

**FEDERAL FINANCIAL REPORT**

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted  <b>ELECTION ASSISTANCE COMMISSION</b>	2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment)
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3. Recipient Organization (Name and complete address including Zip code)

4a. UEI	4b. EIN	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)	6. Report Type <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Semi-Annual <input type="checkbox"/> Annual <input type="checkbox"/> Final	7. Basis of Accounting <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual
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8. Project/Grant Period (Month, Day, Year) From: <b>March 28, 2018</b> To: <b>September 30, 2019</b>	9. Reporting Period End Date (Month, Day, Year) <b>March 31, 2022</b>
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**10. Transactions** Cumulative

*(Use lines a-c for single or combined multiple grant reporting)*

**Federal Cash (To report multiple grants separately, also use FFR Attachment):**

a. Cash Receipts	\$41,431,856.00
b. Cash Disbursements	\$21,560,530.36
c. Cash on Hand (line a minus b)	\$19,871,325.64

*(Use lines d-o for single grant reporting)*

**Federal Expenditures and Unobligated Balance:**

d. Total Federal funds authorized	\$41,431,856.00
e. Federal share of expenditures	\$21,560,530.36
f. Federal share of unliquidated obligations	\$0.00
g. Total Federal share (sum of lines e and f)	\$21,560,530.36
h. Unobligated balance of Federal funds (line d minus g)	\$19,871,325.64

**Recipient Share:**

i. Total recipient share required	\$5,363,824.00
j. Recipient share of expenditures	\$2,333,444.72
k. Remaining recipient share to be provided (line i minus j)	\$3,030,379.28

**Program Income:**

l. Total Federal share of program income earned	\$710,335.50
m. Program income expended in accordance with the deduction alternative	\$0.00
n. Program income expended in accordance with the addition alternative	\$0.00
o. Unexpended program income (line l minus line m and line n)	\$710,335.50

11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
<b>g. Totals:</b>					\$0.00	\$0.00	\$0.00

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:  
"Please provide the following information:

**13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)**

a. Typed or Printed Name and Title of Authorized Certifying Official  <b>Zebrowski Stavisky, Kristen</b> <b>Chief Election Official</b>	c. Telephone (Area code, number, and extension)  d. Email Address
b. Signature of Authorized Certifying Official <b>Zebrowski Stavisky, Kristen</b>	e. Date Report Submitted (Month, Day, Year) <b>April 13, 2022</b>

Standard Form 425  
OMB Approval Number: 4040-0014  
Expiration Date: 02/28/2025

**Paperwork Burden Statement**  
According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

**FEDERAL FINANCIAL REPORT**

(Additional Page)

Federal Agency & Organization

ELECTION ASSISTANCE COMMISSION

Federal Grant ID

Recipient Organization

UEI

UEI Status when Certified

EIN

Reporting Period End Date

Status

Awarding Agency Approval

Remarks

"Please provide the following information:

State interest earned (current fiscal year): \$0

State interest expended (current fiscal year): \$0

Program income earned (current fiscal year): \$0

Program income earned breakdown (current fiscal year): \$0 Source: n/a

Program income expended (current fiscal year): \$0

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**Federal Agency Review**

Reviewer Name

Phone #

Email

Review Date

Review Comments

# 2021-2022 EAC Progress Report

## 1. Login

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Please enter the username and password provided by the EAC to begin the Progress Report. If you require assistance or have any questions, please contact [Grants@eac.gov](mailto:Grants@eac.gov).

## 2. Verification

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## 3. EAC Progress Report

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### 1. State or Territory:

New York

### 2. Grant Number:

### 3. Report:

Semi-Annual (Oct 1 - March 31)

### 4. Grant:

Election Security

### 5. Reporting Period Start Date

10/01/2021

### 6. Reporting Period End Date

03/31/2022

## 4. Progress and Narrative

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**1. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities in accordance with your State Plan/Program Narrative. (Note: Your activities should align with your Grant Cost Categories Table.)**

The New York State Board of Election (NYSBOE) has continued its efforts in the implementation of our ARMOR plan developed in 2018. ARMOR stands for "Assess the Risk, Remediate Vulnerabilities, Monitor on-going Operations and Respond to incidents". The NYSBOE has continued its work in strengthening the State and County Boards of Elections cybersecurity infrastructure by continuing intrusion detection, managed security services, annual cyber hygiene training requirements,

administering statewide tabletop exercises, daily pre-election operation center statewide calls, and through our Secure Elections Center implemented cyber regulation.

**2. Describe any significant changes to your program during the project, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.**

N/A

**3. Issues Encountered:**

**Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if applicable. Address each issue separately and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.**

N/A

**4. Provide a description of any training conducted, including security training.**

The State Board of Elections provided a cyber security update at the annual New York State Election Commissioners Association conference in March. The SBOE's Secure Elections Center presented on cyber security regulations, new cyber security reporting tools, and gave an overview of potential external cyber threats from Ukraine and Russia.

**5. Subgrants:**

**Did your office provide subawards to local jurisdictions during this reporting period?**

Yes

**6. Describe the activities carried out by your subgrantees during the reporting period.**

The NYSBOE created a \$9 million dollar Elections Cybersecurity Remediation grant program to allocate funding directly to our 62 Board of Elections. Each Board of Election had a comprehensive, uniform risk assessment performed by NYSBOE in 2018-2019. The NYSBOE worked with each County Board to develop and approve a cybersecurity risk remediation plan. The grant funds support Counties in implementing their specific cybersecurity risk remediation plan.

**Provide a breakdown of aggregate subawards expenditures across major categories.**

Security : \$6,224,028.51

Total : \$6224028.51

**7. Match:**

**Describe how you are meeting or have met the matching requirement.**

The New York State Legislature appropriated the required 20% match.

**8. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure table.**

N/A

**5. Expenditures**

**9. Current Period Amount Expended and Unliquidated Obligations**

## GRANT COST CATEGORIES - FEDERAL

Cyber Security : \$6224029

Total : \$6224029

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**Comments:**

## 10. GRANT COST CATEGORIES - MATCH

Cyber Security : \$443108

Total : \$443108

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**Comments:**

## 7. Expenditures

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### 11. Confirm Total Grant Expenditure Amounts

Federal : \$6,224,028.51

Match : \$443,107.92

Total : \$6667136.43

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**OMB CONTROL NUMBER: 3265-0020**

## 8. Certification

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**Name and Contact of the authorized certifying official of the recipient.**

**First Name**

Kristen

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**Last Name**

Zebrowski Stavisky

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**Title**

Co-Executive Director

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**Phone Number**

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**Email Address**

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**12. Add another contact to send a copy of submission confirmation and edit link?**

Yes

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**13.**

**First Name**

Jennifer

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**Last Name**

Wilson

**Title**

Deputy Director, Public Information Office

**Email Address**

**Signature of Certifying Official:**



Signature of: Kristen Zebrowski Stavisky

**9. Report Submitted to EAC**

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**Thank you. Your Semi-Annual (Oct 1 - March 27) progress report for Election Security has been submitted to the EAC. Please keep the PDF download of your submission as grant record.**