

Federal Financial Report

Program Name: Election Security

Grantee Name: Nebraska Secretary Of State

Report Name: Federal Financial Report

Funding/Grant Period: EAC-ELSEC22NE

Report Period: 10/01/2021 to 09/30/2022

Report Status: Submission Accepted by CO

U.S. Election Assistance Commission		OMB Number: 3265-0022 Expires 04/30/2025	
FEDERAL FINANCIAL REPORT (EACFFR)			
1. Federal Agency and Org. Element to Which Report is Submitted U.S. Election Assistance Commission		2. Federal Grant or Other Identifying Number Assigned By Fed. Agency (To report multiple grants, use FFR Attachment) EAC-ELSEC22NE	
3. Recipient Organization (Name and complete address including Zip code)			
Recipient Organization Name: Nebraska Secretary Of State			
Street1: 1445 K ST			
Street2:			
City: LINCOLN		County: LANCASTER	
State: NE			Province:
Country: United States		Zip 5: 68509	Zip +4:
4a. UEI UXQ2AMLX4L35	4b. EIN 470491233	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment) E7664B1	6. Report Type <input type="radio"/> Quarterly <input type="radio"/> Semi-Annual <input checked="" type="radio"/> Annual <input type="radio"/> Final
7. Basis of Accounting <input checked="" type="radio"/> Cash <input type="radio"/> Accrual	8. Project/Grant Period From: 03/23/2018 To: 09/30/2099		9. Reporting Period End Date (Month, Day, Year) 09/30/2022
10. TRANSACTIONS (Use lines a-c for single or multiple grant reporting)			Cumulative
Federal Cash: (To report multiple grants, also use FFR attachment)			
a. Cash Receipts			\$8,422,268.00
b. Cash Disbursements			\$2,940,380.83
c. Cash on hand (line a minus b)			\$5,481,887.17
Federal Expenditures and Unobligated Balance: Do not complete this section if reporting on multiple awards.			
d. Total Federal funds authorized			\$8,422,268.00
e. Federal share of expenditures			\$2,940,380.83
f. Federal share of unliquidated obligations			\$259,834.82
g. Total Federal share (sum of line e plus line f)			\$3,200,215.65
h. Unobligated balance of Federal funds (line d minus g)			\$5,222,052.35
Recipient Share: Do not complete this section if reporting on multiple awards.			
i. Total recipient share required			\$1,159,913.00
j. Recipient share of expenditures			\$959,913.00
k. Remaining recipient share to be provided (line i minus j)			\$200,000.00
Program Income: Do not complete this section if reporting on multiple awards.			
l. Total Federal program income earned			\$0.00
m. Program income expended in accordance with the deduction alternative			\$0.00

n. Program Income expended in accordance with the addition alternative	\$0.00
o. Unexpended program income (line l minus line m and line n)	\$0.00
Federal Interest:	
p. Total Federal interest earned	\$387,099.70
q. Federal interest expenditures	\$0.00
r. Remaining Federal interest to be expended (line p minus q)	\$387,099.70

11. Indirect Expense

a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
	0.00%			\$0.00	\$0.00	\$0.00
g. Total				\$0.00	\$0.00	\$0.00

12. Remarks:


a. State Interest Earned: Enter the current year amount earned (not cumulative)	\$0.00
b. State Interest Expended: Enter the current year amount expended (not cumulative)	\$0.00
c. Program Income Earned: Enter the current year amount earned. (not cumulative)	\$0.00
d. Program Income Expended: Enter the amount of Program Income expended in the current year (not cumulative)	\$0.00

e. Program Income Earned Breakdown: List each source of program income individually next to each amount (federal interest earned is not program income).

Source of program income		Amount	Delete
e.		\$0.00	
Total:		\$0.00	

f. Comments: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:

13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the Federal award. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729-3730 and 3801-3812).

a. Typed or Printed Name and Title of Authorized Certifying Official Joan Arnold	c. Telephone (Area code, number and extension)
Certification Title	d. Email address joan.arnold@nebraska.gov
b. Signature of Authorized Certifying Official 	e. Date Report Submitted (Month, Day, Year) 12/28/2022

Report Attachment (For reporting multiple grants)

14. List Information below for each grant covered by this report.

Federal Grant Number	Recipient Account Number	Cumulative Federal Cash Disbursement
		\$0.00
TOTAL (Should correspond to the amount on Line 10b on Page 1)		\$0.00

Progress Report

Program Name: Election Security

Grantee Name: Nebraska Secretary Of State

Report Name: Progress Report

Funding/Grant Period: EAC-ELSEC22NE

Report Period: 10/01/2021 to 09/30/2022

Report Status: Submission Accepted by CO

U.S. ELECTION ASSISTANCE COMMISSION		OMB CONTROL No.: 3265-0022 Expiration Date: 04/30/2025	
Progress Report Section I: Cover Page			
Grant Information			
1. State or Territory Nebraska Secretary Of State		2. Federal Grant or Other Identifying Number Assigned by Federal Agency EAC-ELSEC22NE	
3. Grant Type: <input type="radio"/> 101 <input type="radio"/> 251 <input checked="" type="radio"/> Election Security <input type="radio"/> Other [e.g., CARES]			
Describe Other			
Report Information			
4. Report Type: <input type="radio"/> Semi-Annual <input checked="" type="radio"/> Annual <input type="radio"/> Final <input type="radio"/> Other			
Describe Other			
5. Report Period			
Start Date (Month, Day, Year) 10/01/2021		End Date (Month, Day, Year) 09/30/2022	
Section II: Progress and Narrative			
Instructions: Reports due for the period ending March 31 should describe the activities of the previous six-month period and reports due for the period ending September 30 should cover the previous 12- month period. Final reports should cover the entire performance period from the start of the grant. Additional guidance can be found on our website: https://www.eac.gov/payments-and-grants/financial-progress-reporting			
EAC grants reports will be made publicly available. Therefore, your report narrative should: * Be written in clear, concise, and plain language * Not include sensitive confidential information			
6. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities in accordance with your State Plan/Program Narrative. (Note: Your activities should align with your category expenditures in Section IV and you may use those categories as headings in your response as applicable.)			
<input type="checkbox"/> Check if no activity during this reporting period.			
Voting Equipment: The State of Nebraska replaced all vote tabulation equipment and ADA compliant ballot marking devices across the State prior to the May 2020 primary election, using a combination of state and federal funds. Grant funds continue to be used for the ongoing equipment licensing, software, and maintenance. Voting Processes: Due to an unprecedented federal special election in 2022, we utilized nearly \$150,000 in HAVA funding to assist the affected counties in carrying out that election. Additionally, a Training and Implementation Coordinator was hired in December 2019 to coordinate the equipment replacement and provide ongoing training to the counties regarding election administration and voting processes. Funds were used for salary (approximately \$28,000) and benefits (nearly \$10,000). On-site and virtual training continues to be provided, which amounted to nearly \$15,000. This included a three-day statewide training conference hosted by the Secretary of State. It also included ongoing training provided to election staff to ensure we are providing accurate and up-to-date guidance. Additionally, regional training was provided across the state by our election vendor prior to the May 2022 primary election, which was \$30,450. Lastly, this category included approximately \$4,500 for drop boxes. Voter Registration Systems: Prior to the 2018 General Election, a two-factor authentication process for the Statewide Voter Registration System was put in place in each county election office for voter registration. Security tokens continue to be provided and the ongoing license fees for the tokens, which were approximately \$16,000, are covered through this grant. Additionally, nearly \$32,000 were used for enhancements to the voter registration system. Cyber & Physical Security: The four most populated counties have been equipped with Albert Monitoring devices which monitor suspicious activity in real time with the assistance of the Elections Infrastructure Information Sharing Analysis Center (EI-ISAC). Grant funds of \$51,000 were used for the ongoing monitoring service costs. Also, our office has worked to assess cybersecurity among all 93 counties across the state. Our IT staff worked with counties to update and improve the security of the local election network. Nearly \$16,000 was spent on cybersecurity assistance to the counties. Lastly, port locks were purchased for all ballot counting machines for \$600. Accessibility: The Secretary of State provided assistance to local election officials to			

accommodate voters with disabilities and offer modifications to polling sites to maintain ADA compliance in several counties. Some ADA supplies were purchased directly (over \$64,000), while other projects were completed via subgrants to the county (over \$77,000). Also, the Federal Aid Administrator traveled across the state to review polling sites for ADA compliance and work with counties needing any assistance to apply for a subaward, incurring \$6,800 in travel expenses. Lastly, instruction guides and voter information printed in braille were distributed (approximately \$5,400). Administration: A Federal Aid Administrator position was added in August 2020 as existing staff didn't have the bandwidth to administer new federal grants related to Elections or create a subaward program. This Grant Administrator continued to facilitate grant management and assist with subaward grants to our local election officials. Funds were used for salary (nearly \$53,000), benefits (over \$16,000), technology costs (almost \$1,400), office space (\$4,590), and supplies (approximately \$110). This category also included the \$370 federal grant audit fees.

7. Provide a description of any training conducted, including security training.

Check if no training was conducted during this reporting period.

Members of the Elections Division traveled the state to provide training at county and regional meetings of county election officials. Additionally, a statewide training conference was hosted in October 2021 to ensure that our county election officials were up to date on election security. Elections Division staff also provided one-on-one training with individual counties as necessary. These training sessions allowed new county employees to get proper training of the election systems as well as provided our seasoned officials with refresher training. Election Division employees were also sent to various conferences and trainings sessions to expand election administration knowledge and stay current on election security issues. Lastly, regional training was provided across the state by our election vendor prior to the May 2022 primary election.

8. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure chart.

Check if no voting equipment purchased during this reporting period.

9. Subgrants (if applicable)

Check if no subgrants were made during this reporting period.

Describe the activities carried out by your subgrantees during the reporting period.

A process has been developed to provide subgrants for election offices across the state for election security. Election offices can apply for the funds which will be available for accessibility requirements, cybersecurity and other supported requests. Nine counties utilized these sub-awards during this period under the accessibility category. The awards were used to meet ADA requirements for voter access. Additional subawards are expected for both accessibility and cybersecurity in future reporting periods.

10. Provide a breakdown of aggregate sub-award expenditures across major categories. If you do not have expenses for a particular category please populate the field with \$0.00 Total expenses will automatically calculate. Please verify totals prior to submission.

Category	Subaward Federal Expenditures
Voting Equipment	\$0.00
Voting Processes	\$0.00
Voter Registration Systems	\$0.00
Election Auditing	\$0.00
Cyber and Physical Security	\$0.00
Voter Education	\$0.00
Accessibility	\$77,280.50
Other:	\$0.00
Total	\$77,280.50

11. Match (if applicable)

Check if match not required.

Describe how you are meeting or have met the matching requirement.

The Nebraska State Legislature appropriated state funds for a statewide election equipment replacement project. As noted on prior narratives, those funds were used to replace ballot counting and ADA compliant ballot marking devices, which allowed for the prior \$959,913 state match requirement to be met. It is anticipated that the additional \$200,000 in state match will be spent in the upcoming reporting period for ongoing licensing costs related to the equipment.

Section Section III: Challenges and Changes

12. Issues Encountered

Check if no major issues encountered during this reporting period.

Describe how and whether the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

13. Describe any significant changes to your program during the reporting period, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.

Check if no significant changes were made during this reporting period.

Our HAVA Program Narrative was amended this reporting period, as required with the additional round of 2022 funding. Generally, the majority of our major projects stayed the same, with some funding being shifted around among those already existing projects. The only new project we added when amending the narrative was funding for the June 2022 federal special election. Nebraska had not had a federal special election in more than 70 years so our local county election officials did not have the budget or resources to absorb all of those costs. HAVA funding was used to offset nearly \$150,000 for that election.

Section IV: Expenditures

14. Fill out the table below with both the Federal and State Match expenditures for the current reporting period. Include federal and state interest expenditures and write-in any cost areas that do not fit into the predefined program categories. Subaward expense totals identified in section #10 should also be populated and rolled into the appropriate expense categories for #14. If you do not have expenses for a particular category please populate the field with \$0.00 Total expenses will automatically calculate. Please verify totals prior to submission.

Expenditures should be consistent with the activities described in your narrative and with the amounts in your financial reports. (EAC uses the difference between your current and previous period FFR to calculate current period expenditures).

Categories	Federal	State Match
Voting Equipment	\$361,410.00	\$0.00
Voting Processes	\$236,877.18	\$0.00
Voter Registration Systems	\$47,959.00	\$0.00
Election Auditing	\$0.00	\$0.00
Cyber and Physical Security	\$67,563.90	\$0.00
Voter Education	\$0.00	\$0.00
Accessibility	\$153,884.10	\$0.00
Other	\$75,414.07	\$0.00
TOTAL	\$943,108.25	\$0.00

Section V: Final Assessment

The final progress report is your opportunity to share the significant successes of your project and present information about the results your project achieved. The report should cover the entire period of performance.

15. Self-Assessment - Assess whether the goals set out in your State plan/Narrative were met as intended during the grant program. Highlight any needs that were not met or ongoing/under resourced areas for future consideration.

16. Impact and Achievements - Describe how this grant program impacted elections in your state/territory. Highlight your accomplishments and successes.

17. Lessons Learned - Describe any lessons learned during the grant that may be replicated, expanded and/or help others.

Section VI: Certification

18. Name and Contact of the authorized certifying official.

First and Last Name Joan Arnold	Title
Phone Number	Email Address joan.arnold@nebraska.gov

19. Signature of Certifying Official

