

FEDERAL FINANCIAL REPORT

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted ELECTION ASSISTANCE COMMISSION					2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) CA20101001		
3. Recipient Organization (Name and complete address including Zip code) CALIFORNIA SECRETARY OF STATE 1500 11TH ST, SACRAMENTO, CA 958145701							
4a. UEI		4b. EIN		5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)		6. Report Type <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Semi-Annual <input type="checkbox"/> Annual <input type="checkbox"/> Final	7. Basis of Accounting <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual
8. Project/Grant Period (Month, Day, Year) From: March 28, 2018 To: September 30, 2019					9. Reporting Period End Date (Month, Day, Year) March 31, 2022		
10. Transactions							Cumulative
<i>(Use lines a-c for single or combined multiple grant reporting)</i>							
Federal Cash (To report multiple grants separately, also use FFR Attachment):							
a. Cash Receipts						\$73,502,386.00	
b. Cash Disbursements						\$46,640,311.05	
c. Cash on Hand (line a minus b)						\$26,862,074.95	
<i>(Use lines d-o for single grant reporting)</i>							
Federal Expenditures and Unobligated Balance:							
d. Total Federal funds authorized						\$73,502,386.00	
e. Federal share of expenditures						\$46,640,311.05	
f. Federal share of unliquidated obligations						\$0.00	
g. Total Federal share (sum of lines e and f)						\$46,640,311.05	
h. Unobligated balance of Federal funds (line d minus g)						\$26,862,074.95	
Recipient Share:							
i. Total recipient share required						\$9,516,646.00	
j. Recipient share of expenditures						\$9,516,646.00	
k. Remaining recipient share to be provided (line i minus j)						\$0.00	
Program Income:							
l. Total Federal share of program income earned						\$1,163,101.61	
m. Program income expended in accordance with the deduction alternative						\$0.00	
n. Program income expended in accordance with the addition alternative						\$0.00	
o. Unexpended program income (line l minus line m and line n)						\$1,163,101.61	
11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
g. Totals:					\$0.00	\$0.00	\$0.00
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation: "Please provide the following information:							
13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)							
a. Typed or Printed Name and Title of Authorized Certifying Official Yang, Fan Accounting Administrator I (Supervisor)					c. Telephone (Area code, number, and extension)		
					d. Email Address		
b. Signature of Authorized Certifying Official Yang, Fan					e. Date Report Submitted (Month, Day, Year) April 30, 2022		

Standard Form 425
OMB Approval Number: 4040-0014
Expiration Date: 02/28/2025

Paperwork Burden Statement

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

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(Additional Page)

Federal Agency & Organization : ELECTION ASSISTANCE COMMISSION

Federal Grant ID : CA20101001

Recipient Organization : CALIFORNIA SECRETARY OF STATE
1500 11TH ST, SACRAMENTO, CA 958145701

UEI :

UEI Status when Certified :

EIN :

Reporting Period End Date : March 31, 2022

Status : Awarding Agency Approval

Remarks : "Please provide the following information:

State interest earned (current fiscal year): \$0.00
State interest expended (current fiscal year): \$0.00
Program income earned (current fiscal year): \$0.00
Program income earned breakdown (current fiscal year): \$0.00 Source: e.g. Sale of
registration list
Program income expended (current fiscal year): \$0.00
"

Federal Agency Review

Reviewer Name :
Phone # :
Email :
Review Date :
Review Comments :

2021-2022 EAC Progress Report

3. EAC Progress Report

1. State or Territory:

California

2. Grant Number:

CA20101001

3. Report:

Semi-Annual (Oct 1 - March 31)

4. Grant:

Election Security

5. Reporting Period Start Date

10/01/2021

6. Reporting Period End Date

03/31/2022

4. Progress and Narrative

7. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities in accordance with your State Plan/Program Narrative. (Note: Your activities should align with your Grant Cost Categories Table.)

During this reporting period, the HAVA election security funding was used to (1) reimburse California counties in the form of county contracts to support county implementation of cyber security safeguards and associated infrastructure enhancements to protect against cyber risks and vulnerabilities, (2) reimburse California counties for the improve the administration of elections through the implementation of vote centers and associated voting process enhancements; and (3) support polling place

accessibility through the training of counties on accessibility requirements and mitigations and providing support to counties to improve accessibility of polling places.

8. Describe any significant changes to your program during the project, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.

N/A

9. Issues Encountered:

Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if applicable. Address each issue separately and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

N/A

10. Provide a description of any training conducted, including security training.

California counties were reimbursed for cyber security contracts that were provided to California's 58 counties in prior reporting periods. Under these contracts, counties are allowed to submit claims for reimbursement for the implementation of cyber security safeguards and associated infrastructure enhancements to protect against cyber risks and vulnerabilities. As a condition of receiving funds under the contract, counties must conduct a security assessment and conduct security awareness training for all staff. Training must include cyber security best practices, including how to recognize a phishing email, creating and maintaining strong passwords, utilizing multi-factor authentication, and avoiding dangerous applications. HAVA staff ensured that for any claims of reimbursement during the reporting period, the appropriate cyber security training took place during review of the claims for reimbursement.

In addition, polling place accessibility training of counties on accessibility requirements and mitigations was provided to California's 58 counties.

11. Subgrants:

Did your office provide subawards to local jurisdictions during this reporting period?

Yes

12. Describe the activities carried out by your subgrantees during the reporting period.

California counties were reimbursed for purchasing voting equipment, cyber security enhancements, implementing vote centers to improve the administration of elections and improving accessibility for voters.

Provide a breakdown of aggregate subawards expenditures across major categories.

Voting Equipment : \$60,943.02

Security : \$50,000.00

Other (Specify above) : \$157,884.36

Other (Specify above) : \$11,211.25

Total : \$280038.63

13. Match:

Describe how you are meeting or have met the matching requirement.

California authorized \$46.1 million in the FY 20-21 state General Fund budget to satisfy the match requirement.

14. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure table.

1 Big bell Alert System HAVA Equipment Purchase for Polling/ Voting Locations

1 Safety Yellow Delineator for ADA Parking, Curbside Voting

1 Inclusion Solutions Accessible Voting Booths and Accessories

5. Expenditures

15. Current Period Amount Expended and Unliquidated Obligations

GRANT COST CATEGORIES - FEDERAL

Subgrants : \$280039

Total : \$280039

Comments:

16. GRANT COST CATEGORIES - MATCH

Subgrants : \$9516646

Total : \$9516646

Comments: Match reported previously.

7. Expenditures

17. Confirm Total Grant Expenditure Amounts

Federal : \$280,038.63

Match : \$9,516,646.00

Total : \$9796684.63

OMB CONTROL NUMBER: 3265-0020

8. Certification

Name and Contact of the authorized certifying official of the recipient.

First Name

Jessica

Last Name

Godina

Title

HAVA Coordinator

Phone Number

Email Address

18. Add another contact to send a copy of submission confirmation and edit link?

Yes

19.

First Name

Susan

Last Name

Lapsley

Title

HAVA Director

Email Address

Signature of Certifying Official:



Signature of: Jessica Godina

9. Report Submitted to EAC



Thank you. Your Semi-Annual (Oct 1 - March 31) progress report for Election Security has been submitted to the EAC. Please keep the PDF download of your submission as grant record.