

**FEDERAL FINANCIAL REPORT**

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted <b>ELECTION ASSISTANCE COMMISSION</b>	2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) <b>AZ20101001</b>
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3. Recipient Organization (Name and complete address including Zip code)

**State Library, Archives & Public Records, Arizona**  
**1700 W Washington St Fl 7, Phoenix, AZ 850072808**

4a. UEI	4b. EIN	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)	6. Report Type <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Semi-Annual <input type="checkbox"/> Annual <input type="checkbox"/> Final	7. Basis of Accounting <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual
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8. Project/Grant Period (Month, Day, Year) From: <b>March 28, 2018</b> To: <b>September 30, 2019</b>	9. Reporting Period End Date (Month, Day, Year) <b>March 31, 2022</b>
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**10. Transactions** Cumulative

*(Use lines a-c for single or combined multiple grant reporting)*

**Federal Cash (To report multiple grants separately, also use FFR Attachment):**

a. Cash Receipts	\$15,860,974.00
b. Cash Disbursements	\$12,114,319.35
c. Cash on Hand (line a minus b)	\$3,746,654.65

*(Use lines d-o for single grant reporting)*

**Federal Expenditures and Unobligated Balance:**

d. Total Federal funds authorized	\$15,860,974.00
e. Federal share of expenditures	\$12,114,319.35
f. Federal share of unliquidated obligations	\$0.00
g. Total Federal share (sum of lines e and f)	\$12,114,319.35
h. Unobligated balance of Federal funds (line d minus g)	\$3,746,654.65

**Recipient Share:**

i. Total recipient share required	\$2,052,644.00
j. Recipient share of expenditures	\$2,052,644.00
k. Remaining recipient share to be provided (line i minus j)	\$0.00

**Program Income:**

l. Total Federal share of program income earned	\$320,324.07
m. Program income expended in accordance with the deduction alternative	\$0.00
n. Program income expended in accordance with the addition alternative	\$0.00
o. Unexpended program income (line l minus line m and line n)	\$320,324.07

11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
<b>g. Totals:</b>					\$0.00	\$0.00	\$0.00

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:

"Please provide the following information:

**13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)**

a. Typed or Printed Name and Title of Authorized Certifying Official  <b>Schnupp, Sarah</b> <b>Chief Financial Officer</b>	c. Telephone (Area code, number, and extension)  d. Email Address
b. Signature of Authorized Certifying Official  <b>Schnupp, Sarah</b>	e. Date Report Submitted (Month, Day, Year)  <b>May 17, 2022</b>

Standard Form 425  
 OMB Approval Number: 4040-0014  
 Expiration Date: 02/28/2025

**Paperwork Burden Statement**  
 According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

**FEDERAL FINANCIAL REPORT**

(Additional Page)

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Federal Agency & Organization : ELECTION ASSISTANCE COMMISSION

Federal Grant ID : AZ20101001

Recipient Organization : State Library, Archives & Public Records, Arizona  
1700 W Washington St Fl 7, Phoenix, AZ 850072808

UEI :

UEI Status when Certified :

EIN :

Reporting Period End Date : March 31, 2022

Status : Awarding Agency Approval

Remarks : "Please provide the following information:  
  
State interest earned (current fiscal year): \$0  
State interest expended (current fiscal year): \$0  
Program income earned (current fiscal year): \$0  
Program income earned breakdown (current fiscal year): \$ Source: e.g. Sale of  
registration list  
Program income expended (current fiscal year): \$0  
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**Federal Agency Review**

Reviewer Name  
Phone #  
Email  
Review Date  
Review Comments

# 2021-2022 EAC Progress Report

## 3. EAC Progress Report

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**1. State or Territory:**

Arizona

**2. Grant Number:**

AZ20101001-01

**3. Report:**

Semi-Annual (Oct 1 - March 31)

**4. Grant:**

Election Security

**5. Reporting Period Start Date**

10/01/2021

**6. Reporting Period End Date**

03/31/2022

## 4. Progress and Narrative

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**7. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities in accordance with your State Plan/Program Narrative. (Note: Your activities should align with your Grant Cost Categories Table.)**

The Arizona Secretary of State's Office has performed various approved grant activities throughout the state during this reporting period.

Our spending so far for FFY22 includes ongoing contract work on election security assessment remediation, expenditures

related to an elections tribal summit that was hosted by the Secretary of State, costs for equipping new, temporary elections staff for the election year, and personnel expenditures for staff that supported HAVA grant administration, election security, and elections community outreach.

Our Office also continues to provide subgrants to Arizona counties in the form of formula grants that the counties can use toward any allowable HAVA grant category. During this report period subgrants were used to purchase voting equipment and enhance security.

In addition to these activities, we paid for voter registration system items including ongoing maintenance and hosting and moving the hosting environment from our old vendor's platform to the State's platform.

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**8. Describe any significant changes to your program during the project, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.**

N/A

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**9. Issues Encountered:**

**Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if applicable. Address each issue separately and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.**

In Arizona HAVA funds are subject to legislative appropriation and we are required to submit expenditure plans to the joint legislative budget committee each year. These requirements sometimes impede and delay our ability to access the funds since the legislature and their committees do not meet year-round.

Also, we have been unsuccessful at lobbying the legislature for cash matches on the funds, and instead have relied on county general operating expenditures to meet match requirements.

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**10. Provide a description of any training conducted, including security training.**

The Arizona Secretary of State's Office hosted a Tribal Summit for Arizona's Tribal Nations and local elections officials. The Summit trained representatives from both communities on updates to election laws, lessons learned and best practices for outreach and voter education from the 2020 election, and laid the groundwork for building outreach efforts in advance of the 2022 elections. Approximately 75 attendees participated in the day-long training event.

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**11. Subgrants:**

**Did your office provide subawards to local jurisdictions during this reporting period?**

Yes

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**12. Describe the activities carried out by your subgrantees during the reporting period.**

Disbursed grant funds were used to purchase new voting equipment and to make security enhancements for the counties. Voting equipment purchases included voting booths, pollbooks, tablets, ballot scanners, software, and installation. Security equipment purchases included outdoor and indoor video surveillance systems, laptops, threat protector technology, vault room security and construction, doors, lighting, blackout curtains, hardware, and cyber security/security software.

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**Provide a breakdown of aggregate subawards expenditures across major categories.**

Voting Equipment : \$249,823.54

Election Auditing : \$0.00

Voter Registration Systems : \$0.00

Security : \$47,713.13

Communications : \$0.00

Other (Voter Reg. Security Equipment) : \$0.00

Other (Specify above) : \$0.00

Other (Specify above) : \$0.00

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Total : \$297536.67

### 13. Match:

#### Describe how you are meeting or have met the matching requirement.

We met the match obligation in FFY20 using eligible expenses from County general operating budgets.

#### 14. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure table.

N/A

## 5. Expenditures

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### 15. Current Period Amount Expended and Unliquidated Obligations

#### GRANT COST CATEGORIES - FEDERAL

Voter Registration Systems: : \$558188

Cyber Security: : \$53299

Staffing: : \$81552

Training: : \$1874

Subgrants: : \$1048802

Other (Specify below) : \$10143

Voting Equipment and Processes: : \$0

Post-Election Auditing: : \$0

Voter Education/Communications: : \$0

Accessibility: : \$0

Indirect Costs (If applicable, FFR Line 11): : \$0

Unliquidated Obligations (If applicable, FFR Line 10f): : \$0

Other (Specify below) : \$0

Other (Specify below) : \$0

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Total : \$1753858

**Comments:** \$10,143 amount falls under improving election administration.

#### 16. GRANT COST CATEGORIES - MATCH

Voting Equipment and Processes: : \$0

Post-Election Auditing: : \$0

Voter Registration Systems: : \$0

Cyber Security: : \$0

Voter Education/Communications: : \$0

Accessibility: : \$0

Staffing: : \$0

Training: : \$0

Subgrants: : \$0

Indirect Costs (If applicable, FFR Line 11): : \$0

Unliquidated Obligations (If applicable, FFR Line 10f): : \$0

Other (Specify below) : \$0

Other (Specify below) : \$0

Other (Specify below) : \$0

Total : \$0

**Comments:** No match expenditures applicable to this period; state match was made and reported in FFY20.

## 7. Expenditures

### 17. Confirm Total Grant Expenditure Amounts

Federal : \$1753858

Match : \$0

Total : \$1753858

**OMB CONTROL NUMBER: 3265-0020**

## 8. Certification

Name and Contact of the authorized certifying official of the recipient.

**First Name**

Sarah

**Last Name**

Brown

**Title**

Chief Financial Officer

**Phone Number**

**Email Address**

18. Add another contact to send a copy of submission confirmation and edit link?

Signature of Certifying Official:



Signature of: Sarah Brown

## 9. Report Submitted to EAC



**Thank you. Your Semi-Annual (Oct 1 - March 31) progress report for Election Security has been submitted to the EAC. Please keep the PDF download of your submission as grant record.**