

Federal Financial Report

Program Name: Election Security

Grantee Name: Minnesota Secretary Of State

Report Name: Federal Financial Report

Funding/Grant Period: EAC-ELSEC22MN

Report Period: 10/01/2021 to 09/30/2022

Report Status: Submission Accepted by CO

U.S. Election Assistance Commission		OMB Number: 3265-0022 Expires 04/30/2025	
FEDERAL FINANCIAL REPORT (EACFFR)			
1. Federal Agency and Org. Element to Which Report is Submitted U.S. Election Assistance Commission		2. Federal Grant or Other Identifying Number Assigned By Fed. Agency (To report multiple grants, use FFR Attachment) EAC-ELSEC22MN	
3. Recipient Organization (Name and complete address including Zip code)			
Recipient Organization Name: Minnesota Secretary Of State			
Street1: 100 Rev Martin Luther King Jr			
Street2:			
City: Saint Paul		County: RAMSEY	
State: MN			Province:
Country: United States		Zip 5: 55155	Zip +4: 0001
4a. UEI HMPJT7G2YKJ1	4b. EIN 416007162	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment) E7659B1	6. Report Type <input type="radio"/> Quarterly <input type="radio"/> Semi-Annual <input checked="" type="radio"/> Annual <input type="radio"/> Final
7. Basis of Accounting <input type="radio"/> Cash <input checked="" type="radio"/> Accrual	8. Project/Grant Period From: 03/23/2018 To: 09/30/2099		9. Reporting Period End Date (Month, Day, Year) 09/30/2022
10. TRANSACTIONS (Use lines a-c for single or multiple grant reporting)			Cumulative
Federal Cash: (To report multiple grants, also use FFR attachment)			
a. Cash Receipts			\$14,014,282.00
b. Cash Disbursements			\$4,877,830.36
c. Cash on hand (line a minus b)			\$9,136,451.64
Federal Expenditures and Unobligated Balance: Do not complete this section if reporting on multiple awards.			
d. Total Federal funds authorized			\$14,014,282.00
e. Federal share of expenditures			\$4,877,830.36
f. Federal share of unliquidated obligations			\$0.00
g. Total Federal share (sum of line e plus line f)			\$4,877,830.36
h. Unobligated balance of Federal funds (line d minus g)			\$9,136,451.64
Recipient Share: Do not complete this section if reporting on multiple awards.			
i. Total recipient share required			\$1,837,840.56
j. Recipient share of expenditures			\$167,712.87
k. Remaining recipient share to be provided (line i minus j)			\$1,670,127.69
Program Income: Do not complete this section if reporting on multiple awards.			
l. Total Federal program income earned			\$0.00
m. Program income expended in accordance with the deduction alternative			\$0.00

n. Program Income expended in accordance with the addition alternative	\$0.00
o. Unexpended program income (line l minus line m and line n)	\$0.00
Federal Interest:	
p. Total Federal interest earned	\$449,028.45
q. Federal interest expenditures	\$0.00
r. Remaining Federal interest to be expended (line p minus q)	\$449,028.45

11. Indirect Expense

a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
	0.00%			\$0.00	\$0.00	\$0.00
g. Total				\$0.00	\$0.00	\$0.00

12. Remarks:


a. State Interest Earned: Enter the current year amount earned (not cumulative)	\$12,051.00
b. State Interest Expended: Enter the current year amount expended (not cumulative)	\$0.00
c. Program Income Earned: Enter the current year amount earned. (not cumulative)	\$0.00
d. Program Income Expended: Enter the amount of Program Income expended in the current year (not cumulative)	\$0.00

e. Program Income Earned Breakdown: List each source of program income individually next to each amount (federal interest earned is not program income).

Source of program income		Amount	Delete
e.		\$0.00	
Total:		\$0.00	

f. Comments: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:

13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the Federal award. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729-3730 and 3801-3812).

a. Typed or Printed Name and Title of Authorized Certifying Official Bibi Black	c. Telephone (Area code, number and extension)
Certification Title General Counsel	d. Email address bibi.black@state.mn.us
b. Signature of Authorized Certifying Official 	e. Date Report Submitted (Month, Day, Year) 12/29/2022

Report Attachment (For reporting multiple grants)

14. List Information below for each grant covered by this report.

Federal Grant Number	Recipient Account Number	Cumulative Federal Cash Disbursement
		\$0.00
TOTAL (Should correspond to the amount on Line 10b on Page 1)		\$0.00

Progress Report

Program Name: Election Security

Grantee Name: Minnesota Secretary Of State

Report Name: Progress Report

Funding/Grant Period: EAC-ELSEC22MN

Report Period: 10/01/2021 to 09/30/2022

Report Status: Submission Accepted by CO

U.S. ELECTION ASSISTANCE COMMISSION		OMB CONTROL No.: 3265-0022 Expiration Date: 04/30/2025	
Progress Report Section I: Cover Page			
Grant Information			
1. State or Territory Minnesota Secretary Of State		2. Federal Grant or Other Identifying Number Assigned by Federal Agency EAC-ELSEC22MN	
3. Grant Type: <input type="radio"/> 101 <input type="radio"/> 251 <input checked="" type="radio"/> Election Security <input type="radio"/> Other [e.g., CARES]			
Describe Other			
Report Information			
4. Report Type: <input type="radio"/> Semi-Annual <input checked="" type="radio"/> Annual <input type="radio"/> Final <input type="radio"/> Other			
Describe Other			
5. Report Period			
Start Date (Month, Day, Year) 10/01/2021		End Date (Month, Day, Year) 09/30/2022	
Section II: Progress and Narrative			
Instructions: Reports due for the period ending March 31 should describe the activities of the previous six-month period and reports due for the period ending September 30 should cover the previous 12- month period. Final reports should cover the entire performance period from the start of the grant. Additional guidance can be found on our website: https://www.eac.gov/payments-and-grants/financial-progress-reporting			
EAC grants reports will be made publicly available. Therefore, your report narrative should: * Be written in clear, concise, and plain language * Not include sensitive confidential information			
6. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities in accordance with your State Plan/Program Narrative. (Note: Your activities should align with your category expenditures in Section IV and you may use those categories as headings in your response as applicable.)			
<input type="checkbox"/> Check if no activity during this reporting period.			
During this reporting period, work continued on the modernization of the Statewide Voter Registration System. The Office also initiated the hiring of a Chief Information Officer, (who was hired after the close of the reporting period) and whose presence will provide better and more overall guidance to the systems in the office. The CyberNavigator hired a Deputy Cyber Navigator and continued collaborative work with all 87 counties during the 2022 election season. Minnesota had a high turnout this year, and voters returned to the polling places as absentee voting returned to more historic levels.			
7. Provide a description of any training conducted, including security training.			
<input type="checkbox"/> Check if no training was conducted during this reporting period.			
Election Security Navigator Brief to Statewide Auditors Conference: In April, 2022 the MN OSS Election Security Navigator presented a discussion to the OSS-sponsored Minnesota Statewide Auditors Conference on significant issues and developments in the Election Security lane. Specific focus areas included the history and evolution of our Security Navigator program, Role of Security Prerequisites in our HAVA Grant Program, and Current OSS Elections Security Initiatives. Election Physical Security Webinars: In August, 2022 MN OSS partnered with our locally-based CISA Physical Security Advisors to present a pair of election physical security webinars to Minnesota county and local elections and IT officials. Topic areas included The Power of Hello and similar de-escalation concepts, how to recognize potentially threatening behaviors, and ways to appropriately respond to tense situations at polling places.			
8. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure chart.			

Check if no voting equipment purchased during this reporting period.

The Office of the Secretary of State did not purchase voting equipment itself, but counties receiving funds did make a number of purchases.

9. Subgrants (if applicable)

Check if no subgrants were made during this reporting period.

Describe the activities carried out by your subgrantees during the reporting period.
 76 of the 87 counties in Minnesota received funds at the direction of the Legislature, see Laws 2021, 1st Special Session, Chapter 12, Article 1, section 41. These funds were distributed at or after the end of the mid-year reporting period. \$2,695,626.71 was distributed, of which counties reported expenditures of \$1,960,671.62 by the end of the reporting period. Counties used these funds to install security systems and cameras at election facilities, purchase and upgrade voting equipment, purchase security training, upgrade and secure county computing systems relevant to elections, and a variety of other security - oriented purchases.

10. Provide a breakdown of aggregate sub-award expenditures across major categories. If you do not have expenses for a particular category please populate the field with \$0.00 Total expenses will automatically calculate. Please verify totals prior to submission.

Category	Subaward Federal Expenditures
Voting Equipment	\$682,780.92
Voting Processes	\$0.00
Voter Registration Systems	\$0.00
Election Auditing	\$0.00
Cyber and Physical Security	\$1,055,762.58
Voter Education	\$0.00
Accessibility	\$0.00
Other: Security and Assistance	\$222,128.12
Total	\$1,960,671.62

11. Match (if applicable)

Check if match not required.

Describe how you are meeting or have met the matching requirement.
 The required match was met by in-kind expenditures for election security in the amount of \$167,712.87, a direct appropriation of \$163,000 into the HAVA Account by the Minnesota Legislature in Laws 2019, 1st Special Session, Chapter 10, Article 1, Section 6 (b), and a direct appropriation of \$1,477,901 into the HAVA Account by the Minnesota Legislature in Laws 2020, Chapter 77, Section 3, subdivision 2.

Section Section III: Challenges and Changes

12. Issues Encountered

Check if no major issues encountered during this reporting period.

While there were no major issues during this period, there was a continued watchfulness for cybersecurity issues in the wake of the developments of the last six years. There were also some issues with vendor order delays due to supply chain issues, but they were not major and did not severely impact operations.

Describe how and whether the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

13. Describe any significant changes to your program during the reporting period, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.

Check if no significant changes were made during this reporting period.

Expansion of the MN OSS Security Navigator Team: In April, 2022 the MN OSS Election Security Navigator team doubled in size with the hiring of a Deputy Election Security Cyber Navigator. This additional team member enables the program to present deeper technical expertise and assistance to county and local elections administrators and IT professionals as they securely and remotely interact with OSS technical systems. Addition of Elections Physical Security to the Elections Security Navigator Portfolio: Over the summer of 2022, in reaction to a demand signal from local jurisdictions for additional assistance with physical security of elections, MN OSS formally expanded its Security Navigator program to encompass this additional focus area. No longer solely addressing elections cyber security concerns, the addition of elections physical security to the Navigator Program portfolio leverages existing relationships and structure to deliver needed support and assistance where Minnesota counties and localities require it. HAVA Grant Program Security-based Prerequisites: As part of a legislatively-mandated \$3M HAVA Grant Program to Minnesota counties, MN OSS worked with county elections at IT leaders to formulate a series of elections security prerequisites for completion to qualify for grant funds. Measures included cyber and elections security assessments, signing up for free CISA cyber security hygiene measures, and other similar steps to shore up the security of Minnesotas elections.

Section IV: Expenditures

14. Fill out the table below with both the Federal and State Match expenditures for the current reporting period. Include federal and state interest expenditures and write-in any cost areas that do not fit into the predefined program categories. Subaward expense totals identified in section #10 should also be populated and rolled into the appropriate expense categories for #14. If you do not have expenses for a particular category please populate the field with \$0.00 Total expenses will automatically calculate. Please verify totals prior to submission.

Expenditures should be consistent with the activities described in your narrative and with the amounts in your financial reports. (EAC uses the difference between your current and previous period FFR to calculate current period expenditures).

Categories	Federal	State Match
Voting Equipment	\$682,780.92	\$0.00
Voting Processes	\$0.00	\$0.00
Voter Registration Systems	\$150,772.44	\$0.00

Election Auditing	\$0.00	\$0.00
Cyber and Physical Security	\$1,368,479.43	\$0.00
Voter Education	\$1,971.87	\$0.00
Accessibility	\$638,269.53	\$0.00
Other Security and Assistance	\$222,128.12	\$0.00
TOTAL	\$3,064,402.31	\$0.00

Section V: Final Assessment

The final progress report is your opportunity to share the significant successes of your project and present information about the results your project achieved. The report should cover the entire period of performance.

15. Self-Assessment - Assess whether the goals set out in your State plan/Narrative were met as intended during the grant program. Highlight any needs that were not met or ongoing/under resourced areas for future consideration.

16. Impact and Achievements - Describe how this grant program impacted elections in your state/territory. Highlight your accomplishments and successes.

17. Lessons Learned - Describe any lessons learned during the grant that may be replicated, expanded and/or help others.

Section VI: Certification

18. Name and Contact of the authorized certifying official.

First and Last Name Bibi Black	Title General Counsel
Phone Number	Email Address bibi.black@state.mn.us

19. Signature of Certifying Official
