

FEDERAL FINANCIAL REPORT

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted ELECTION ASSISTANCE COMMISSION	2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) ME20101001
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3. Recipient Organization (Name and complete address including Zip code)

SECRETARY OF STATE, MAINE DEPT OF THE
101 STATE HOUSE STATION CROSS OFC B, AUGUSTA, ME 043330101

4a. UEI	4b. EIN	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)	6. Report Type <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Semi-Annual <input type="checkbox"/> Annual <input type="checkbox"/> Final	7. Basis of Accounting <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual
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8. Project/Grant Period (Month, Day, Year) From: March 28, 2018 To: September 30, 2019	9. Reporting Period End Date (Month, Day, Year) March 31, 2022
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10. Transactions Cumulative

(Use lines a-c for single or combined multiple grant reporting)

Federal Cash (To report multiple grants separately, also use FFR Attachment):

a. Cash Receipts	\$6,643,743.00
b. Cash Disbursements	\$312,936.00
c. Cash on Hand (line a minus b)	\$6,330,807.00

(Use lines d-o for single grant reporting)

Federal Expenditures and Unobligated Balance:

d. Total Federal funds authorized	\$6,643,743.00
e. Federal share of expenditures	\$312,936.00
f. Federal share of unliquidated obligations	\$0.00
g. Total Federal share (sum of lines e and f)	\$312,936.00
h. Unobligated balance of Federal funds (line d minus g)	\$6,330,807.00

Recipient Share:

i. Total recipient share required	\$859,102.00
j. Recipient share of expenditures	\$702,553.00
k. Remaining recipient share to be provided (line i minus j)	\$156,549.00

Program Income:

l. Total Federal share of program income earned	\$158,912.00
m. Program income expended in accordance with the deduction alternative	\$0.00
n. Program income expended in accordance with the addition alternative	\$0.00
o. Unexpended program income (line l minus line m and line n)	\$158,912.00

11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
	Provisional	4.67	October 1, 2021	March 31, 2022	\$129,923.00	\$6,067.40	\$5,879.00
g. Totals:					\$129,923.00	\$6,067.40	\$5,879.00

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:

"Please provide the following information:

13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)

a. Typed or Printed Name and Title of Authorized Certifying Official Flynn, Julie Deputy Secretary of State	c. Telephone (Area code, number, and extension) d. Email Address
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b. Signature of Authorized Certifying Official Flynn, Julie	e. Date Report Submitted (Month, Day, Year) April 27, 2022
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Standard Form 425
 OMB Approval Number: 4040-0014
 Expiration Date: 02/28/2025

Paperwork Burden Statement
 According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

FEDERAL FINANCIAL REPORT

(Additional Page)

Federal Agency & Organization : ELECTION ASSISTANCE COMMISSION

Federal Grant ID : ME20101001

Recipient Organization : SECRETARY OF STATE, MAINE DEPT OF THE
101 STATE HOUSE STATION CROSS OFC B, AUGUSTA, ME 043330101

UEI :

UEI Status when Certified :

EIN :

Reporting Period End Date : March 31, 2022

Status : Awarding Agency Approval

Remarks : "Please provide the following information:

State interest earned (current fiscal year): \$ 0
State interest expended (current fiscal year): \$ 0
Program income earned (current fiscal year): \$ 0
Program income earned breakdown (current fiscal year): \$ 0
Program income expended (current fiscal year): \$ 0
Note: the "Amount Charged" on line 1 of Item 11e (as calculated by the rounded provisional rate) differs from the actual "Federal Share" amount reported in Item 11f, which reflects the actual amount charged."

Federal Agency Review

Reviewer Name
Phone #
Email
Review Date
Review Comments

2021-2022 EAC Progress Report

3. EAC Progress Report

1. State or Territory:

Maine

2. Grant Number:

ME20101001

3. Report:

Semi-Annual (Oct 1 - March 31)

4. Grant:

Election Security

5. Reporting Period Start Date

10/01/2021

6. Reporting Period End Date

03/31/2022

4. Progress and Narrative

7. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities in accordance with your State Plan/Program Narrative. (Note: Your activities should align with your Grant Cost Categories Table.)

Maine utilized the services of a technology consulting vendor to assist with implementing the redistricting of federal, state and county offices in the current Central Voter Registration (CVR) system, consulting on the implementation of Automatic Voter Registration in the current CVR and advising on the Request for Proposals and selection process for a new vendor to implement a new CVR by the end of 2022. We also used the services of two temporary legal and technical consultants to assist with writing

a contract for the new CVR implementation as well as paying the annual software services fee for use of the Accessible Absentee Ballot program.

8. Describe any significant changes to your program during the project, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.

Utilizing the State's temporary contracting process to secure legal and technical assistance with writing the contract for the new CVR program, helped reduce the time it normally takes to get a contract approved by the State Purchases Review Committee and allowed us to start work on the project earlier than we could have using internal State resources.

9. Issues Encountered:

Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if applicable. Address each issue separately and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

N/A

10. Provide a description of any training conducted, including security training.

Although no federal grant funds were used in conducting this training, the Secretary of State, in coordination with the State's Office of Information Technology and CISA, conducted a full-day Cyber Security Summit for municipal election officials, which including an overview of federal resources available for improving election security, as well as more detailed presentations on malware and phishing.

11. Subgrants:

Did your office provide subawards to local jurisdictions during this reporting period?

No

12. Match:

Describe how you are meeting or have met the matching requirement.

During the last annual reporting period ending on 9-30-2021, Maine fully met the match requirement for the 2020 portion of the HAVA Security Funds. We received a cash allotment from the Maine Legislature in FFY2018 as a match for the 2018 portion of the HAVA Security Funds, but this allotment has not yet been expended.

13. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure table.

N/A

5. Expenditures

14. Current Period Amount Expended and Unliquidated Obligations

GRANT COST CATEGORIES - FEDERAL

Voting Equipment and Processes: : \$0
Post-Election Auditing: : \$0
Voter Registration Systems: : \$109923
Cyber Security: : \$0
Voter Education/Communications: : \$0

Accessibility: : \$20000
Staffing: : \$0
Training: : \$0
Subgrants: : \$0
Indirect Costs (If applicable, FFR Line 11): : \$5879
Unliquidated Obligations (If applicable, FFR Line 10f): : \$0
Other (Specify below) : \$0
Other (Specify below) : \$0
Other (Specify below) : \$0

Total : \$135802

Comments:

15. GRANT COST CATEGORIES - MATCH

Voting Equipment and Processes: : \$0
Post-Election Auditing: : \$0
Voter Registration Systems: : \$0
Cyber Security: : \$0
Voter Education/Communications: : \$0
Accessibility: : \$0
Staffing: : \$0
Training: : \$0
Subgrants: : \$0
Indirect Costs (If applicable, FFR Line 11): : \$0
Unliquidated Obligations (If applicable, FFR Line 10f): : \$0
Other (Specify below) : \$0
Other (Specify below) : \$0
Other (Specify below) : \$0

Total : \$0

Comments:

7. Expenditures

16. Confirm Total Grant Expenditure Amounts

Federal : \$135802

Match : \$0

Total : \$135802

OMB CONTROL NUMBER: 3265-0020

8. Certification

Name and Contact of the authorized certifying official of the recipient.

First Name

Julie

Last Name

Flynn

Title

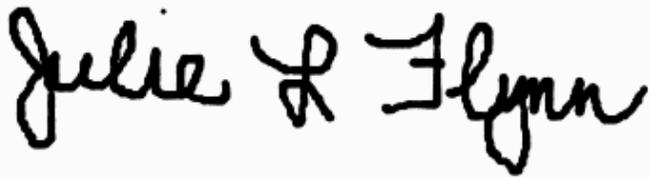
Deputy Secretary of State

Phone Number

Email Address

17. Add another contact to send a copy of submission confirmation and edit link?

Signature of Certifying Official:



Signature of: Julie L. Flynn

9. Report Submitted to EAC



Thank you. Your Semi-Annual (Oct 1 - March 31) progress report for Election Security has been submitted to the EAC. Please keep the PDF download of your submission as grant record.