

U.S. Election Assistance Commission	OMB Number: 3265-0022 Expires 04/30/2025
FEDERAL FINANCIAL REPORT (EACFFR)	

1. Federal Agency and Org. Element to Which Report is Submitted U.S. Election Assistance Commission	2. Federal Grant or Other Identifying Number Assigned By Fed. Agency <i>(To report multiple grants, use FFR Attachment)</i> EAC-ELSEC22OH
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3. Recipient Organization (Name and complete address including Zip code)

Recipient Organization Name: Ohio Secretary Of State	
Street1: 180 E Broad St 16th Fl	
Street2:	
City: columbus	County: FRANKLIN
State: OH	
Country: United States	Zip 5: 43215
Province:	
Zip +4:	

4a. UEI MB9NNTEEAES1	4b. EIN 311334820	5. Recipient Account Number or Identifying Number <i>(To report multiple grants, use FFR Attachment)</i> E7674B1	6. Report Type <input type="radio"/> Quarterly <input type="radio"/> Semi-Annual <input checked="" type="radio"/> Annual <input type="radio"/> Final
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7. Basis of Accounting <input checked="" type="radio"/> Cash <input type="radio"/> Accrual	8. Project/Grant Period From: 03/23/2018 To: 09/30/2099	9. Reporting Period End Date <i>(Month, Day, Year)</i> 09/30/2022
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10. TRANSACTIONS <i>(Use lines a-c for single or multiple grant reporting)</i>	Cumulative
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Federal Cash: (To report multiple grants, also use FFR attachment)	
a. Cash Receipts	\$27,910,326.00
b. Cash Disbursements	\$20,286,855.26
c. Cash on hand (line a minus b)	\$7,623,470.74
Federal Expenditures and Unobligated Balance: Do not complete this section if reporting on multiple awards.	
d. Total Federal funds authorized	\$27,910,326.00
e. Federal share of expenditures	\$20,286,855.26
f. Federal share of unliquidated obligations	\$3,946,211.16
g. Total Federal share (sum of line e plus line f)	\$24,233,066.42
h. Unobligated balance of Federal funds (line d minus g)	\$3,677,259.58
Recipient Share: Do not complete this section if reporting on multiple awards.	
i. Total recipient share required	\$3,755,212.00
j. Recipient share of expenditures	\$3,755,212.00
k. Remaining recipient share to be provided (line i minus j)	\$0.00
Program Income: Do not complete this section if reporting on multiple awards.	
l. Total Federal program income earned	\$0.00
m. Program income expended in accordance with the deduction alternative	\$0.00
n. Program Income expended in accordance with the addition alternative	\$0.00
o. Unexpended program income (line l minus line m and line n)	\$0.00
Federal Interest:	
p. Total Federal interest earned	\$612,418.54
q. Federal interest expenditures	\$445,141.57
r. Remaining Federal interest to be expended (line p minus q)	\$167,276.97

11. Indirect Expense

a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
	0.00%			\$0.00	\$0.00	\$0.00
g. Total				\$0.00	\$0.00	\$0.00

12. Remarks:

a. State Interest Earned: Enter the current year amount earned (not cumulative)		\$0.00
b. State Interest Expended: Enter the current year amount expended (not cumulative)		\$0.00
c. Program Income Earned: Enter the current year amount earned. (not cumulative)		\$0.00
d. Program Income Expended: Enter the amount of Program Income expended in the current year (not cumulative)		\$0.00
e. Program Income Earned Breakdown: List each source of program income individually next to each amount (federal interest earned is not program income).		
Source of program income		Amount
Delete		
e. 1		\$0.00
Total:		\$0.00
f. Comments: <i>Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:</i>		
<p>13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the Federal award. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729-3730 and 3801-3812).</p>		
a. Typed or Printed Name and Title of Authorized Certifying Official Scott Geib		c. Telephone (Area code, number and extension)
Certification Title		d. Email address sgeib@OhioSOS.gov
b. Signature of Authorized Certifying Official 		e. Date Report Submitted (Month, Day, Year) 02/03/2023

Report Attachment (For reporting multiple grants)

14. List Information below for each grant covered by this report.		
Federal Grant Number	Recipient Account Number	Cumulative Federal Cash Disbursement
		\$0.00
TOTAL (Should correspond to the amount on Line 10b on Page 1)		\$0.00

U.S. ELECTION ASSISTANCE COMMISSION	OMB CONTROL No.: 3265-0022 Expiration Date: 04/30/2025
<h2 style="margin: 0;">Progress Report</h2> <h3 style="margin: 0;">Section I: Cover Page</h3>	

Grant Information

1. State or Territory Ohio Secretary Of State	2. Federal Grant or Other Identifying Number Assigned by Federal Agency EAC-ELSEC22OH
3. Grant Type: <input type="radio"/> 101 <input type="radio"/> 251 <input checked="" type="radio"/> Election Security <input type="radio"/> Other [e.g., CARES]	
Describe Other	

Report Information

4. Report Type: <input type="radio"/> Semi-Annual <input checked="" type="radio"/> Annual <input type="radio"/> Final <input type="radio"/> Other	
Describe Other	
5. Report Period	
Start Date (Month, Day, Year) 10/01/2021	End Date (Month, Day, Year) 09/30/2022

Section II: Progress and Narrative

Instructions: Reports due for the period ending March 31 should describe the activities of the previous six-month period and reports due for the period ending September 30 should cover the previous 12- month period. Final reports should cover the entire performance period from the start of the grant. Additional guidance can be found on our website: <https://www.eac.gov/payments-and-grants/financial-progress-reporting>

EAC grants reports will be made publicly available. Therefore, your report narrative should:
 * Be written in clear, concise, and plain language
 * Not include sensitive confidential information

6. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities in accordance with your State Plan/Program Narrative. (Note: Your activities should align with your category expenditures in Section IV and you may use those categories as headings in your response as applicable.)

Check if no activity during this reporting period.

Cybersecurity Monitoring: During federal fiscal year 2022, the Ohio Secretary of State's Office supported local election officials and cybersecurity efforts by funding Albert intrusion detection devices and deploying a System Information and Management (SIEM) system that is professionally monitored 24/7 to ensure system security is constantly monitored for all 88 county boards of elections. Local entity cybersecurity assistance: The Office also provided cybersecurity security support to local partners by contracting with experts who provide IT support to County Boards of Elections, including assistance with tool, software, or hardware integration; software and patch management support; network analysis review; incident response planning and exercising; tier one incident management forensic collection support; and general engineering technical assistance. Subgrants to local entities: The Office provided grants of \$10,000 to all 88 county boards of elections to continue to improve and expand cybersecurity initiatives. See the Sub grants section of this report for additional information. The Office has also continued to assist and support County Boards of Elections in the implementation of cybersecurity, physical security, and accessibility enhancements funded through sub-grants which were previously distributed to each county board of elections in federal fiscal year 2020. Continuation of cybersecurity initiatives: The Office has also continued to implement other cybersecurity initiatives envisioned in the Office's Program Narratives, including implementing the Ohio SOS vulnerability management program, maturing the security awareness program, and improving incident management response activities and IT security upgrades for critical systems. The Office also procured additional secure USB devices to provide to all County Boards of Elections for use when transferring results from secure tabulation machines to the secure election night reporting system.

7. Provide a description of any training conducted, including security training.

Check if no training was conducted during this reporting period.

The Office has continued to train roughly 1,000 Secretary of State and County Elections Officials in cybersecurity best practices using KnowBe4. This package allows the Office to provide a quick, high impact cyber security training to help understand the dangers of phishing, how to properly spot a phishing email, and general cybersecurity principles such as good cybersecurity hygiene and password security. As part of the Secretary of State Cybersecurity Program, the Office has provided training on general cyber awareness and focus training on social engineering topic area to Office staff and to County Boards of Elections. Additionally, in honor of cyber security month, the Office conducted an in-person threat update training which covered changing tactics in social engineering and preventative measures that each Board of Elections could implement.

8. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure chart.

Check if no voting equipment purchased during this reporting period.

9. Subgrants (if applicable)

Check if no subgrants were made during this reporting period.

Describe the activities carried out by your subgrantees during the reporting period.

All 88 county boards of elections were awarded grants of \$10,000 to assist with implementation of Security Directive 2022-38 and continued compliance with previous Security Directives. The directive, building off the success of similar directives in 2019 and 2020, incorporates a 31-point checklist that establishes new security standards for vendors, strengthens physical security requirements and modernizes cybersecurity capabilities. This directive includes continued compliance with the Elections Infrastructure Security Assessment high priority requirements and mitigation of physical security vulnerabilities identified by Department of Homeland Security (DHS) physical security assessment. Additionally, each county board of elections is required to continue membership with the Election Infrastructure Information Sharing and Analysis Center (EIISAC), engage DHS for free resources including a phishing campaign assessment, vulnerability scanning, risk and vulnerability assessment, remote penetration testing, validated architectural design review, cyber threat hunt, and a physical security assessment. The breakdown amount below represents the net total of grant disbursements and refunds received during FFY22, including refunds of unspent grant funds from prior HAVA sub grants.

10. Provide a breakdown of aggregate sub-award expenditures across major categories. If you do not have expenses for a particular category please populate the field with \$0.00 Total expenses will automatically calculate. Please verify totals prior to submission.

Category	Subaward Federal Expenditures
Voting Equipment	\$0.00
Voting Processes	\$0.00
Voter Registration Systems	\$0.00
Election Auditing	\$0.00
Cyber and Physical Security	\$797,373.65
Voter Education	\$0.00
Accessibility	\$0.00
Other:	\$0.00
Total	\$797,373.65

11. Match (if applicable)

Check if match not required.

Describe how you are meeting or have met the matching requirement.
 Voting Processes - The Office sought and received appropriations from the state General Assembly to mail an absentee ballot request form to every registered voter in the state prior to the 2022 General Election.

Section Section III: Challenges and Changes

12. Issues Encountered

Check if no major issues encountered during this reporting period.

Describe how and whether the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

13. Describe any significant changes to your program during the reporting period, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.

Check if no significant changes were made during this reporting period.

An updated budget Plan and Program Narrative for 2022 HAVA grant funds was provided to include the aforementioned \$10,000 county board of elections sub grants and elections integrity and data analytics initiatives. The Office also contracted with a dedicated grants manager to provide assistance with overall grant management and coordination.

Section IV: Expenditures

14. Fill out the table below with both the Federal and State Match expenditures for the current reporting period. Include federal and state interest expenditures and write-in any cost areas that do not fit into the predefined program categories. Subaward expense totals identified in section #10 should also be populated and rolled into the appropriate expense categories for #14. If you do not have expenses for a particular category please populate the field with \$0.00 Total expenses will automatically calculate. Please verify totals prior to submission.

Expenditures should be consistent with the activities described in your narrative and with the amounts in your financial reports. (EAC uses the difference between your current and previous period FFR to calculate current period expenditures).

Categories	Federal	State Match
Voting Equipment	\$0.00	\$0.00
Voting Processes	\$0.00	\$400,639.00
Voter Registration Systems	\$3,356.16	\$0.00
Election Auditing	\$0.00	\$0.00
Cyber and Physical Security	\$5,227,894.34	\$0.00
Voter Education	\$0.00	\$0.00
Accessibility	\$0.00	\$0.00
Other	\$0.00	\$0.00
TOTAL	\$5,231,250.50	\$400,639.00

Section V: Final Assessment

The final progress report is your opportunity to share the significant successes of your project and present information about the results your project achieved. The report should cover the entire period of performance.

15. Self-Assessment - Assess whether the goals set out in your State plan/Narrative were met as intended during the grant program. Highlight any needs that were not met or ongoing/under resourced areas for future consideration.

16. Impact and Achievements - Describe how this grant program impacted elections in your state/territory. Highlight your accomplishments and successes.

17. Lessons Learned - Describe any lessons learned during the grant that may be replicated, expanded and/or help others.

Section VI: Certification

18. Name and Contact of the authorized certifying official.

First and Last Name Scott Geib	Title
Phone Number (614) 466-1309	Email Address sgeib@OhioSOS.gov
19. Signature of Certifying Official 	