

FEDERAL FINANCIAL REPORT

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted ELECTION ASSISTANCE COMMISSION				2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) AK20101001			
3. Recipient Organization (Name and complete address including Zip code) LIEUTENANT GOVERNOR OF ALASKA, THE OFFICE OF 240 Main St Ste 400, Juneau, AK 998012108							
4a. UEI	4b. EIN	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)		6. Report Type	7. Basis of Accounting		
				<input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Semi-Annual <input type="checkbox"/> Annual <input type="checkbox"/> Final	<input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual		
8. Project/Grant Period (Month, Day, Year) From: March 28, 2018				9. Reporting Period End Date (Month, Day, Year) March 31, 2022			
To: September 30, 2019							
10. Transactions					Cumulative		
(Use lines a-c for single or combined multiple grant reporting)							
Federal Cash (To report multiple grants separately, also use FFR Attachment):							
a. Cash Receipts				\$6,000,000.00			
b. Cash Disbursements				\$4,475,618.04			
c. Cash on Hand (line a minus b)				\$1,524,381.96			
(Use lines d-o for single grant reporting)							
Federal Expenditures and Unobligated Balance:							
d. Total Federal funds authorized				\$6,000,000.00			
e. Federal share of expenditures				\$4,475,618.04			
f. Federal share of unliquidated obligations				\$0.00			
g. Total Federal share (sum of lines e and f)				\$4,475,618.04			
h. Unobligated balance of Federal funds (line d minus g)				\$1,524,381.96			
Recipient Share:							
i. Total recipient share required				\$2,299,490.97			
j. Recipient share of expenditures				\$235,558.84			
k. Remaining recipient share to be provided (line i minus j)				\$2,063,932.13			
Program Income:							
l. Total Federal share of program income earned				\$156,201.62			
m. Program income expended in accordance with the deduction alternative				\$0.00			
n. Program income expended in accordance with the addition alternative				\$0.00			
o. Unexpended program income (line l minus line m and line n)				\$156,201.62			
11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
g. Totals:					\$0.00	\$0.00	\$0.00
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation: Please provide the following information:							
13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)							
a. Typed or Printed Name and Title of Authorized Certifying Official Forrest, Sharon Administrative Officer, Elections				c. Telephone (Area code, number, and extension)			
b. Signature of Authorized Certifying Official Forrest, Sharon				d. Email Address			
e. Date Report Submitted (Month, Day, Year) April 15, 2022							

Standard Form 425
OMB Approval Number: 4040-0014
Expiration Date: 02/28/2025

Paperwork Burden Statement

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

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(Additional Page)

Federal Agency & Organization : ELECTION ASSISTANCE COMMISSION

Federal Grant ID : AK20101001

Recipient Organization : LIEUTENANT GOVERNOR OF ALASKA, THE OFFICE OF
240 Main St Ste 400, Juneau, AK 998012108

UEI :

UEI Status when Certified :

EIN :

Reporting Period End Date : March 31, 2022

Status : Awarding Agency Approval

Remarks : Please provide the following information:

State interest earned (current fiscal year): -\$14,338.82

State interest expended (current fiscal year): \$0.00

Program income earned (current fiscal year): \$0.00

Program income earned breakdown (current fiscal year): \$0.00

Program income expended (current fiscal year): \$0.00

Federal Agency Review

Reviewer Name :

Phone # :

Email :

Review Date :

Review Comments :

2021-2022 EAC Progress Report

3. EAC Progress Report

1. State or Territory:

Alaska

2. Grant Number:

AK20101001

3. Report:

Semi-Annual (Oct 1 - March 31)

4. Grant:

Election Security

5. Reporting Period Start Date

10/01/2021

6. Reporting Period End Date

03/31/2022

4. Progress and Narrative

7. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities in accordance with your State Plan/Program Narrative. (Note: Your activities should align with your Grant Cost Categories Table.)

Cyber Security and Voter Registration Systems: Grant personnel (division) continued to work with the software vendor for the Voter Registration and Election Management System (VREMS) to further secure the voter registration system architecture, ensured continual patching of the system, systems backups, and disaster recovery. The division has completed sensor set-up with a third-party vendor who is actively performing 24/7 network monitoring and penetration testing of the VREMS

environments. The Remote Vulnerability Assessment by the Cybersecurity and Infrastructure Security Agency (CISA) slated for February/January 2022 was completed. The division addressed the vulnerabilities provided in this assessment. The division continues with the development of comprehensive cybersecurity procedures policy based on the National Institute of Standards and Technology (NIST) Cybersecurity Framework. The division meets monthly to bi-monthly with the State's Governor's Information Technology (GOV-IT) and Office of Information Technology (OIT) to discuss cybersecurity matters and continues to build its cybersecurity procedures policy working with these entities.

Overall expenses attributed to the security category were \$160,155.94 (federal share principal \$152,148.14; state share principal \$8007.80). Personnel expenses for the cyber security personnel and secondary support equaled \$150,005.35 (\$142,505.08 federal share principal, \$7500.27 state match principal). Other secondary cost for items such as business supplies, equipment, and courier services were \$10,150.59 (\$9,643.06 federal share principal, \$507.53 state match principal).

The division receives weekly and monthly cyber hygiene scans offered by CISA and reviews and addresses vulnerabilities accordingly, as well as reviews and address vulnerabilities provided in the cyber hygiene scans performed by the third-party vendor. The division contracted with our escrow agent to conduct a code review to detect any vulnerabilities for remediation. The code review is currently in-progress. The division continues to participate with the State Rapid7 scans for security postures and known vulnerabilities to assist with security hardening and patch management. Expenses for the voter registration system category equaled \$34,838 (federal share principal \$33,096.10; state share principal \$1,741.90).

Working with GOV-IT, the division upgraded the Elections resource domain. It was secured according to CIS-hardening standards. Election workstations were established in the State of Alaska domain. The division acquired secure fire safes and key safes for division offices to secure keys and storage of critical devices. The division has acquired badge printing supplies and printer to prepare badges for elections personnel to clearly define their roles in the different areas of responsibilities during elections. The division is currently working with CISA on physical security improvements of division offices. A CISA representative has performed a walk-through of five of the seven division offices. The remaining two walk-throughs will take place in the fall. The recommendation reports are pending from the CISA representative. The division has also acquired NDAA-compliant cameras and key-card access for sensitive areas of the seven division offices. This project is currently underway.

The division is still in the process of exploring updates to the VREMS systems platform to determine its future direction for the upcoming 10 to 15 years life.

Communications: During this reporting period the Public Relations Manager continued to focus on educating the public about the security measures the division takes to ensure fair and accurate elections. After several meetings with some statewide organizations that serve targeted audiences, the PR Manager determined that more materials needed to be created for voters who have no access to or know how to use the internet. The PR manager developed procedures for division staff to follow when voters with limited access to the division's website contact the division about election security and have more than just a few questions that can be easily answered on the phone. The PR manager is creating packets with election security explanation documents (FAQ's, infographics, and timelines) that will be mailed to voters who otherwise could access such information. Expenses for the communications category equaled \$10,284.42 (federal share principal \$9770.20; state share principal \$514.22). The personnel costs equaled \$7,719.42 and secondary software licensing costs were \$2565.

Voting Equipment: During this reporting period the division established the ongoing annual service agreement with the vendor. Expenses for the voting system equaled \$288,662.81 (federal share \$274,229.67; state share \$14,433.14)

8. Describe any significant changes to your program during the project, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.

Cyber Security: Cyber Security: Election employees are now required to complete annual Cyber Security Awareness training provided by the State of Alaska and a third-party vendor. Additionally, the Division receives and reviews weekly Cyber Hygiene scans on the Voter Registration System applications. Daily network monitoring reports will be available soon.

Communications: The data exposure in late 2020 was an unplanned event that altered our original plan.

Communication resources were allocated to educate the public on security options and how to protect their confidential

information.

9. Issues Encountered:

Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if applicable. Address each issue separately and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

No major issues were encountered during implementation of projects.

10. Provide a description of any training conducted, including security training.

The Election Security Officer complete a Certified Information Systems Security Officer course. The Election Security Office is currently in the process of completing the SANS Training Program for the CISSP Certification Exam. All division staff members participated in a Knowb4 cyber security online training program. The division is gearing up for another cyber security campaign for all division staff prior to the upcoming elections. Expenses for the training equaled \$8,555.50 (federal share \$8,127.73; state share \$427.78).

The Division, along with other State stakeholders participated in the Tabletop the Vote 2021: National Election Cyber Exercise. Division employees continue to take advantage of the EI/MS-ISAC Monthly Membership call whenever possible.

11. Subgrants:

Did your office provide subawards to local jurisdictions during this reporting period?

No

12. Match:

Describe how you are meeting or have met the matching requirement.

Match was met through two separate appropriations from the state. A first match of \$1,626,612 in general funds was appropriated in FY19 to the Election Fund as a result of a reappropriation in Ch. 19, SLA 2018, Sec. 15(b). A second match of \$600,000 in general funds was appropriated in 2020 to the Election Fund in HB 234, Section 19 (a); SB 174, Section 19 (a).

13. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure table.

N/A

5. Expenditures

14. Current Period Amount Expended and Unliquidated Obligations

GRANT COST CATEGORIES - FEDERAL

Voting Equipment and Processes: : \$274230

Voter Registration Systems: : \$33096

Cyber Security: : \$152148

Voter Education/Communications: : \$9770

Training: : \$8128

Subgrants: : \$0

Unliquidated Obligations (If applicable, FFR Line 10f): : \$0

Total : \$477372

Comments:

15. GRANT COST CATEGORIES - MATCH

Voting Equipment and Processes: : \$14433

Voter Registration Systems: : \$1742

Cyber Security: : \$8008

Voter Education/Communications: : \$514

Training: : \$428

Subgrants: : \$0

Unliquidated Obligations (If applicable, FFR Line 10f): : \$0

Total : \$25125

Comments:

7. Expenditures

16. Confirm Total Grant Expenditure Amounts

Federal : \$477372

Match : \$25125

Total : \$502497

OMB CONTROL NUMBER: 3265-0020

8. Certification

Name and Contact of the authorized certifying official of the recipient.

First Name

Sharon

Last Name

Forrest

Title

Administrative Officer

Phone Number

Email Address

Signature of Certifying Official:



Signature of: Sharon Forrest

9. Report Submitted to EAC



Thank you. Your Semi-Annual (Oct 1 - March 31) progress report for Election Security has been submitted to the EAC. Please keep the PDF download of your submission as grant record.