

**FEDERAL FINANCIAL REPORT**

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted <b>ELECTION ASSISTANCE COMMISSION</b>				2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) <b>PA20101001</b>			
3. Recipient Organization (Name and complete address including Zip code) <b>STATE, PENNSYLVANIA DEPARTMENT OF</b> <b>401 NORTH ST RM 308 N OFC BLDG, HARRISBURG, PA 171200500</b>							
4a. UEI	4b. EIN	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)		6. Report Type <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Semi-Annual <input type="checkbox"/> Annual <input type="checkbox"/> Final	7. Basis of Accounting <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual		
8. Project/Grant Period (Month, Day, Year) From: <b>March 28, 2018</b> To: <b>September 30, 2019</b>			9. Reporting Period End Date (Month, Day, Year) <b>March 31, 2022</b>				
<b>10. Transactions</b>					Cumulative		
<i>(Use lines a-c for single or combined multiple grant reporting)</i>							
<b>Federal Cash (To report multiple grants separately, also use FFR Attachment):</b>							
a. Cash Receipts					\$28,651,723.00		
b. Cash Disbursements					\$20,633,913.79		
c. Cash on Hand (line a minus b)					\$8,017,809.21		
<i>(Use lines d-o for single grant reporting)</i>							
<b>Federal Expenditures and Unobligated Balance:</b>							
d. Total Federal funds authorized					\$28,651,723.00		
e. Federal share of expenditures					\$20,633,913.79		
f. Federal share of unliquidated obligations					\$0.00		
g. Total Federal share (sum of lines e and f)					\$20,633,913.79		
h. Unobligated balance of Federal funds (line d minus g)					\$8,017,809.21		
<b>Recipient Share:</b>							
i. Total recipient share required					\$3,708,921.00		
j. Recipient share of expenditures					\$1,976,474.26		
k. Remaining recipient share to be provided (line i minus j)					\$1,732,446.74		
<b>Program Income:</b>							
l. Total Federal share of program income earned					\$504,760.06		
m. Program income expended in accordance with the deduction alternative					\$0.00		
n. Program income expended in accordance with the addition alternative					\$403,101.67		
o. Unexpended program income (line l minus line m and line n)					\$101,658.39		
11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
g. Totals:					\$0.00	\$0.00	\$0.00
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation: "Please provide the following information:							
13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)							
a. Typed or Printed Name and Title of Authorized Certifying Official  <b>Rivera, Alfredo</b> <b>ACCOUNTANT 3</b>				c. Telephone (Area code, number, and extension)			
b. Signature of Authorized Certifying Official  <b>Rivera, Alfredo</b>				d. Email Address			
e. Date Report Submitted (Month, Day, Year) <b>April 18, 2022</b>							

Standard Form 425  
OMB Approval Number: 4040-0014  
Expiration Date: 02/28/2023

**Paperwork Burden Statement**

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

**FEDERAL FINANCIAL REPORT**

(Additional Page)

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Federal Agency & Organization : ELECTION ASSISTANCE COMMISSION

Federal Grant ID : PA20101001

Recipient Organization : STATE, PENNSYLVANIA DEPARTMENT OF  
401 NORTH ST RM 308 N OFC BLDG, HARRISBURG, PA 171200500

UEI :

UEI Status when Certified :

EIN :

Reporting Period End Date : March 31, 2022

Status : Awarding Agency Approval

Remarks : "Please provide the following information:  
  
State interest earned (current fiscal year): \$0  
State interest expended (current fiscal year): \$0  
Program income earned (current fiscal year): \$0  
Program income earned breakdown (current fiscal year): \$ Source: e.g. Sale of  
registration list  
Program income expended (current fiscal year): \$0  
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**Federal Agency Review**

Reviewer Name  
Phone #  
Email  
Review Date  
Review Comments

# 2021-2022 EAC Progress Report

## 3. EAC Progress Report

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**1. State or Territory:**

Pennsylvania

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**2. Grant Number:**

PA20101001-01

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**3. Report:**

Semi-Annual (Oct 1 - March 31)

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**4. Grant:**

Election Security

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**5. Reporting Period Start Date**

10/01/2021

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**6. Reporting Period End Date**

03/31/2022

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## 4. Progress and Narrative

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**7. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities in accordance with your State Plan/Program Narrative. (Note: Your activities should align with your Grant Cost Categories Table.)**

During this rating period, the PA Department of State used Election Security funds for the following:

- Albert Sensors for county election offices: The department utilized grant funds during this period to support its ongoing initiative to provide network sensors and monitoring to all 67 counties in the Commonwealth to aid them in securing their own networks and identifying potentially harmful traffic.

- County Block Grants for county reimbursements: The department utilized grant funds during this period to provide block grants to counties to reimburse them for allowable expenditures. County Election offices used funds for permissible expenditures related to the 2020 election for increased personnel, equipment, and/or other approved expenditures related to Act 77 and expansion of vote by mail and related election administration needs; ransomware protections, DDOS protections, and other cybersecurity measures; increased security of voting systems and ballots; and/or increased expenditures to support vote by mail and other voter services.
- Modernization of the commonwealth's Statewide Uniform Registry of Electors (SURE) system to the new SUREVote platform. The department utilized grant funds to assist with the development of the new system. Phase one of the system is already underway and is in process of rolling out in the upcoming months for both state and county users. Phase 2 which incorporates voter registration functions is anticipated to go live closer to the end of 2022.
- The department hosted a Cybersecurity and Infrastructure Security Agency (CISA) election security training. While CISA training is offered at no charge, the department utilized grant funds to cover costs associated with the logistics of hosting the event. While the event was held during the last period, some of the expenses incurred didn't occur until the new fiscal year.

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**8. Describe any significant changes to your program during the project, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.**

N/A

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**9. Issues Encountered:**

**Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if applicable. Address each issue separately and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.**

N/A

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**10. Provide a description of any training conducted, including security training.**

The Department provided training in the form of a tabletop exercise and ongoing phishing for county election officials during the last reporting period. The training itself was at no cost, however, grant dollars were used to cover a small portion of the logistical expenses associated with hosting the event. These expenses were billed during the current reporting period.

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**11. Subgrants:**

**Did your office provide subawards to local jurisdictions during this reporting period?**

Yes

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**12. Describe the activities carried out by your subgrantees during the reporting period.**

Of the 2020 Election Security grant, \$7 million in sub-grants awards will be provided to counties with the award amount calculated using voter registration numbers in each county as of April 13, 2020, with a minimum grant award totaling \$3,750. During this rating period, six (6) county election offices presented allowable costs for reimbursement against their respective election security grant funds. Reimbursements during this period covered items such as increased personnel, equipment, and/or other approved expenditures related to Act 77 and expansion of the provision and administration of election services; increased security of voting systems and ballots; and increased expenditures to expand and support vote by mail and other voter services. As of March 31, 2021, \$2,215,178.44 has been provided to counties, of which \$194,592.93 was granted during this annual report period, for reimbursement of eligible expenditures.

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**Provide a breakdown of aggregate subawards expenditures across major categories.**

Voting Equipment : \$87,076.32

Election Auditing : \$0.00

Voter Registration Systems : \$0.00

Security : \$2,500.00

Communications : \$0.00

Other (Voter Reg. Security Equipment) : \$14,986.50

Other (Specify above) : \$23,954.93

Other (Specify above) : \$66,075.18

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Total : \$194592.93

### 13. Match:

#### Describe how you are meeting or have met the matching requirement.

Pennsylvania's total state match totals \$3,708,921, of which \$1,976,474.21 has been expended (\$460,612.21 during this reporting period). The current state match spend is the commonwealth's 5% match requirement for the 2018 Election Security grant used to reimburse counties for new voting equipment. The remainder of the state match will come from the commonwealth's initiative to upgrade the Statewide Uniform Registry of Electors (SURE) system. This contract started on January 4, 2021. From the contract start through June 2023, the commonwealth will invest more than \$4 million in state funding towards this initiative meeting the requirements of the match. As deliverables are met with the development of the new system, SUREVote, state funds expended will get PA closer to reaching the match.

#### 14. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure table.

County sub-grant awards allows for the purchase of pieces of voting equipment. Over the reporting period, counties purchased items such as letter openers and a Relay 9000 Inserting System.

## 5. Expenditures

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### 15. Current Period Amount Expended and Unliquidated Obligations

#### GRANT COST CATEGORIES - FEDERAL

Voting Equipment and Processes: : \$0

Post-Election Auditing: : \$0

Voter Registration Systems: : \$240000

Cyber Security: : \$11114

Voter Education/Communications: : \$0

Accessibility: : \$0

Staffing: : \$0

Training: : \$0

Subgrants: : \$194593

Indirect Costs (If applicable, FFR Line 11): : \$0

Unliquidated Obligations (If applicable, FFR Line 10f): : \$0

Other (Specify below) : \$0

Other (Specify below) : \$0

Other (Specify below) : \$0

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Total : \$445707

Comments: N/A

### 16. GRANT COST CATEGORIES - MATCH

Voting Equipment and Processes: : \$0

Post-Election Auditing: : \$0

Voter Registration Systems: : \$460612

Cyber Security: : \$0

Voter Education/Communications: : \$0

Accessibility: : \$0  
Staffing: : \$0  
Training: : \$0  
Subgrants: : \$0  
Indirect Costs (If applicable, FFR Line 11): : \$0  
Unliquidated Obligations (If applicable, FFR Line 10f): : \$0  
Other (Specify below) : \$0  
Other (Specify below) : \$0  
Other (Specify below) : \$0

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Total : \$460612

**Comments:** N/A

## 7. Expenditures

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### 17. Confirm Total Grant Expenditure Amounts

Federal : \$445707

Match : \$460612

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Total : \$906319

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**OMB CONTROL NUMBER:** 3265-0020

## 8. Certification

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**Name and Contact of the authorized certifying official of the recipient.**

**First Name**

Kimberly

**Last Name**

Mattis

**Title**

Director, B. of Finance and Operations

**Phone Number**

**Email Address**

**18. Add another contact to send a copy of submission confirmation and edit link?**

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**Signature of Certifying Official:**

*K Mattis*

Signature of: Kimberly Mattis

## 9. Report Submitted to EAC

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**Thank you. Your Semi-Annual (Oct 1 - March 31) progress report for Election Security has been submitted to the EAC. Please keep the PDF download of your submission as grant record.**