

**FEDERAL FINANCIAL REPORT**

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted  <b>ELECTION ASSISTANCE COMMISSION</b>				2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment)  <b>NV20101001</b>				
3. Recipient Organization (Name and complete address including Zip code)  <b>Secretary Of State, Nevada Office Of The</b> <b>101 N Carson St # 3, CARSON CITY, NV 897013714</b>								
4a. UEI	4b. EIN	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)		6. Report Type	7. Basis of Accounting			
				<input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Semi-Annual <input type="checkbox"/> Annual <input type="checkbox"/> Final	<input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual			
8. Project/Grant Period (Month, Day, Year) From: <b>March 28, 2018</b>				9. Reporting Period End Date (Month, Day, Year) <b>March 31, 2022</b>				
To: <b>September 30, 2029</b>								
<b>10. Transactions</b>					Cumulative			
<i>(Use lines a-c for single or combined multiple grant reporting)</i>								
<b>Federal Cash (To report multiple grants separately, also use FFR Attachment):</b>								
a. Cash Receipts					\$9,083,287.00			
b. Cash Disbursements					\$3,486,037.92			
c. Cash on Hand (line a minus b)					\$5,597,249.08			
<i>(Use lines d-o for single grant reporting)</i>								
<b>Federal Expenditures and Unobligated Balance:</b>								
d. Total Federal funds authorized					\$9,083,287.00			
e. Federal share of expenditures					\$3,486,037.92			
f. Federal share of unliquidated obligations					\$183,039.96			
g. Total Federal share (sum of lines e and f)					\$3,669,077.88			
h. Unobligated balance of Federal funds (line d minus g)					\$5,414,209.12			
<b>Recipient Share:</b>								
i. Total recipient share required					\$1,174,999.00			
j. Recipient share of expenditures					\$1,174,999.00			
k. Remaining recipient share to be provided (line i minus j)					\$0.00			
<b>Program Income:</b>								
l. Total Federal share of program income earned					\$214,046.45			
m. Program income expended in accordance with the deduction alternative					\$0.00			
n. Program income expended in accordance with the addition alternative					\$0.00			
o. Unexpended program income (line l minus line m and line n)					\$214,046.45			
11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share	
g. Totals:					\$0.00	\$0.00	\$0.00	
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation: "Please provide the following information:								
13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)								
a. Typed or Printed Name and Title of Authorized Certifying Official  <b>Griffitts, Ashley</b> <b>Management Analyst I</b>					c. Telephone (Area code, number, and extension)			
					d. Email Address			
b. Signature of Authorized Certifying Official  <b>Griffitts, Ashley</b>					e. Date Report Submitted (Month, Day, Year)  <b>April 21, 2022</b>			

Standard Form 425  
OMB Approval Number: 4040-0014  
Expiration Date: 02/28/2025

**Paperwork Burden Statement**

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

**FEDERAL FINANCIAL REPORT**

(Additional Page)

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Federal Agency & Organization : ELECTION ASSISTANCE COMMISSION

Federal Grant ID : NV20101001

Recipient Organization : Secretary Of State, Nevada Office Of The  
101 N Carson St # 3, CARSON CITY, NV 897013714

UEI :

UEI Status when Certified :

EIN :

Reporting Period End Date : March 31, 2022

Status : Awarding Agency Approval

Remarks : "Please provide the following information:  
  
State interest earned (current fiscal year): \$0  
State interest expended (current fiscal year): \$0  
Program income earned (current fiscal year): \$0  
Program income earned breakdown (current fiscal year): \$0 Source: e.g. Sale of  
registration list  
Program income expended (current fiscal year): \$0  
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**Federal Agency Review**

Reviewer Name  
Phone #  
Email  
Review Date  
Review Comments

# 2021-2022 EAC Progress Report

## 3. EAC Progress Report

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**1. State or Territory:**

Nevada

**2. Grant Number:**

NV2010100101

**3. Report:**

Semi-Annual (Oct 1 - March 31)

**4. Grant:**

Election Security

**5. Reporting Period Start Date**

10/01/2021

**6. Reporting Period End Date**

03/31/2022

## 4. Progress and Narrative

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**7. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities in accordance with your State Plan/Program Narrative. (Note: Your activities should align with your Grant Cost Categories Table.)**

The majority of HAVA funds used during this reporting period were used to pay for activities associated with developing a new top-down voter registration system. In addition, HAVA funds were used to pay staff and contractor salaries and benefits to support all categories of the HAVA grant program. The expenditures are discussed in more detail below.

## Election Auditing

HAVA funds were used to continue the pilot program for Risk Limiting Audits (RLA), as required by NRS 293.394. As previously reported, the State contracted with VotingWorks, a nonprofit and nonpartisan organization, to use their Arlo Risk Limiting Audit software to conduct pilots using data from the 2020 General Election. In this reporting period, additional funds were used to conduct two additional pilots for a recall election and for a school bond ballot measure election.

As reported earlier, the State has also used HAVA funds to equip the counties to enable them to participate in ballot comparison risk-limiting audits. In order to conduct a ballot comparison audit on the mail ballots, jurisdictions using Dominion election equipment must purchase imprinters for their tabulation equipment. The imprinters print a unique serial number on each scanned ballot, making retrieval easier. Two counties purchased imprinters in this reporting period and were reimbursed using HAVA funds via subgrants. The remaining counties' imprinter purchases will be reported in the next reporting period.

The next step in the pilot program is to conduct a statewide audit with all of the jurisdictions participating. To date, the State has conducted RLA pilots with single jurisdictions at a time, with one target contest for each audit. The goal, however, is to have all of the counties participate in a single audit where there is (1) a statewide contest and every single ballot cast in that contest has an equal chance of being selected for the audit and (2) a specific countywide target contest for each county. In the next reporting period, the State will report the expenditure for the annual contract with Voting Works for the Arlo Risk Limiting Audit software. The State will conduct an RLA after the 2022 Primary Election with all seventeen counties and another one after the 2022 General Election. NRS 293.394 requires all elections starting on January 1, 2024 to have risk-limiting audits conducted prior to certification of the results.

## Voter Registration Systems

During this reporting period, the State of Nevada continued the process of researching, purchasing, and implementing a new top-down voter registration and election management solution (VREMS) as detailed in the 2021 Annual Report.

In March, 2021, the State published an RFP to hire an outside vendor to assist with the VREMS Discovery Project. The goals of the VREMS Discovery Project are to identify the business need, identify and document the existing business systems and processes, develop high level requirements, analyze the alternative solutions for a solution, recommend a solution direction including a recommendation on staffing strategy and timeline, and develop a roadmap for moving forward. The RFP was published in March 2021 and the procurement committee selected Gartner, Inc. to serve as the assessment vendor. Gartner began work on this project in mid-September 2021 and is working with the State and the counties to gather requirements and to perform a gap analysis and readiness assessment. This project takes up the majority of time for a contract project manager, two contract business analysts, and multiple full-time employees.

During the 2021 Legislative Session, the Nevada Legislature passed Assembly Bill 422, which codified the requirement of implementing a top-down voter registration system by January 1, 2024. The Legislature also passed Assembly Bill 432, which requires multiple third-party agencies and organizations to become automatic voter registration agencies in much the same way that the Department of Motor Vehicle serves and to develop a system that works with the new top-down voter registration system to interface with those third-party agencies. As a result, there are added complexities to the top-down voter registration system project.

During this reporting period, Gartner completed its assessment and the State made its decision to purchase a commercial off the shelf (COTS) voter registration and election management system. The State, with Gartner's assistance, is continuing to refine the requirements for this system and is working on the RFP with an expected publication date in July or August, 2022.

In addition to the VREMS project, one of Nevada's highest priorities is to improve the auditing of the statewide voter registration list to prevent and discover cases of potential fraud. The Electronic Registration Information Center (ERIC) is extremely helpful in this endeavor and annual state membership fees are budgeted from this grant category. The ERIC fees were previously reported under the Election Auditing category. However, since this program is used primarily for list maintenance, it fits more aptly with the Voter Registration Systems category than Election auditing, which seems to be more appropriate for auditing election results. The State of Nevada has revised this categorization and is reporting an update in Alchemer along with this report.

Finally, the State shifted some personnel costs that had been miscategorized as relating to Cyber Security when they were more appropriate to Voter Registration Systems.

#### Cyber Security

In previous reporting periods, the State described its statewide multifactor authentication (MFA) project and Isolated/Dedicated Project. A small portion of HAVA funds were used to continue monitoring these projects.

As reported above, the State shifted some personnel costs that had been miscategorized as relating to Cyber Security when they were more appropriate to Voter Registration Systems. In addition, some funding that had been used to cover personnel in this category was reimbursed by State general funds allocated as a result of Assembly Bill 432 in the 2021 Legislative Session.

#### Other: Improving the Administration of Federal Elections

Additional funds were used to send full time staff to national conferences, including the National Association of State Election Directors, the Election Center, and the International Association of Government Officials. These conferences and training opportunities provide vital networking and information sharing among election officials from other jurisdictions and are necessary to help the State of Nevada continue to innovate and improve election processes.

HAVA funds were also used to cover personnel funds for work that improves the administration of federal elections but does not otherwise fit into the defined categories.

Finally, the State shifted some allocations totaling \$10,590.45 from previous reports that were attributed to Cyber Security and Election Auditing to this category because the previous characterization was incorrect.

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#### **8. Describe any significant changes to your program during the project, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.**

There are no significant changes to the State Plan/Program Narrative at this time.

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#### **9. Issues Encountered:**

**Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if applicable. Address each issue separately and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.**

The first issue was the realization of significant state budget cuts due to the pandemic. Due to these cuts, the State had to begin funding two additional election staff salaries with HAVA grant funds. While this was not the most difficult problem to solve, it did require some rearranging of planned funds. Efforts will be made to transition most or all of those salaries back to the State's general fund in the 2023 Legislative Session which will affect the 2024 fiscal year.

In addition, the COVID-19 pandemic made deployment of the MFA software and hardware quite difficult as most county and state staff were working from home. The need for VPN access required some rethinking of policy regarding the MFA project but had no impact on the financial aspect of the project. The counties have all implemented MFA software and hardware, and now the only part that is still awaiting implementation is activating MFA for the State staff. At this time, that part of the project is on hold due to shifting prioritization of projects within the IT Division of the Secretary of State's Office.

The COVID-19 pandemic also made it difficult to further implement the Isolated/Dedicated project, which had to be delayed while implementing the other time-sensitive election-related processes. The State plans to move forward on completion of the Isolated/Dedicated project within the next reporting period.

Lastly, as discussed above, the scope of the VREMS project has significantly grown as a result of recent legislation, investigation of requirements with the counties, and the advice of the assessment vendor. The State seeks to ensure that this project is approached thoughtfully and carefully since transitioning from a bottom-up to a top-down system, while also adding

direct interfaces with other voter registration agencies, is a complex and significant undertaking. The HAVA funds will not be sufficient to meet all of the needs of this project, and the State will be seeking funding from the Legislature.

Further, there has been a significant turnover of staff at the State and counties, and more is expected as several of the county clerks are not seeking reelection this year or may lose their reelection campaigns. This is resulting in a significant amount of time being diverted toward helping the counties accomplish daily tasks associated with voter registration and election administration.

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**10. Provide a description of any training conducted, including security training.**

The State used the knowbe4.com website to conduct online annual cyber security training with all state and local election officials as required by Nevada Revised Statutes 293.875. The State is also developing a statewide team of IT and elections officials at the state and county level to share information about vulnerabilities, tools, and training opportunities.

As discussed above in response to Question 3, the high rate of turnover has made it apparent that the State needs better training manuals and a training program. The State is currently in the process of developing a statewide Elections Procedure Manual and is also developing a training program.

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**11. Subgrants:**

**Did your office provide subawards to local jurisdictions during this reporting period?**

Yes

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**12. Describe the activities carried out by your subgrantees during the reporting period.**

During this reporting period, the State awarded \$2,502.10 in subawards to reimburse counties for purchasing imprinters and printer cartridges for use in the risk-limiting audits.

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**Provide a breakdown of aggregate subawards expenditures across major categories.**

Election Auditing : \$2,502.10

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Total : \$2502.1

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**13. Match:**

**Describe how you are meeting or have met the matching requirement.**

Nevada's total required state match is \$1,174,999.00. As of this reporting period, 100% of this match has been met. A portion of this match (\$213,886.00) was previously met in 2018 with a cash contribution from the State's general fund with the purchase of additional voting equipment equivalent to the required match amount (details previously reported in the 2018 Grant Report Narrative). Additionally, \$961,113.00 in State funds was distributed among all 17 counties to assist with paying for annual voting system license and support fees in 2020 and 2021.

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**14. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure table.**

No HAVA funds were used during this period for voting equipment.

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**5. Expenditures**

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**15. Current Period Amount Expended and Unliquidated Obligations**

**GRANT COST CATEGORIES - FEDERAL**

Post-Election Auditing : -\$31459  
Voter Registration Systems : \$544022  
Cyber Security : -\$95670  
Voter Education/Communications : \$3438  
Subgrants : \$2502  
Other (Specify below) : \$42940  
Other (Specify below) : \$120180

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Total : \$585953

**Comments:** 1. Other costs \$42,940 include personnel/fringe costs and operating costs. 2. Other costs \$120,180 include costs for the administration of Federal elections such as staff training and personnel/fringe costs.

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## 16. GRANT COST CATEGORIES - MATCH

Other (Specify below) : \$0

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Total : \$0

**Comments:** No match was met during this reporting period as all required match was met as of the previous reporting period.

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## 7. Expenditures

### 17. Confirm Total Grant Expenditure Amounts

Federal : \$585953

Match : \$0

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Total : \$585953

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**OMB CONTROL NUMBER: 3265-0020**

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## 8. Certification

**Name and Contact of the authorized certifying official of the recipient.**

**First Name**

Ashley

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**Last Name**

Griffitts

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**Title**

Management Analyst II

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**Phone Number**

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**Email Address**

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**18. Add another contact to send a copy of submission confirmation and edit link?**

Yes

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**19.**

**First Name**

Michele

**Last Name**

Forney

**Title**

**Email Address**

**Signature of Certifying Official:**



Signature of: Ashley Griffitts

## 9. Report Submitted to EAC

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**Thank you. Your Semi-Annual (Oct 1 - March 31) progress report for Election Security has been submitted to the EAC. Please keep the PDF download of your submission as grant record.**