

<b>U.S. Election Assistance Commission</b>	<b>OMB Number: 3265-0022</b> <b>Expires 04/30/2025</b>
<b>FEDERAL FINANCIAL REPORT</b> <b>(EACFFR)</b>	

<b>1. Federal Agency and Org. Element to Which Report is Submitted</b> U.S. Election Assistance Commission	<b>2. Federal Grant or Other Identifying Number Assigned By Fed. Agency</b> <i>(To report multiple grants, use FFR Attachment)</i> EAC-ELSEC22RI
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**3. Recipient Organization (Name and complete address including Zip code)**

<b>Recipient Organization Name:</b> Rhode Island Secretary Of State		
<b>Street1:</b> 82 Smith St STE 217		
<b>Street2:</b>		
<b>City:</b> Providence	<b>County:</b> PROVIDENCE	
<b>State:</b> RI		<b>Province:</b>
<b>Country:</b> United States	<b>Zip 5:</b> 02903	<b>Zip +4:</b> 1120

<b>4a. UEI</b> STLFUXD8RBR7	<b>4b. EIN</b> 056000522	<b>5. Recipient Account Number or Identifying Number</b> <i>(To report multiple grants, use FFR Attachment)</i> E7679B1	<b>6. Report Type</b> <input type="radio"/> Quarterly <input checked="" type="radio"/> Semi-Annual <input type="radio"/> Annual <input type="radio"/> Final
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<b>7. Basis of Accounting</b> <input type="radio"/> Cash <input checked="" type="radio"/> Accrual	<b>8. Project/Grant Period</b> <b>From:</b> 03/23/2018 <b>To:</b> 09/30/2099	<b>9. Reporting Period End Date</b> <i>(Month, Day, Year)</i> 09/30/2022
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<b>10. TRANSACTIONS</b> <i>(Use lines a-c for single or multiple grant reporting)</i>	<b>Cumulative</b>
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<b>Federal Cash: (To report multiple grants, also use FFR attachment)</b>	
a. Cash Receipts	\$7,216,180.00
b. Cash Disbursements	\$3,772,470.00
c. Cash on hand (line a minus b)	\$3,443,710.00
<b>Federal Expenditures and Unobligated Balance: Do not complete this section if reporting on multiple awards.</b>	
d. Total Federal funds authorized	\$7,216,180.00
e. Federal share of expenditures	\$3,772,470.00
f. Federal share of unliquidated obligations	\$0.00
g. Total Federal share (sum of line e plus line f)	\$3,772,470.00
h. Unobligated balance of Federal funds (line d minus g)	\$3,443,710.00
<b>Recipient Share: Do not complete this section if reporting on multiple awards.</b>	
i. Total recipient share required	\$793,236.00
j. Recipient share of expenditures	\$793,236.00
k. Remaining recipient share to be provided (line i minus j)	\$0.00
<b>Program Income: Do not complete this section if reporting on multiple awards.</b>	
l. Total Federal program income earned	\$100,506.00
m. Program income expended in accordance with the deduction alternative	\$0.00
n. Program Income expended in accordance with the addition alternative	\$0.00
o. Unexpended program income (line l minus line m and line n)	\$100,506.00
<b>Federal Interest:</b>	
p. Total Federal interest earned	\$0.00
q. Federal interest expenditures	\$0.00
r. Remaining Federal interest to be expended (line p minus q)	\$0.00

**11. Indirect Expense**

a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
	0.00%			\$0.00	\$0.00	\$0.00
<b>g. Total</b>				\$0.00	\$0.00	\$0.00

**12. Remarks:**

a. State Interest Earned: Enter the current year amount earned (not cumulative)		\$0.00
b. State Interest Expended: Enter the current year amount expended (not cumulative)		\$0.00
c. Program Income Earned: Enter the current year amount earned. (not cumulative)		\$0.00
d. Program Income Expended: Enter the amount of Program Income expended in the current year (not cumulative)		\$0.00
e. Program Income Earned Breakdown: List each source of program income individually next to each amount (federal interest earned is not program income).		
Source of program income		Amount
Delete		
e. 1		\$0.00
<b>Total:</b>		\$0.00
f. Comments: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:		
<p><b>13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the Federal award. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729-3730 and 3801-3812).</b></p>		
a. Typed or Printed Name and Title of Authorized Certifying Official Colleen Halloran-Villandry		c. Telephone (Area code, number and extension)
Certification Title		d. Email address cvillandry@sos.ri.gov
b. Signature of Authorized Certifying Official 		e. Date Report Submitted (Month, Day, Year) 12/27/2022

**Report Attachment (For reporting multiple grants)**

14. List Information below for each grant covered by this report.		
Federal Grant Number	Recipient Account Number	Cumulative Federal Cash Disbursement
		\$0.00
<b>TOTAL (Should correspond to the amount on Line 10b on Page 1)</b>		\$0.00

<b>U.S. ELECTION ASSISTANCE COMMISSION</b>	<b>OMB CONTROL No.: 3265-0022</b> <b>Expiration Date: 04/30/2025</b>
<h2 style="margin: 0;">Progress Report</h2> <h3 style="margin: 0;">Section I: Cover Page</h3>	

**Grant Information**

<b>1. State or Territory</b> Rhode Island Secretary Of State	<b>2. Federal Grant or Other Identifying Number Assigned by Federal Agency</b> EAC-ELSEC22RI
<b>3. Grant Type:</b> <input type="radio"/> 101 <input type="radio"/> 251 <input checked="" type="radio"/> Election Security <input type="radio"/> Other [e.g., CARES]	
<b>Describe Other</b>	

**Report Information**

<b>4. Report Type:</b> <input type="radio"/> Semi-Annual <input checked="" type="radio"/> Annual <input type="radio"/> Final <input type="radio"/> Other	
<b>Describe Other</b>	
<b>5. Report Period</b>	
<b>Start Date (Month, Day, Year)</b> 10/01/2021	<b>End Date (Month, Day, Year)</b> 09/30/2022

**Section II: Progress and Narrative**

**Instructions: Reports due for the period ending March 31 should describe the activities of the previous six-month period and reports due for the period ending September 30 should cover the previous 12- month period. Final reports should cover the entire performance period from the start of the grant. Additional guidance can be found on our website: <https://www.eac.gov/payments-and-grants/financial-progress-reporting>**

**EAC grants reports will be made publicly available. Therefore, your report narrative should:**  
 \* Be written in clear, concise, and plain language  
 \* Not include sensitive confidential information

**6. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities in accordance with your State Plan/Program Narrative. (Note: Your activities should align with your category expenditures in Section IV and you may use those categories as headings in your response as applicable.)**

Check if no activity during this reporting period.

Over the past year, the Rhode Island Department of State, State Board of Elections, and local boards of canvassers continued to invest in the election infrastructure and secure the cybersecurity of election systems. Rhode Island conducted one statewide election during the reporting period, its state primary election conducted on September 13. Due to the increasing volume of mail ballots being utilized by voters, the Board of Elections acquired an additional ballot extractor to assist in opening the mail ballot envelopes and removing the mail ballot to be processed. The equipment allows the Board of Elections to process the volume of mail ballots in a timely manner. The ability to process the increased volume of mail ballots allowed the Board of Elections to provide preliminary election results to the public quickly. The Department of State established a cybersecurity grant program for city and town election offices to strengthen the security of the local election infrastructure. The local grant program provided more than \$612,000 to 19 communities across the state. HAVA funds also continued to support the maintenance and security of the state's Central Voter Registration System (CVRS). Lastly, HAVA funds were used to cover a portion of a cyber mapping project the Department of State conducted with all of the cities and towns. The mapping project was funded mainly with a Department of Homeland Security grant awarded to the state's Emergency Management Agency. The mapping project provided the Department of State with a view of the local network infrastructure to determine connections and potential vulnerabilities with the CVRS should there be a cyber breach on the local level.

**7. Provide a description of any training conducted, including security training.**

Check if no training was conducted during this reporting period.

The Department of State's IT Division created a cyber curriculum for the Department's Elections and IT teams. The curriculum consists of 8 courses ranging from real world hacking and hands on labs to tools and tips for browsing the internet. The trainings are in addition to Department wide cybersecurity trainings that are conducted on a regular basis for all employees, including simulated phishing emails.

**8. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure chart.**

Check if no voting equipment purchased during this reporting period.

The State Board of Elections purchased one Ballot Extractor to open and remove mail ballots from the exterior security envelope, at a cost of \$80,050.

**9. Subgrants (if applicable)**

Check if no subgrants were made during this reporting period.

**Describe the activities carried out by your subgrantees during the reporting period.**  
 The Department of State established a cybersecurity grant program for local cities and towns to enhance their election infrastructure and systems. The grants were open to all communities throughout the state. Nineteen communities responded with requests that met the grant criteria. The total grant funds expended to subgrantees was \$612,359. The grant awards are as follows: Barrington \$48,900 Security Event and Incident Management (SEIM) Bristol \$37,499 Firewall/DDos Upgrade Burrillville \$15,608 Firewall, KnowBe4 training, Yubikeys Central Falls \$29,032 KnowBe4 training, CylancePROTECT and Blackberry Optics, Cybersecurity controls implementation, External vulnerability assessment Cranston \$50,301 Firewall, KnowBe4 training Cranston \$706.20 Cumberland \$25,808 Multifactor authorization (MFA), KnowBe4 training, Vulnerability scanning East Providence \$67,000 Cybersecurity hardware, switches, Firewall Foster \$38,020 Firewall, Sentinel One EDR, Cybersecurity training Gloucester \$13,000 Cisco Meraki Gateway and software, Firewall, Hardware for elections infrastructure Little Compton \$8,500 SonciWall TZ400, Kiwi Syslog server software, Synology NAS DiskStation Middletown \$14,000 MFA New Shoreham \$13,000 Firewall, Hardware for elections infrastructure North Smithfield \$11,286.92 Firewall, DNS Filtering, SEIM Pawtucket \$19,548.64 Separate lines for elections, Wiring and switching, Hardware for elections infrastructure Richmond \$8,334.35 Microsoft Defender, Network device replacements, Cisco renewal Warren \$12,640 Encrypted password manager, MFA Warwick \$176,000 SEIM, Firewall, Hardware for elections infrastructure West Warwick \$10,400 Switch, Server segment, training Woonsocket \$12,775 KnowBe4 training.

10. Provide a breakdown of aggregate sub-award expenditures across major categories. If you do not have expenses for a particular category please populate the field with \$0.00 Total expenses will automatically calculate. Please verify totals prior to submission.

Category	Subaward Federal Expenditures
Voting Equipment	\$0.00
Voting Processes	\$0.00
Voter Registration Systems	\$0.00
Election Auditing	\$0.00
Cyber and Physical Security	\$612,359.11
Voter Education	\$0.00
Accessibility	\$0.00
Other:	\$0.00
<b>Total</b>	<b>\$612,359.11</b>

11. Match (if applicable)

Check if match not required.

Describe how you are meeting or have met the matching requirement.

The Department of State met the match requirement through expenditures that were used to maintain the database server which houses the data for the Central Voter Registration System (CVRS) and Online Voter Registration (OVR) system; the purchase of the SAN storage that provide storage for the application servers and files for the CVRS application and the purchase of the firewalls that are responsible for protecting the entire network for the CVRS, allowing or denying access to the system. In addition, the Department of State and the State Board of Elections dedicated state funding to acquire additional election equipment and technology to enhance the information technology infrastructure of the election systems.

### Section Section III: Challenges and Changes

12. Issues Encountered

Check if no major issues encountered during this reporting period.

Describe how and whether the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

13. Describe any significant changes to your program during the reporting period, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.

Check if no significant changes were made during this reporting period.

### Section IV: Expenditures

14. Fill out the table below with both the Federal and State Match expenditures for the current reporting period. Include federal and state interest expenditures and write-in any cost areas that do not fit into the predefined program categories. Subaward expense totals identified in section #10 should also be populated and rolled into the appropriate expense categories for #14. If you do not have expenses for a particular category please populate the field with \$0.00 Total expenses will automatically calculate. Please verify totals prior to submission.

*Expenditures should be consistent with the activities described in your narrative and with the amounts in your financial reports. (EAC uses the difference between your current and previous period FFR to calculate current period expenditures).*

Categories	Federal	State Match
Voting Equipment	\$94,519.00	\$284,735.00
Voting Processes	\$18,252.50	\$182,572.47
Voter Registration Systems	\$60,683.01	\$91,874.29
Election Auditing	\$0.00	\$0.00
Cyber and Physical Security	\$767,271.67	\$84,054.24
Voter Education	\$9,060.48	\$0.00
Accessibility	\$0.00	\$0.00
Other Audit fees to state	\$304.49	\$0.00
<b>TOTAL</b>	<b>\$950,091.15</b>	<b>\$643,236.00</b>

### Section V: Final Assessment

The final progress report is your opportunity to share the significant successes of your project and present information about the results your project achieved. The report should cover the entire period of performance.

15. Self-Assessment - Assess whether the goals set out in your State plan/Narrative were met as intended during the grant program. Highlight any needs that were not met or ongoing/under resourced areas for future consideration.

16. Impact and Achievements - Describe how this grant program impacted elections in your state/territory. Highlight your accomplishments and successes.

17. Lessons Learned - Describe any lessons learned during the grant that may be replicated, expanded and/or help others.

### Section VI: Certification

<b>18. Name and Contact of the authorized certifying official.</b>	
<b>First and Last Name</b> Colleen Halloran-Villandry	<b>Title</b>
<b>Phone Number</b> (401) 222-2299	<b>Email Address</b> cvillandry@sos.ri.gov
<b>19. Signature of Certifying Official</b> 