

FEDERAL FINANCIAL REPORT

(Follow form instructions)

| | | | | | | | | |
|---|---------|---|----------------|--|--|-------------------|------------------|--|
| 1. Federal Agency and Organizational Element to Which Report is Submitted ELECTION ASSISTANCE COMMISSION | | | | 2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) KY20101001 | | | | |
| 3. Recipient Organization (Name and complete address including Zip code) ELECTIONS, KENTUCKY STATE BOARD OF 140 WALNUT ST, FRANKFORT, KY 406013240 | | | | | | | | |
| 4a. UEI | 4b. EIN | 5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment) | | 6. Report Type | 7. Basis of Accounting | | | |
| | | | | <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Semi-Annual <input type="checkbox"/> Annual <input type="checkbox"/> Final | <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual | | | |
| 8. Project/Grant Period (Month, Day, Year) From: March 28, 2018 | | | | 9. Reporting Period End Date (Month, Day, Year) March 31, 2022 | | | | |
| To: September 30, 2029 | | | | | | | | |
| 10. Transactions | | | | | Cumulative | | | |
| <i>(Use lines a-c for single or combined multiple grant reporting)</i> | | | | | | | | |
| Federal Cash (To report multiple grants separately, also use FFR Attachment): | | | | | | | | |
| a. Cash Receipts | | | | | \$12,265,189.00 | | | |
| b. Cash Disbursements | | | | | \$5,656,328.75 | | | |
| c. Cash on Hand (line a minus b) | | | | | \$6,608,860.25 | | | |
| <i>(Use lines d-o for single grant reporting)</i> | | | | | | | | |
| Federal Expenditures and Unobligated Balance: | | | | | | | | |
| d. Total Federal funds authorized | | | | | \$12,265,189.00 | | | |
| e. Federal share of expenditures | | | | | \$5,656,328.75 | | | |
| f. Federal share of unliquidated obligations | | | | | \$0.00 | | | |
| g. Total Federal share (sum of lines e and f) | | | | | \$5,656,328.75 | | | |
| h. Unobligated balance of Federal funds (line d minus g) | | | | | \$6,608,860.25 | | | |
| Recipient Share: | | | | | | | | |
| i. Total recipient share required | | | | | \$1,581,856.73 | | | |
| j. Recipient share of expenditures | | | | | \$970,487.36 | | | |
| k. Remaining recipient share to be provided (line i minus j) | | | | | \$611,369.37 | | | |
| Program Income: | | | | | | | | |
| l. Total Federal share of program income earned | | | | | \$123,253.20 | | | |
| m. Program income expended in accordance with the deduction alternative | | | | | \$0.00 | | | |
| n. Program income expended in accordance with the addition alternative | | | | | \$0.00 | | | |
| o. Unexpended program income (line l minus line m and line n) | | | | | \$123,253.20 | | | |
| 11. Indirect Expense | a. Type | b. Rate | c. Period From | Period To | d. Base | e. Amount Charged | f. Federal Share | |
| | | | | | | | | |
| g. Totals: | | | | | \$0.00 | \$0.00 | \$0.00 | |
| 12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation: "Please provide the following information: | | | | | | | | |
| 13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001) | | | | | | | | |
| a. Typed or Printed Name and Title of Authorized Certifying Official Sellers, Karen Executive Director | | | | | c. Telephone (Area code, number, and extension) | | | |
| | | | | | d. Email Address | | | |
| b. Signature of Authorized Certifying Official Sellers, Karen | | | | | e. Date Report Submitted (Month, Day, Year) April 26, 2022 | | | |

Standard Form 425
OMB Approval Number: 4040-0014
Expiration Date: 02/28/2025

Paperwork Burden Statement

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

FEDERAL FINANCIAL REPORT

(Additional Page)

Federal Agency & Organization : ELECTION ASSISTANCE COMMISSION

Federal Grant ID : KY20101001

Recipient Organization : ELECTIONS, KENTUCKY STATE BOARD OF
140 WALNUT ST, FRANKFORT, KY 406013240

UEI :

UEI Status when Certified :

EIN :

Reporting Period End Date : March 31, 2022

Status : Awarding Agency Approval

Remarks : "Please provide the following information:

State interest earned (current fiscal year): \$-5,167.27

State interest expended (current fiscal year): \$

Program income earned (current fiscal year): \$

Program income earned breakdown (current fiscal year): \$ Source: e.g. Sale of registration list

Program income expended (current fiscal year): \$

The Agency has experienced a loss of interest across the board in all of the associated accounts. This loss is recorded here as State interest of \$-5,167.27 reflected in Line 10i and a loss of \$-166.14 in Federal Interest reflected in Line 10l.

An interest reallocation was done in February 2022 as part of a Findings resolution. This resulted in an addition of \$89,736.25 in interest being allocated to the Election Security grant. That is reflected in Line 10l.

This Finding resolution also resulted in the initiation of the close of our Section 101

Federal Agency Review

Reviewer Name

Phone #

Email

Review Date

Review Comments

2021-2022 EAC Progress Report

3. EAC Progress Report

1. State or Territory:

Kentucky

2. Grant Number:

KY20101001-01

3. Report:

Semi-Annual (Oct 1 - March 31)

4. Grant:

Election Security

5. Reporting Period Start Date

/01/2021

6. Reporting Period End Date

3/31/2022

4. Progress and Narrative

7. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities in accordance with your State Plan/Program Narrative. (*Note: Your activities should align with your Grant Cost Categories Table.*)

During this reporting period, the Kentucky State Board of Elections has continued to develop and maintenance the Voter Registration System.

In February 2022, a Findings Resolution determined a reallocation of interest involving the Section 101 and Election Security grants. Both grants were originally combined into the same fund and calculations were determined to allocate that shared interest properly. In doing this, the Agency initiated the close of the Section 101 grant. In addition to the reallocation of interest, some applicable expenses were transferred to the Election Security grant. Those expenditures will be included in the Category section of this report.

During the 2021 reporting period, the Agency purchased carrying cases for electronic poll books. Some of those were still in possession of the Agency but were purchased by a county, at cost, during this reporting period. A negative expenditure is recorded under Voting Equipment and Processes because the Agency booked this payment as a credit against an original expense.

The Agency uses the Commonwealth Office of Technology to provide a designated email inbox specifically for the VRS Help Desk. This has been recorded as \$36.00 of expenditures for Communications.

Also included in the Findings Resolution was the requirement of inventorying electronic poll books. The State Match expenditure of \$210.00 was for the purchase of inventory tags to affix to the equipment.

Reallocated expenditures include \$-12,704.00 in Voter Education/Communications. This original expenditure was offset by the award of Coronavirus Relief funding and was moved off of expenses attributed to the Election Security grant. The original purchase of the electronic poll book cases was moved to the Election Security grant in the amount of \$764.00, categorized as Other. Contractor costs for development and maintenance in the amount of \$118,100.56 was moved to the Election Security grant under Voter Registration System.

8. Describe any significant changes to your program during the project, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.

N/A

9. Issues Encountered:

Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if applicable. Address each issue separately and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

N/A

10. Provide a description of any training conducted, including security training.

N/A

11. Subgrants:

Did your office provide subawards to local jurisdictions during this reporting period?

No

12. Match:

Describe how you are meeting or have met the matching requirement.

The Agency is currently using a combination of both State Restricted and General Funding. Additional state match was requested in the most recent Biennial Budget and has been passed by Legislation to take effect on 07/01/2022. Throughout the remainder of the current Fiscal Year 2022, the Agency will devote all available match funding to gain ground in this requirement.

13. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure table.

N/A

5. Expenditures

14. Current Period Amount Expended and Unliquidated Obligations

GRANT COST CATEGORIES - FEDERAL

Voting Equipment and Processes: : -\$672
Voter Registration Systems: : \$188950
Voter Education/Communications: : -\$12668
Other (Specify below) : \$764
Total : \$176374

Comments: Executive Staff travel to evaluate security of processes in various counties.

15. GRANT COST CATEGORIES - MATCH

Other (Specify below) : \$210
Total : \$210

Comments: Inventory tags for Federal Inventory of electronic poll books.

7. Expenditures

16. Confirm Total Grant Expenditure Amounts

Federal : \$176374
Match : \$210
Total : \$176584

8. Certification

Name and Contact of the authorized certifying official of the recipient.

First Name

Rachel

Last Name

Poynter

Title

Staff Assistant

Phone Number

Email Address

Signature of Certifying Official:

A handwritten signature in black ink that reads "Rachel Poynter". The signature is written in a cursive style with a large, rounded initial "R" and a distinct "P" for "Poynter".

Signature of: Rachel Poynter