

<b>U.S. Election Assistance Commission</b>	OMB Number: 3265-0022 Expires 04/30/2025
<b>FEDERAL FINANCIAL REPORT (EACFFR)</b>	

<b>1. Federal Agency and Org. Element to Which Report is Submitted</b> U.S. Election Assistance Commission	<b>2. Federal Grant or Other Identifying Number Assigned By Fed. Agency</b> <i>(To report multiple grants, use FFR Attachment)</i>  EAC-ELSEC22VA
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**3. Recipient Organization (Name and complete address including Zip code)**

<b>Recipient Organization Name:</b> Virginia Department of Elections		
<b>Street1:</b> 1100 BANK ST		
<b>Street2:</b>		
<b>City:</b> RICHMOND	<b>County:</b> RICHMOND CITY	
<b>State:</b> VA		<b>Province:</b>
<b>Country:</b> United States	<b>Zip 5:</b> 23219	<b>Zip +4:</b> 3639

<b>4a. UEI</b> NX22TBAUMU67	<b>4b. EIN</b> 546001772	<b>5. Recipient Account Number or Identifying Number</b> <i>(To report multiple grants, use FFR Attachment)</i> E7688B1	<b>6. Report Type</b>  <input type="radio"/> Quarterly <input type="radio"/> Semi-Annual <input checked="" type="radio"/> Annual <input type="radio"/> Final
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<b>7. Basis of Accounting</b>  <input checked="" type="radio"/> Cash <input type="radio"/> Accrual	<b>8. Project/Grant Period</b>  <b>From:</b> 03/23/2018  <b>To:</b> 09/30/2099	<b>9. Reporting Period End Date</b> <i>(Month, Day, Year)</i>  09/30/2022
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<b>10. TRANSACTIONS</b> <i>(Use lines a-c for single or multiple grant reporting)</i>	<b>Cumulative</b>
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<b>Federal Cash: (To report multiple grants, also use FFR attachment)</b>	
a. Cash Receipts	\$20,868,787.00
b. Cash Disbursements	\$9,223,357.00
c. Cash on hand (line a minus b)	\$11,645,430.00
<b>Federal Expenditures and Unobligated Balance: Do not complete this section if reporting on multiple awards.</b>	
d. Total Federal funds authorized	\$20,868,787.00
e. Federal share of expenditures	\$9,223,357.00
f. Federal share of unliquidated obligations	\$0.00
g. Total Federal share (sum of line e plus line f)	\$9,223,357.00
h. Unobligated balance of Federal funds (line d minus g)	\$11,645,430.00
<b>Recipient Share: Do not complete this section if reporting on multiple awards.</b>	
i. Total recipient share required	\$2,811,648.00
j. Recipient share of expenditures	\$633,863.00
k. Remaining recipient share to be provided (line i minus j)	\$2,177,785.00
<b>Program Income: Do not complete this section if reporting on multiple awards.</b>	
l. Total Federal program income earned	\$0.00
m. Program income expended in accordance with the deduction alternative	\$0.00
n. Program Income expended in accordance with the addition alternative	\$0.00
o. Unexpended program income (line l minus line m and line n)	\$0.00
<b>Federal Interest:</b>	
p. Total Federal interest earned	\$538,752.00
q. Federal interest expenditures	\$0.00
r. Remaining Federal interest to be expended (line p minus q)	\$538,752.00

**11. Indirect Expense**


a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
	0.00%			\$0.00	\$0.00	\$0.00
<b>g. Total</b>				\$0.00	\$0.00	\$0.00

**12. Remarks:**

a. State Interest Earned: Enter the current year amount earned (not cumulative)		\$0.00
b. State Interest Expended: Enter the current year amount expended (not cumulative)		\$0.00
c. Program Income Earned: Enter the current year amount earned. (not cumulative)		\$0.00
d. Program Income Expended: Enter the amount of Program Income expended in the current year (not cumulative)		\$0.00
e. Program Income Earned Breakdown: List each source of program income individually next to each amount (federal interest earned is not program income).		
Source of program income		Amount
Delete		
e. 1		\$0.00
<b>Total:</b>		\$0.00

**f. Comments: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:**  
 Virginia maintains its Help American Vote Act (HAVA) funds in a unique fund for accounting purpose to be able to track the awards received at a detail level that include interest earned. During the review for the year end report an error was discovered in the spreadsheet with a formula used to track interest. Some of interest that was credited to the close HAVA (101/102/251) grant was added in with the HAVA Election Security Interest. Currently ELECT only has the Election Security Fund grant open with the U.S. Election Assistance Commission. The interest (\$168,460) credited in error to the security grant was earned from October 2015 to April 2018 which was credited to the close HAVA 101/201 and 251 grant. Corrections has been made to the spreadsheet formula to only show interest that should be credited to the Election Security Grant. Adjustments were also made to the period ending September 30, 2022, Federal Financial Report (FFR) to correct the error.

**13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the Federal award. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729-3730 and 3801-3812).**

a. Typed or Printed Name and Title of Authorized Certifying Official Kevin Hill		c. Telephone (Area code, number and extension)	
Certification Title Business Manager		d. Email address kevin.hill@elections.virginia.gov	
b. Signature of Authorized Certifying Official 		e. Date Report Submitted (Month, Day, Year) 02/08/2023	

### Report Attachment (For reporting multiple grants)

14. List Information below for each grant covered by this report.

Federal Grant Number	Recipient Account Number	Cumulative Federal Cash Disbursement
		\$0.00
<b>TOTAL (Should correspond to the amount on Line 10b on Page 1)</b>		\$0.00

<b>U.S. ELECTION ASSISTANCE COMMISSION</b>	<b>OMB CONTROL No.: 3265-0022</b> <b>Expiration Date: 04/30/2025</b>
<h2 style="margin: 0;">Progress Report</h2> <h3 style="margin: 0;">Section I: Cover Page</h3>	

Grant Information	
<b>1. State or Territory</b>	<b>2. Federal Grant or Other Identifying Number Assigned by Federal Agency</b> EAC-ELSEC22VA
<b>3. Grant Type:</b> <input type="radio"/> 101 <input type="radio"/> 251 <input checked="" type="radio"/> Election Security <input type="radio"/> Other [e.g., CARES]	
<b>Describe Other</b>	

Report Information	
<b>4. Report Type:</b> <input type="radio"/> Semi-Annual <input checked="" type="radio"/> Annual <input type="radio"/> Final <input type="radio"/> Other	
<b>Describe Other</b>	
<b>5. Report Period</b>	
<b>Start Date (Month, Day, Year)</b> 10/01/2021	<b>End Date (Month, Day, Year)</b> 09/30/2022

## Section II: Progress and Narrative

**Instructions: Reports due for the period ending March 31 should describe the activities of the previous six-month period and reports due for the period ending September 30 should cover the previous 12- month period. Final reports should cover the entire performance period from the start of the grant. Additional guidance can be found on our website: <https://www.eac.gov/payments-and-grants/financial-progress-reporting>**

EAC grants reports will be made publicly available. Therefore, your report narrative should:  
 \* Be written in clear, concise, and plain language  
 \* Not include sensitive confidential information

**6. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities in accordance with your State Plan/Program Narrative. (Note: Your activities should align with your category expenditures in Section IV and you may use those categories as headings in your response as applicable.)**

Check if no activity during this reporting period.

For the period of October 2021 to September 2022 the Virginia Department of Elections (ELECT) has continued to move forward with the development of a new statewide voter registration system. During the period ELECT evaluated the response and entered into negotiations with 2 vendors. A vendor was chosen after this reporting period. The new system will be in development over the next 30 months with an expected go live date of first quarter calendar year 2025. The new system will replace the state current voter registration system with a system that is written in a newer programming language that will allow for a more secure and flexible system. State funding has been approved based on the estimated cost of the new system that would insure ELECT will exceed any match requirements. ELECT continue to use lessons learned from Risk Limiting Audits to adjust its approach to the audits in the development of audits that can be used by all cities and counties in the commonwealth for elections. In addition to the major development of a statewide voter registration system, ELECT continued to implement other security improvements during the period: Information Security Governance Program enhancements: - Create, review and modify plans, policies, and procedures - Implement a risk review and acceptance process - Continual Remediation of New and Existing Risks - Improve vulnerability scanning program - Improved operational readiness to address threats to Elections - Provide support for FOIA, eDiscovery - Drafted bills and regulations to support election security - Created Data framework to better focus on risks to the program Locality Security Support - Support to locality Election and IT offices including security training workshops - Successful Cyber Navigator Program improved security for several localities - ELECT and VRSS review of locality security requirements - Overhauled existing Locality Election Security Standard for improved compliance Renewed and improved Information Security Governance documentation including: - Business Impact Analysis - Continuity Plan - Risk Assessment - Risk Mitigation Plan - Incident Response Plan - System Security Plan -Compliance Software updates and enhancements - Infrastructure Hardening ELECT will continue to work with localities in the Commonwealth to improve the security posture of each as the overall security of the system is only as good as the weakest link.

**7. Provide a description of any training conducted, including security training.**

Check if no training was conducted during this reporting period.

For the period covered ELECT provided workshops that were offered to general registers and their deputies. The training was offered in four regions of the state that covered chain of custody in elections, Locality Election Security Standards and how to work with different parties to be compliant and incident response how it ties back to the LESS. The training was attended by approximately 200 people. In addition to the workshops, ELECT procured KnowBe4 security awareness training licenses to train ELECT and locality staff.

**8. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure chart.**

Check if no voting equipment purchased during this reporting period.

**9. Subgrants (if applicable)**

Check if no subgrants were made during this reporting period.

**Describe the activities carried out by your subgrantees during the reporting period.**

**10. Provide a breakdown of aggregate sub-award expenditures across major categories. If you do not have expenses for a particular category please populate the field with \$0.00 Total expenses will automatically calculate. Please verify totals prior to submission.**

Category	Subaward Federal Expenditures
Voting Equipment	\$0.00

Voting Processes	\$0.00
Voter Registration Systems	\$0.00
Election Auditing	\$0.00
Cyber and Physical Security	\$0.00
Voter Education	\$0.00
Accessibility	\$0.00
Other:	\$0.00
<b>Total</b>	<b>\$0.00</b>

**11. Match (if applicable)**

Check if match not required.

Describe how you are meeting or have met the matching requirement.

ELECT has achieved the required match for the 2018 grant with in-kind support to achieve the match requirement. Match for the 2020/2022 Election Security grant has been appropriated for use with the replacement of the current central election system (Virginia Election and Registration Information System (VERIS)).

**Section Section III: Challenges and Changes**

**12. Issues Encountered**

Check if no major issues encountered during this reporting period.

Describe how and whether the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

**13. Describe any significant changes to your program during the reporting period, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.**

Check if no significant changes were made during this reporting period.

**Section IV: Expenditures**

14. Fill out the table below with both the Federal and State Match expenditures for the current reporting period. Include federal and state interest expenditures and write-in any cost areas that do not fit into the predefined program categories. Subaward expense totals identified in section #10 should also be populated and rolled into the appropriate expense categories for #14. If you do not have expenses for a particular category please populate the field with \$0.00 Total expenses will automatically calculate. Please verify totals prior to submission.

*Expenditures should be consistent with the activities described in your narrative and with the amounts in your financial reports. (EAC uses the difference between your current and previous period FFR to calculate current period expenditures).*

Categories	Federal	State Match
Voting Equipment	\$0.00	\$0.00
Voting Processes	\$0.00	\$0.00
Voter Registration Systems	\$133,388.00	\$20,151.00
Election Auditing	\$0.00	\$0.00
Cyber and Physical Security	\$1,515,596.00	\$80,471.00
Voter Education	\$0.00	\$0.00
Accessibility	\$0.00	\$0.00
Other	\$0.00	\$0.00
<b>TOTAL</b>	<b>\$1,648,984.00</b>	<b>\$100,622.00</b>

**Section V: Final Assessment**

The final progress report is your opportunity to share the significant successes of your project and present information about the results your project achieved. The report should cover the entire period of performance.

15. Self-Assessment - Assess whether the goals set out in your State plan/Narrative were met as intended during the grant program. Highlight any needs that were not met or ongoing/under resourced areas for future consideration.

16. Impact and Achievements - Describe how this grant program impacted elections in your state/territory. Highlight your accomplishments and successes.

17. Lessons Learned - Describe any lessons learned during the grant that may be replicated, expanded and/or help others.

**Section VI: Certification**

19. Signature of Certifying Official

