Year) January 11, 2021			

Standard Form 425
OMB Approval Number: 4040-0014
Expiration Date: 02/28/2022

Paperwork Burden Statement

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

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(Additional Page)

Federal Agency & Organization : ELECTION ASSISTANCE COMMISSION

Federal Grant ID : GA20101001

Recipient Organization : SECRETARY OF STATE, GEORGIA
237 COLISEUM DR, MACON, GA 312173805:

DUNS Number

DUNS Status when Certified

EIN

Reporting Period End Date : September 30, 2020

Status : Awarding Agency Approval

Remarks : Funds to be expended at a later date.

State interest earned: \$0

State interest expended: \$0

Program income earned: \$0

Program income expended: \$0

Federal Agency Review

Reviewer Name :

Phone # :

Email :

Review Date :

Review Comments :

EAC Progress Report

Response ID:121 Data

1. Login

Please enter your userword and password to begin the Progress Narrative. If you require assistance or have any questions, please contact grants@eac.gov

2. Verification

3. EAC Progress Report

1. State or Territory:

Georgia

2. Grant Number:

GA20101001

3. Report:

Annual (Oct 1 - Sept 30)

4. Grant:

Please select only one.

Election Security

5. Reporting Period Start Date

10/01/2019

6. Reporting Period End Date

09/30/2020

7. DUNS/UEI:

8. EIN:

9. Recipient Organization:

Organization Name

Secretary Of State, Georgia

Street Address

237 Colliseum Dr

City

Macon

State

GA

Zip

31217

4. Progress and Narrative

10. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities.

The 12-month period being here covers the bulk of activities for the Covid delayed Presidential Primary, the General Primary and the time leading up to the General Election. It also includes the full delivery time of the new Dominion BMD based, paper ballot statewide voting system.

Voting Equipment

The bulk of these dollars were expended for the acceptance and deployment of the new Ballot Marking Device, paper ballot system statewide. Temporary workers were hired and deployed to the vendor warehouse. The vendor, Dominion Voting Systems, prepared all equipment necessary for the full voting system. Once the individual pieces of equipment were prepared, they transferred to the state and the temporary workers performed acceptance testing on each component. Once the state accepted the components were kitted for shipment to one of the 159 counties.

For enhanced performance of the system, the state leveraged an existing state contract with ESRI, a GIS based company for

mapping and analytics. Their platform was deployed to track and monitor wait times at polling locations in the counties around the state. It also is used to deploy technicians or equipment to polling locations with issues. This was a successful program and in the polling locations where data was collected the average wait time throughout election day. The initial deployment and training took place in the covered period.

Post Election Audit

The state partnered with Voting Works to lay the foundation for the audit of the general election through the audit of a statewide contest. The decision was made that the state would use a Risk Limiting Audit in November. To prepare for that Voting Works was brought on board to aid the state run pilots in particular counties to establish procedures and decide upon best practices for Georgia counties to execute the first ever Risk Limiting Audit in Georgia. These included training, ARLO software deployment, ballot handling, ballot batching, etc.

Voter Registration System

The continued enhancements to the eNet Voter Registration System through our vendor Civix (formerly PCC Technologies) was the bulk of the spending. The application is continually updated to improve user experience, adjust coding, improve reporting, etc.

The state also paid fees to join the Electronic Registration Information Center (ERIC) to improve the health and accuracy of the voter registration list and increase access to voter registration for all eligible Georgians. On top of the normal information we receive and input at the county level, the state will receive reports showing voters who have moved within Georgia, voters who have moved out of our state, voters who have passed away, duplicate registrations in the same state and individuals who are potentially eligible to vote but are not yet registered.

The state also required supplemental IT infrastructure support to aid in moving the eNet system to a new more secure data center environment. These needs were fulfilled through the state contract with CAI.

Cyber Security

With the continuing focus of security and cybersecurity the Secretary invested in updated protections for databases with Oracle. Also, he brought in an outside vendor to do cybersecurity risk assessment and reviews of multiple systems in and around elections.

Communications

With the rollout of a brand-new system for the first time in nearly two decades, and that system bringing back paper ballots, there was a large voter education effort based out of the Secretary of State's office. This overall program was branded as Secure the Vote. Pre-Covid there was a large in person education effort with Secure the Vote ambassadors and trainers on the ground in all corners of the state. As Covid ramped up that campaign was pulled back and the efforts were focused on media.

The media campaign included television and digital advertising to introduce and train people on how the new BMD, paper ballot system worked and how it protected the vote of each Georgian.

Absentee Ballot Program

With Covid, we had to stand up and centralize much of the absentee ballot fulfillment program. HAVA dollars were expended for the largest expense of that program which is postage with the United States Postal Service.

General Election Management

There was a ballot print run done with pilot counties for the new BMD based system and these dollars were expended for that

purpose.

Security and Election Enhancements

A grant program was established to aid counties in implementing and providing security to the new voting equipment and the system and processes. The match provided by the state for general election activities was a 1 for 1. For every dollar spent, the state would provide a dollar. This could be for additional storage, shelving, transport equipment for the new election machinery as it was much larger than the previous system. For security, the match was 3 to 1. So for every dollar the county expended, the state would provide 3 dollars.

The grant was post purchase. The counties had to spend their dollars, then provide an application, receipts, and a narrative explaining the purchases. Security included locks, camera systems, secure storage, access control, and the like.

Accessibility

A grant program was established to aid counties in enhancing accessibility. The program mirrored the 3 to 1 match of the security grant. This was used by counties to install ramps, handrails, buy lower or adjustable tables or mounting arms to bring the BMD screens down to those who may be in wheelchairs. It was also used to purchase magnifiers to allow voters with visual impairments to properly review their printed ballot.

Covid Response

This was a grant for PPE. It was short lived as CARES dollars came in not too soon after we began the 9 to 1 matching program under HAVA.

11. Provide a timeline and description of project activities funded to meet HAVA requirements. Provide an analysis of how such activities conform to the submitted State Plan or Program Narrative as applicable.

Timeline

In October of 2019 some dollars were beginning to be spent on the in-person voter education program, through on boarding the spokespeople for the regions who can do in person voter and county training. We also began an investigation of expanding absentee balloting and centralized printing of those materials. Some money was spent for security for our Center for Elections.

November of 2019, saw an expansion of the Secure the Vote voter education spokespeople and training. This was the time period that all counties had received enough new voting equipment to begin training and demonstrations.

December of 2019 reflected the spending of the previous months with an added expenditure to Civix (formerly PCC Technologies) for enhancements to the eNet Voter Registration System. The state also began creating, printing and delivering more Secure the Vote voter education materials to the counties to aid in their voter education efforts.

January of 2020 the state expanded the voter education efforts that coincided with the ever increasing deliveries of equipment to the counties in Georgia. Along with that the spending mirrored the previous months, with the exception of the Civix charge in December. The HAVA County Grant Program was announced at the Georgia Association of Voter Registrars and Election Officials (GAVREO).

February of 2020 the state's Secure the Vote campaign was accelerated with more collateral material and the launching of the television campaign in preparation of the early voting that would begin in March. We also completed the initial delivery of all of the new equipment for the uniform Statewide Voting System in the middle of the month, including over 30,000 Ballot Marking Devices.

March of 2020 we had the Presidential Preference Primary. The state was 2 weeks into the 3 weeks of Advanced Voting when

both the President and the Governor declared a health emergency. The election was suspended and moved to the May Primary. This same month the state peaked in its in person voter education team. Also, the state contracted with the Center for Election Innovation and Research (CEIR) to do research on voter behavior involving review of their ballots.

April of 2020, the moves began to expand the use of the existing no excuse absentee to respond to Covid. The state also spent HAVA dollars on database security.

May of 2020, the decision was made to move the now merged PPP/General Primaries to June 19. The in person Secure the Vote team began to be drawn down. Printing was ramped up for the absentee program. The CEIR project continued to be built. This month also saw counties began having the grants under the HAVA Grant Program for Security, Accessibility and General Election Administration. The amount paid out to counties in May was \$361,642.99.

June of 2020 was the month that included 3 weeks of in person Advanced Voting and the June 19 combined PPP and General Primaries. Security was increased at the Center for Elections. The database enhancements continued for the eNet Voter Registration System with Oracle and Civix also received a payment for additional eNet improvements, including coding and report enhancements. The HAVA County Grant Program paid out \$66,946.71 for security, accessibility, and general election administration.

July of 2020 saw the continued security of the Center for Elections. This month saw more payments to the counties for the HAVA County Grant program totaling \$325,566.01.

August of 2020 the state made the initial payment to the Electronic Registration Information Center (ERIC) to aid in improving voter list hygiene. The GIS system for tracking polling location wait times and to deploy personnel and equipment with the company ESRI was paid out for two phases; a pilot in the August General Election Runoff and then Phase 2 for the November General Election. The HAVA County Grant Program paid out \$44,469.20.

September of 2020, brought the launch of the media Secure the Vote voter education efforts. Both television and online media were used to educate people on the absentee program, early voting options and how to use the new BMD, paper based voting system. Also, a large amount of postage was put in place to aid in fulfillment of absentee ballot packages for the counties. Security for the Center for Elections was boosted. The CEIR research program continued with their final payment going into the general election. There were payments made to the counties through the HAVA County Grant Program which totaled \$17,050.82. Cybersecurity reviews and preparations were continuing to be made for eNet moving to a more secure datacenter.

12. Describe any significant changes to your program during the course of the project, or if the project was implemented differently than described in your original State Plan or Program Narrative.

Otherwise enter - no significant changes during this period.

Covid caused some changes to the program by adding the absentee element that had not originally been planned. Also, the County Grant Program was extended to allow counties more time to assess needs and file applications.

13. Describe any favorable developments which enabled meeting time schedules and objectives sooner or at less cost than anticipated or producing more or different beneficial results than originally planned.

Otherwise enter N/A.

N/A

14. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure table.

Otherwise enter - No articles of voting equipment purchased during this period.

HAVA dollars were not expended to purchase voting equipment. The legislature passed and Governor approved a bond

package of \$150 million to purchase the actual Statewide Voting System.

15. Provide a description of any security training conducted and the number of participants.

Otherwise enter - no security training conducted during this period.

There was no training on Security paid through HAVA. There was general cyber security training that was done as a requirement to obtain a login for the eNet voter registration system.

16. Subgrants (if applicable):

Describe how you made funds available to local jurisdictions.

Provide a description of the major categories of subgrant activities local voting districts will accomplish with the funds.

Otherwise enter N/A.

Secretary Raffensperger announced a local grant program to the Georgia Association of Voter Registrars and Elections Officials (GAVREO) at their January 2020 conference.

There are three different categories for the subgrants for the counties.

- 1) Security
- 2) Accessibility
- 3) General Administration

Reimbursement Grant: To receive the funds the county must (1) have expended the monies to be reimbursed, (2) provide documentation of the spend, including narrative and receipts and (3) assure the request satisfies the requirements under HAVA.

Grant Types and Levels: There are three available programs for the grant reimbursement: Security (both physical and cyber) such as cameras and access control; Accessibility (ADA) such as enhanced wheelchair access or touchscreen mounts to lower to wheelchair level; and General Implementation, such as shelving, tables, booths, etc. Both Security and Accessibility will be reimbursed at 3 to 1 up to \$15,000, while General Implementation will be reimbursed at a rate of 1 to 1 up to \$10,000. No grants will be made for consumables. Grants are to be made for expenditures for items that will be used in multiple elections. All grant decisions will be solely at the Secretary's discretion.

Application Process and Deadlines: Applications for grants must be submitted with required documentation to the Georgia Secretary of State's Office during the grant period. The grant application period originally opened January 1, 2020, and ran until April 30, 2020. That timeline was extended to take into account the COVID pandemic.

The Secretary of State had sole discretion on the awarding of grants.

17. Match (if applicable):

Describe how you are meeting the matching requirement.

Otherwise enter - match not required.

Georgia has achieved the match for the HAVA funds in 2 ways.

First, the state invested over \$100 million in purchasing a new uniform Statewide Voting System. It includes over 33,000 Ballots Marking Devices (BMDs), over 3,000 Polling Place Scanners, over 8,000 PollPad voter check-in devices, and hundreds of high speed, high capacity central scanners (mainly used for absentee scanning or recounts). These were delivered to all 159 counties based on population and previous election equipment inventories.

Second, the HAVA County Grant Program required county matches at different levels. To participate the counties had to expend their dollars prior to partial reimbursement from the grant program.

18. Issues Encountered:

Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if appropriate. Address each issue separately in its own section, and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

Otherwise enter - no issues encountered.

Like every state, Georgia faced running multiple elections in a pandemic. There were not any other statewide issues.

HAVA dollars, along with the sister funding from CARES, helped bridge the gap, especially in the area of absentee balloting.

There are no remaining issues from the reporting period.

19. Upcoming Activities:

Provide a timeline and description of upcoming activities.

October-December the state will go through the General Election process and will conduct a statewide Risk Limiting audit working with Voting Works.

There will be a runoff on January 5 as well.

There will be reviews of processes and training going forward. The state will also work to measure voter satisfaction and learn if there are issues to be addressed.

In the 1st and 2nd quarter of 2021, the state will work with the disability community to identify a solution for independent review of BMD ballots voted at Advanced Voting and Election Day Polling Locations.

5. Expenditures

20. Current Period Amount Expended and Unliquidated Obligations**GRANT COST CATEGORIES**

	Federal	Match
Voting Equipment:	\$315,572.25	
Post-Election Auditing:	\$3,500.00	
Voter Registration Systems:	\$345,318.43	
Cyber Security:	\$108,812.35	
Communications:	\$4,980,746.89	
Total	\$7,485,506.44	\$1,049,101.68
Absentee Ballots	\$908,800.50	
Election Management/Pandemic Response	\$7,197.28	\$97.63
Security & Election Enhancements	\$725,008.35	\$947,131.56
Accessibility	\$90,550.39	\$101,872.49

OMB CONTROL NUMBER: 3265-0020

6. Certification

Name and Contact of the authorized certifying official of the recipient.

First Name

Robert

Last Name

Orange

Title

Controller

Phone Number

Email Address

Signature of Certifying Official:

Robert K Orange

Signature of: Robert K Orange

7. Report Submitted to EAC



Thank you, your progress report has been submitted to EAC. Please keep the PDF download of your submission as grant record.