

U.S. Election Assistance Commission	OMB Number: 3265-0022 Expires 04/30/2025
FEDERAL FINANCIAL REPORT (EACFFR)	

1. Federal Agency and Org. Element to Which Report is Submitted U.S. Election Assistance Commission	2. Federal Grant or Other Identifying Number Assigned By Fed. Agency <i>(To report multiple grants, use FFR Attachment)</i> EAC-ELSEC22PA
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3. Recipient Organization (Name and complete address including Zip code)

Recipient Organization Name: Pennsylvania Department of State	
Street1: 401 North St RM 308 N OFC BLDG	
Street2:	
City: Harrisburg	County: DAUPHIN
State: PA	
Country: United States	Zip 5: 17120
Province:	
Zip +4:	

4a. UEI RZDGMG4BW1L8	4b. EIN 236003081	5. Recipient Account Number or Identifying Number <i>(To report multiple grants, use FFR Attachment)</i> S80770,S80790,S80110	6. Report Type
			<input type="radio"/> Quarterly <input type="radio"/> Semi-Annual <input checked="" type="radio"/> Annual <input type="radio"/> Final

7. Basis of Accounting	8. Project/Grant Period	9. Reporting Period End Date <i>(Month, Day, Year)</i>
<input type="radio"/> Cash <input checked="" type="radio"/> Accrual	From: 03/23/2018 To: 09/30/2099	09/30/2022


10. TRANSACTIONS
(Use lines a-c for single or multiple grant reporting)

	Cumulative
Federal Cash: (To report multiple grants, also use FFR attachment)	
a. Cash Receipts	\$30,857,563.00
b. Cash Disbursements	\$21,582,831.70
c. Cash on hand (line a minus b)	\$9,274,731.30
Federal Expenditures and Unobligated Balance: Do not complete this section if reporting on multiple awards.	
d. Total Federal funds authorized	\$30,857,563.00
e. Federal share of expenditures	\$21,582,831.70
f. Federal share of unliquidated obligations	\$0.00
g. Total Federal share (sum of line e plus line f)	\$21,582,831.70
h. Unobligated balance of Federal funds (line d minus g)	\$9,274,731.30
Recipient Share: Do not complete this section if reporting on multiple awards.	
i. Total recipient share required	\$4,150,090.00
j. Recipient share of expenditures	\$3,591,440.97
k. Remaining recipient share to be provided (line i minus j)	\$558,649.03
Program Income: Do not complete this section if reporting on multiple awards.	
l. Total Federal program income earned	\$0.00
m. Program income expended in accordance with the deduction alternative	\$0.00
n. Program Income expended in accordance with the addition alternative	\$0.00
o. Unexpended program income (line l minus line m and line n)	\$0.00
Federal Interest:	
p. Total Federal interest earned	\$550,828.97
q. Federal interest expenditures	\$403,101.67
r. Remaining Federal interest to be expended (line p minus q)	\$147,727.30

11. Indirect Expense

a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
	0.00%			\$0.00	\$0.00	\$0.00
g. Total				\$0.00	\$0.00	\$0.00

12. Remarks:

a. State Interest Earned: Enter the current year amount earned (not cumulative)		\$0.00
b. State Interest Expended: Enter the current year amount expended (not cumulative)		\$0.00
c. Program Income Earned: Enter the current year amount earned. (not cumulative)		\$0.00
d. Program Income Expended: Enter the amount of Program Income expended in the current year (not cumulative)		\$0.00
e. Program Income Earned Breakdown: List each source of program income individually next to each amount (federal interest earned is not program income).		
Source of program income		Amount
Delete		
e. 1		\$0.00
Total:		\$0.00
f. Comments: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:		
<p>13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the Federal award. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729-3730 and 3801-3812).</p>		
a. Typed or Printed Name and Title of Authorized Certifying Official Alfredo Rivera		c. Telephone (Area code, number and extension)
Certification Title		d. Email address alfrivera@pa.gov
b. Signature of Authorized Certifying Official 		e. Date Report Submitted (Month, Day, Year) 01/09/2023

Report Attachment (For reporting multiple grants)

14. List Information below for each grant covered by this report.		
Federal Grant Number	Recipient Account Number	Cumulative Federal Cash Disbursement
		\$0.00
TOTAL (Should correspond to the amount on Line 10b on Page 1)		\$0.00

U.S. ELECTION ASSISTANCE COMMISSION	OMB CONTROL No.: 3265-0022 Expiration Date: 04/30/2025
<h3 style="margin: 0;">Progress Report</h3> <h4 style="margin: 0;">Section I: Cover Page</h4>	

Grant Information

1. State or Territory Pennsylvania Department of State	2. Federal Grant or Other Identifying Number Assigned by Federal Agency EAC-ELSEC22PA
3. Grant Type: <input type="radio"/> 101 <input type="radio"/> 251 <input checked="" type="radio"/> Election Security <input type="radio"/> Other [e.g., CARES]	
Describe Other	

Report Information

4. Report Type: <input type="radio"/> Semi-Annual <input checked="" type="radio"/> Annual <input type="radio"/> Final <input type="radio"/> Other	
Describe Other	
5. Report Period	
Start Date (Month, Day, Year) 10/01/2021	End Date (Month, Day, Year) 09/30/2022

Section II: Progress and Narrative

Instructions: Reports due for the period ending March 31 should describe the activities of the previous six-month period and reports due for the period ending September 30 should cover the previous 12-month period. Final reports should cover the entire performance period from the start of the grant. Additional guidance can be found on our website: <https://www.eac.gov/payments-and-grants/financial-progress-reporting>

EAC grants reports will be made publicly available. Therefore, your report narrative should:
 * Be written in clear, concise, and plain language
 * Not include sensitive confidential information

6. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities in accordance with your State Plan/Program Narrative. (Note: Your activities should align with your category expenditures in Section IV and you may use those categories as headings in your response as applicable.)

Check if no activity during this reporting period.

During this reporting period, the PA Department of State used Election Security funds for the following: • Albert Sensors for county election offices: The department utilized grant funds during this period to support its ongoing initiative to provide network sensors and monitoring to all 67 counties in the Commonwealth to aid them in securing their own networks and identifying potentially harmful traffic. Fifty-one (51) counties were utilizing Albert sensors prior to the November 2022 election and another county was scheduled to implement shortly thereafter. • County Block Grants for county reimbursements: The department utilized grant funds during this period to provide block grants to counties to reimburse them for allowable expenditures. County Election offices used funds for permissible expenditures related to the 2020 and 2022 elections for increased personnel, equipment, and/or other approved expenditures related to Act 77 and expansion of vote by mail and related election administration needs; ransomware protections, DDOS protections, and other cybersecurity measures; increased security of voting systems and ballots; and/or increased expenditures to support vote by mail and other voter services. • Modernization of the commonwealth's Statewide Uniform Registry of Electors (SURE) system to the new SUREVote platform. The department utilized grant funds to assist with the development of the new system. Phase one of the system is already underway and is in process of rolling out in the upcoming months for both state and county users. Phase 2 which incorporates voter registration functions is anticipated to go live in 2023 after adequate testing and necessary modifications are completed. • The department hosted a Cybersecurity and Infrastructure Security Agency (CISA) election security training in July 2021 for county officials. While the event was held during the last period, some of the expenses incurred posted in this reporting period. The training was offered at no charge to attendees, however, the department utilized grant funds to cover costs associated with the logistics of hosting the event.

7. Provide a description of any training conducted, including security training.

Check if no training was conducted during this reporting period.

The Department hosted a Tabletop the Vote 2021: National Election Cybersecurity Exercise on July 13, 2021. The objective of the exercise was to identify best practices and areas for improvement in cyber and physical security incident planning, identification, response, and recovery through simulation of a realistic scenario that may impact voter confidence and/or election operations. PA DOS facilitated the discussion based on an agenda organized by the Cybersecurity and Infrastructure Agency (CISA). County Election Directors, County IT Professionals, County Emergency Management Coordinators, as well as other state agencies and federal partners who routinely support election security were invited to attend.

8. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure chart.

Check if no voting equipment purchased during this reporting period.

While the Department did not procure any voting equipment with grant funds, county subgrant awards were utilized to purchase needed items. One county subrecipient used funds to procure ballot printing units from their voting system vendor.

9. Subgrants (if applicable)

Check if no subgrants were made during this reporting period.

Describe the activities carried out by your subgrantees during the reporting period.
 Of the \$21,579,076 in subrecipient awards granted to county election offices from the 2018, 2020, and 2022 Election Security Grant allotments, \$16,429,533.96 has been provided to reimburse for expenses incurred through inception to the end date of this reporting period. Over the last year, 13 counties submitted requests for reimbursement totaling \$932,792.45 for the following items: Voting Equipment - \$44,025.00: One county subrecipient used grant funds to procure eight (8) ballot printing units from their voting system vendor. Voting Processes - \$849,780.53: Most county election office expenses fell into this category because of changes resulting from Act 77 of 2020 on their day-to-day operations during active election seasons. Some counties experienced staff shortages which required the need to offer existing team members overtime or hiring temporary staff to assist with efforts. There were also requests for funding to cover equipment purchased to handle the new mail in ballots. Items such as automated letter openers, folder inserter machines, b

allot drop boxes, voting equipment storage, etc. were a large part of the expenses in this category. Cyber and Physical Security - \$38,986.92: Some counties chose to use subgrant awards to fund projects that ensure staffing and equipment are secure. Funding was used for security cameras and secure badge readers at entry points.

10. Provide a breakdown of aggregate sub-award expenditures across major categories. If you do not have expenses for a particular category please populate the field with \$0.00 Total expenses will automatically calculate. Please verify totals prior to submission.

Category	Subaward Federal Expenditures
Voting Equipment	\$44,025.00
Voting Processes	\$849,780.53
Voter Registration Systems	\$0.00
Election Auditing	\$0.00
Cyber and Physical Security	\$38,986.92
Voter Education	\$0.00
Accessibility	\$0.00
Other:	\$0.00
Total	\$932,792.45

11. Match (if applicable)

Check if match not required.

Describe how you are meeting or have met the matching requirement.

Pennsylvania's state match requirement totals \$4,151,269, of which \$2,075,578.82 has been expended during this reporting period bringing Pennsylvania's total match spent to \$3,591,440.87. It is anticipated that the remaining spend to meet the total match will occur during the next reporting period. The current spend was for the following: Voting Equipment - \$234,494.26: Pennsylvania continues to use the Omniballot Portal solution, however, once go live of Pennsylvania's new SUREVote system is upon us, this purchase will no longer be required as the new system will provide the same functionality. Voting Processes - \$6,718.30: Staff salary and benefit charges for work on the SUREVote system were allocated as part of the state match. While this is a small representation of the team who are working on the system, most costs are captured in the Voter Registration System category as Pennsylvania has hired outside contractors and consultants to continue these efforts. Voter Registration System - \$1,580,107.83: The commonwealth continues towards the implementation of its new Statewide Uniform Registry of Electors (SURE) system, SUREVote. Pennsylvania continues to commit funding for this project as needed. Funds spent include software, maintenance and hosting, deliverables for various stages of development, as well as the addition of IT staff augmented contractors and election project managers and consultants for the project. Election Auditing - \$70,390.43: Pennsylvania continues to conduct risk-limiting audits with county election offices throughout the state by comparing a random sample of paper ballots to automated machine counts. A secure software tool, that has proven very effective, is used to complete this task. Cyber Security & Physical Security - \$184,858.00: Pennsylvania continues to use security software that protects hardware used throughout the state for voter registration and elections. This software adds protection to assist with the implementation of zero trust networks that actively isolate malicious users and devices within minutes of identification. Additionally, YubiKey s were purchased for use with multi-factor authentication for the new SUREVote system.

Section Section III: Challenges and Changes

12. Issues Encountered

Check if no major issues encountered during this reporting period.

Describe how and whether the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

13. Describe any significant changes to your program during the reporting period, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.

Check if no significant changes were made during this reporting period.

Section IV: Expenditures

14. Fill out the table below with both the Federal and State Match expenditures for the current reporting period. Include federal and state interest expenditures and write-in any cost areas that do not fit into the predefined program categories. Subaward expense totals identified in section #10 should also be populated and rolled into the appropriate expense categories for #14. If you do not have expenses for a particular category please populate the field with \$0.00 Total expenses will automatically calculate. Please verify totals prior to submission.

Expenditures should be consistent with the activities described in your narrative and with the amounts in your financial reports. (EAC uses the difference between your current and previous period FFR to calculate current period expenditures).

Categories	Federal	State Match
Voting Equipment	\$44,025.00	\$233,504.26
Voting Processes	\$861,777.85	\$6,718.30
Voter Registration Systems	\$282,005.00	\$1,580,107.83
Election Auditing	\$0.00	\$70,390.43
Cyber and Physical Security	\$206,816.49	\$184,858.00
Voter Education	\$0.00	\$0.00
Accessibility	\$0.00	\$0.00
Other	\$0.00	\$0.00
TOTAL	\$1,394,624.34	\$2,075,578.82

Section V: Final Assessment

The final progress report is your opportunity to share the significant successes of your project and present information about the results your project achieved. The report should cover the entire period of performance.

15. Self-Assessment - Assess whether the goals set out in your State plan/Narrative were met as intended during the grant program. Highlight any needs that were not met or ongoing/under resourced areas for future consideration.

16. Impact and Achievements - Describe how this grant program impacted elections in your state/territory. Highlight your accomplishments and successes.

17. Lessons Learned - Describe any lessons learned during the grant that may be replicated, expanded and/or help others.

Section VI: Certification

18. Name and Contact of the authorized certifying official.

First and Last Name Kimberly Mattis	Title N/A
Phone Number	Email Address kmattis@pa.gov

19. Signature of Certifying Official

