

**FEDERAL FINANCIAL REPORT**

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted  <b>ELECTION ASSISTANCE COMMISSION</b>	2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment)  <b>OK20101001</b>
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3. Recipient Organization (Name and complete address including Zip code)

**Election Board, State Oklahoma**  
**2300 N Lincoln Ste B6, Oklahoma City, OK 731054804**

4a. UEI	4b. EIN	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)	6. Report Type <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Semi-Annual <input type="checkbox"/> Annual <input type="checkbox"/> Final	7. Basis of Accounting <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual
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8. Project/Grant Period (Month, Day, Year) From: <b>March 28, 2018</b> To: <b>September 30, 2019</b>	9. Reporting Period End Date (Month, Day, Year) <b>March 31, 2022</b>
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**10. Transactions** Cumulative

*(Use lines a-c for single or combined multiple grant reporting)*

**Federal Cash (To report multiple grants separately, also use FFR Attachment):**

a. Cash Receipts	\$11,036,835.00
b. Cash Disbursements	\$1,823,588.79
c. Cash on Hand (line a minus b)	\$9,213,246.21

*(Use lines d-o for single grant reporting)*

**Federal Expenditures and Unobligated Balance:**

d. Total Federal funds authorized	\$11,036,835.00
e. Federal share of expenditures	\$1,823,588.79
f. Federal share of unliquidated obligations	\$0.00
g. Total Federal share (sum of lines e and f)	\$1,823,588.79
h. Unobligated balance of Federal funds (line d minus g)	\$9,213,246.21

**Recipient Share:**

i. Total recipient share required	\$1,427,964.00
j. Recipient share of expenditures	\$851,115.50
k. Remaining recipient share to be provided (line i minus j)	\$576,848.50

**Program Income:**

l. Total Federal share of program income earned	\$467,833.79
m. Program income expended in accordance with the deduction alternative	\$0.00
n. Program income expended in accordance with the addition alternative	\$0.00
o. Unexpended program income (line l minus line m and line n)	\$467,833.79

11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
g. Totals:					\$0.00	\$0.00	\$0.00

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:

"Please provide the following information:

**13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)**

a. Typed or Printed Name and Title of Authorized Certifying Official  <b>Clark, Rusty</b> <b>Assistant Secretary</b>	c. Telephone (Area code, number, and extension)  d. Email Address
b. Signature of Authorized Certifying Official  <b>Clark, Rusty</b>	e. Date Report Submitted (Month, Day, Year)  <b>April 27, 2022</b>

Standard Form 425  
 OMB Approval Number: 4040-0014  
 Expiration Date: 02/28/2025

**Paperwork Burden Statement**  
 According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

**FEDERAL FINANCIAL REPORT**

(Additional Page)

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Federal Agency & Organization : ELECTION ASSISTANCE COMMISSION

Federal Grant ID : OK20101001

Recipient Organization : Election Board, State Oklahoma  
2300 N Lincoln Ste B6, Oklahoma City, OK 731054804

UEI :

UEI Status when Certified :

EIN :

Reporting Period End Date : March 31, 2022

Status : Awarding Agency Approval

Remarks : "Please provide the following information:  
  
State interest earned (current fiscal year): \$ 0.00  
State interest expended (current fiscal year): \$ 0.00  
Program income earned (current fiscal year): \$ 0.00  
Program income earned breakdown (current fiscal year): \$ Source: e.g. Sale of  
registration list \$0.00  
Program income expended (current fiscal year): \$ 0.00  
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**Federal Agency Review**

Reviewer Name  
Phone #  
Email  
Review Date  
Review Comments

# 2021-2022 EAC Progress Report

## 3. EAC Progress Report

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**1. State or Territory:**

Oklahoma

**2. Grant Number:**

OK20101001

**3. Report:**

Semi-Annual (Oct 1 - March 31)

**4. Grant:**

Election Security

**5. Reporting Period Start Date**

10/01/2021

**6. Reporting Period End Date**

03/31/2022

## 4. Progress and Narrative

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**7. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities in accordance with your State Plan/Program Narrative. (Note: Your activities should align with your Grant Cost Categories Table.)**

The State Election Board made the following expenditures of Title I, Section 101, funds during the period of October 1, 2021 through March 31, 2022. There were no unliquidated obligations at the end of this reporting period.

Oklahoma Office of Management and Enterprise Services – Secure Hosting and Support and monthly server co-location lease and connectivity. \$14,543.01. Design and development of Online Voter Registration interface with Department of Public Safety.

\$103,783.00 (match)

Petabloc – Secure Hosting and Support. \$33,505.29

Chickasaw Telecom Inc. – Provide secure teleconferencing software licensing and maintenance, secure server nodes, and licensing for two-factor authentication software. \$48,085.19

Dell Marketing – Replacement server for voter registration system. \$15,247.46

Maxim Consulting – Provides various security enhancements to Modern Election Support Application (MESA) database and various applications. \$139,982.50 (match)

SHI International Corporation – Provides server VMware and security monitoring, software and licensing. \$7207.84

Business Imaging Systems – Provide software support. \$1,350.00 (match)

Total grant expenditures for the reporting period - \$118,588.79 and \$243,115.50 (match) \$361,704 total.

#### Analysis and description of Activities Funded

All expenditures made during the period covered by this report are related to cyber and physical security upgrades, server storage and monitoring support, support for application hosting, two factor authentication implementation, and cyber awareness training.

#### Secure Hosting and Monitoring

The State Election Board transferred application hosting to DoubleHorn Cloud Solutions in April, 2018 with the assistance of state cyber command. DoubleHorn ended our hosting and support contract on January 31, 2020. With the help of state cyber command, we identified Carahsoft as an interim supplier of secure hosting and support services. In January 2021, Petabloc was chosen as the new supplier of secure hosting and support, with the help of state cyber command and Maxim Consulting.

Petabloc hosts the following State Election Board applications:

1. Military and overseas Absentee Ballot (MOAB) the secure internet ballot delivery for absentee voters covered by UOCAVA.
2. Election Data Warehouse EDW (formerly Voter Information Request System (VIRS) the publicly available, downloadable voter registration database.
3. OK Voter Portal (OVP) our "one-stop shop" for voters to update their addresses and political affiliation within the same county, request an absentee ballot, view sample ballots, and locate their polling place information. Additionally, citizens can access our voter registration wizard from the OVP, which was launched in May 2020.
4. Oklahoma Election Results (OKER) the robust and more secure election results reporting application which launched in August 2018.

During this reporting period, costs are reflected in payments made to Petabloc for their hosting services.

In 2018, the State Election Board relocated its servers to the Office of Management and Enterprise Services (OMES) secure data center. The secure location offers a climate-controlled environment that can withstand up to an F4 rated tornado. The secure location positions the servers behind the State's Albert Sensor which allows 24-hour monitoring by OMES of all traffic and intrusion attempts. Some payments made to OMES were related to server storage and monitoring fees.

During this reporting period a new server to replace one of the above-mentioned servers was purchased. The warranty period for the previous server was ending. The new server will provide the latest security features. This purchase is reflected in the payment to Dell Marketing during this reporting period.

Chickasaw Communications Inc. completed work from the previous reporting period on VPN firewall upgrades. Chickasaw Communications monitors and maintains the firewall and provided DUO software and tokens for two-factor authentication to the VPN. Payments associated with this work were made to Chickasaw in this reporting period.

SHI International Corp provided VMware licenses and security monitoring software during this reporting period.

#### Modern Election Support Application (MESA) OK Voter Portal Upgrades and Development

Maxim Consulting is a longtime vendor and partner of the Oklahoma State Election Board. Maxim Consulting designed and developed the custom voter registration and election definition software known as MESA. Payments to Maxim Consulting during this reporting period related to deployment of six version updates to improve application security and functionality. Additionally, Maxim provides updates, monitoring, and troubleshooting for the secure interface with Department of Public Safety for updates to voters' addresses and voter registration information received as a result of DPS Real ID system upgrade.

During this reporting period, Maxim Consulting has assisted the State Election Board to regularly monitor and complete security updates to the voter registration wizard, which is accessed from the State Election Board website, or the OK Voter Portal. The wizard allows for completion of a voter registration application online, which must then be printed, signed and mailed to the appropriate County Election Board for processing. Citizens can be certain that their personal information is safe and secure when using the State Election Board's wizard as opposed to a voter registration website from a third-party organization. Voter registration applicants who choose to use the wizard can also track the progress and status of their application with the County

Election Board, using the transaction code provided by the wizard.

#### Post-Election Audit

In November of 2019, The Oklahoma Legislature gave the Secretary of the State Election Board the authority to direct the secretary of a county election board to conduct a post-election tabulation audit of election results, for the purpose of maintaining the security of the election system by ensuring that voting devices and software used in a particular election correctly tabulated votes. The legislation passed defines a "post-election audit" as a manual or electronic examination of a limited number of ballots by a secretary of a county election board or other authorized election officials following an election. A post-election audit shall be conducted only at the direction of the Secretary of the State Election Board and only subject to the Secretary's previously promulgated policies, rules or procedures.

Discussions began on a direction and design in November 2019, however the COVID-19 pandemic delayed production as the State Election Board staff devoted its entire focus to the conduct of the 2020 Primary, Primary Runoff, and General Elections. The tabulation audit was tested in November and February in this reporting period. Another test will be conducted in May before Phase 1 goes live for the June 2022 Primary Election.

#### County Election Board Communications

In May 2021 the Oklahoma State Election Board purchased scanners for each of the 77 County Election Board offices from Business Image Systems. These scanners will be used to scan documents directly into the MESA application over the secure network. This should allow more ease and accuracy when transmitting data from the County to the State Election Board. Additionally, with enhanced security. Payments to Maxim Consulting reflect work conducted interface during this reporting period. Additionally, payments in this reporting period to Business Image Systems are related to support for this program.

#### Online Voter Registration

In 2015, Senate Bill 313 was adopted by the Oklahoma State Legislature and signed into law by the Governor. This legislation authorized the Secretary of the Oklahoma State Election Board to develop a secure online system where a citizen with a current and valid Oklahoma driver license or state identification card issued by the Department of Public Safety may submit a voter registration application electronically. The Department of Public Safety has recently completed a multi-year modernization needed to allow data sharing with the State Election Board.

During this reporting period, the Oklahoma State Election Board entered into an agreement with the Oklahoma Office of Management and Enterprise Services (OMES) to begin work on a secure interface to allow the sharing of data between the Oklahoma State Election Board and the Oklahoma Department of Public Safety. Payments made to the Oklahoma Management and Enterprise Services reflect the efforts to stand up the secure interface that will allow Online Voter Registration.

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### **8. Describe any significant changes to your program during the project, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.**

N/A

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### **9. Issues Encountered:**

**Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if applicable. Address each issue separately and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.**

We saw a small spike of COVID-19 during this reporting period, but was not significant. Legislative redistricting and reprecincting has been a focus for the Oklahoma State Election Board this reporting period, and has significantly impacted all other work. The difficulties created by the US Census Bureau's late delivery of census data has caused labor in all areas of operations, due to the time devoted in the condensed period.

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### **10. Provide a description of any training conducted, including security training.**

During the reporting period, each Oklahoma State Election Board staff member began their Blueprint to Independent Training (BIT) training program. This is the same training that is required for each Secretary, Assistant Secretary, and staff member of every County Election Board in the state. This training program will help State Election Board staff without prior county experience be more aware of the requirements and needs in County Election Board offices. No Security Grant funds were expended on training during this reporting period.

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### **11. Subgrants:**

Did your office provide subawards to local jurisdictions during this reporting period?

No

**12. Match:**

Describe how you are meeting or have met the matching requirement.

All of the required state matching amount has been secured by the State Election Board from appropriated funds and from state revolving funds.

**13. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure table.**

N/A

**5. Expenditures**

**14. Current Period Amount Expended and Unliquidated Obligations**

**GRANT COST CATEGORIES - FEDERAL**

Voter Registration Systems: : \$15247

Other (Specify below) : \$88798

Other (Specify below) : \$14543

Total : \$118588

**Comments:** Secure Hosting and Support - \$88798.32; Server Location/Monitoring/Connectivity \$14,543.01

**15. GRANT COST CATEGORIES - MATCH**

Voter Registration Systems: : \$243116

Total : \$243116

**Comments:**

**7. Expenditures**

**16. Confirm Total Grant Expenditure Amounts**

Federal : \$118588

Match : \$243116

Total : \$361704

**OMB CONTROL NUMBER: 3265-0020**

**8. Certification**

Name and Contact of the authorized certifying official of the recipient.

First Name

Rusty

**Last Name**

Clark

**Title**

Assistant Secretary

**Phone Number**

**Email Address**

17. Add another contact to send a copy of submission confirmation and edit link?

Signature of Certifying Official:



Signature of: Rusty Clark

## 9. Report Submitted to EAC



Thank you. Your Semi-Annual (Oct 1 - March 31) progress report for Election Security has been submitted to the EAC. Please keep the PDF download of your submission as grant record.