

FEDERAL FINANCIAL REPORT

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted					2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment)		
ELECTION ASSISTANCE COMMISSION							
3. Recipient Organization (Name and complete address including Zip code)							
Secretary of State, Washington							
416 Sid Snyder Ave SW, Olympia, WA 985011347							
4a. DUNS Number		4b. EIN		5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)		6. Report Type	7. Basis of Accounting
						<input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	<input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual
8. Project/Grant Period (Month, Day, Year)					9. Reporting Period End Date (Month, Day, Year)		
From: March 28, 2018					To: September 30, 2019		September 30, 2021
10. Transactions						Cumulative	
<i>(Use lines a-c for single or combined multiple grant reporting)</i>							
Federal Cash (To report multiple grants separately, also use FFR Attachment):							
a. Cash Receipts						\$16,805,722.00	
b. Cash Disbursements						\$8,747,541.65	
c. Cash on Hand (line a minus b)						\$8,058,180.35	
<i>(Use lines d-o for single grant reporting)</i>							
Federal Expenditures and Unobligated Balance:							
d. Total Federal funds authorized						\$16,805,722.00	
e. Federal share of expenditures						\$8,747,541.65	
f. Federal share of unliquidated obligations						\$0.00	
g. Total Federal share (sum of lines e and f)						\$8,747,541.65	
h. Unobligated balance of Federal funds (line d minus g)						\$8,058,180.35	
Recipient Share:							
i. Total recipient share required						\$2,174,979.00	
j. Recipient share of expenditures						\$447,388.01	
k. Remaining recipient share to be provided (line i minus j)						\$1,727,590.99	
Program Income:							
l. Total Federal share of program income earned						\$411,463.61	
m. Program income expended in accordance with the deduction alternative						\$0.00	
n. Program income expended in accordance with the addition alternative						\$0.00	
o. Unexpended program income (line l minus line m and line n)						\$411,463.61	
11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
g. Totals:					\$0.00	\$0.00	\$0.00
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:							
Please provide the following information:							
13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)							
a. Typed or Printed Name and Title of Authorized Certifying Official					c. Telephone (Area code, number, and extension)		
Holmes, Stuart							
Deputy Director of Elections					d. Email Address		
b. Signature of Authorized Certifying Official					e. Date Report Submitted (Month, Day, Year)		
Holmes, Stuart					February 11, 2022		

Standard Form 425
 OMB Approval Number: 4040-0014
 Expiration Date: 02/28/2022

Paperwork Burden Statement

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

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(Additional Page)

Federal Agency & Organization : ELECTION ASSISTANCE COMMISSION

Federal Grant ID

Recipient Organization

DUNS Number

DUNS Status when Certified

EIN

Reporting Period End Date : September 30, 2021

Status : Awarding Agency Approval

Remarks : Please provide the following information:

State interest earned (current fiscal year): \$6,447.17

State interest expended (current fiscal year): \$0.00

Program income earned (current fiscal year): \$0.00

Program income earned breakdown (current fiscal year): \$0.00

Program income expended (current fiscal year): \$0.00

Federal Agency Review

Reviewer Name

Phone #

Email

Review Date

Review Comments

2021-2022 EAC Progress Report

3. EAC Progress Report

1. State or Territory:

Washington

2. Grant Number:

3. Report:

Annual (Oct 1 - Sept 30)

4. Grant:

Election Security

5. Reporting Period Start Date

10/01/2020

6. Reporting Period End Date

09/30/2021

4. Progress and Narrative

7. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities in accordance with your State Plan/Program Narrative. (Note: Your activities should align with your Grant Cost Categories Table.)

During the reporting period, following the 2020 General election, the Washington Office of the Secretary of State and our 39 county partners continued to improve election security.

Our county partners continue to bolster physical security with activities including significant construction projects to remodel their facilities, the purchase of access control and fencing, video surveillance equipment, security software, secure cages for

critical election infrastructure, as well as building out a resilient operation by ensuring continuity and redundancy. Upgrading physical security for Elections has been a major priority for counties, and they have made significant progress.

The largest area of physical security has been the purchase of video surveillance cameras to monitor elections facilities. These cameras sometimes also serve a dual purpose, both to record what is happening in the facility and some counties have chosen to broadcast their footage, which in most cases can be viewed live online, to promote transparency among the public.

The second area of physical security that counties have been working on is related to access controls. These access controls include physical barriers (fencing, doors, etc.), access control key cards, and methods to securely store and transport ballots from places like drop boxes.

The last major area of physical security involves redundancy and continuity of operations. This category includes items ranging from the development of plans for scenarios that may happen during an election, to procurement of backup and redundant off-site equipment. In only the past half-dozen years or so, counties running Washington elections have suffered a flood from a burst pipe, several instances of internet lines being cut, and a white powder scare that shut down the election office for two days. All these incidents and more can be partially or wholly mitigated with the purchases made using the HAVA security funds. We continue to develop our centralized equipment tracking system, allowing us to track equipment that connects or is used in Elections and in the future allowing us to respond to specific security threats related to that equipment.

Finally, the State Legislature passed ESHB 2406, which mandated conducting an audit from a proscribed list. Because of that requirement, many counties have expressed interest in and have purchased imprinters to conduct post-election risk-limiting audits of their elections.

8. Describe any significant changes to your program during the project, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.

There were no significant changes to the program during the reporting period.

9. Issues Encountered:

Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if applicable. Address each issue separately and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

There were no major issues during this period.

The largest unresolved concern relates to challenges with space, especially in the smaller counties. Historically, elections were relatively small operations, largely in the shadows, and typically in spaces designed for other purposes which were makeshift so that Elections had a roof over their head. However, recently elections have been driven to the forefront under national spotlight. Even if counties could find staff, they would have no place to put them in their current spaces. Additionally, working in a - literal - repurposed closet makes recruitment of qualified workers extremely challenging. Funding for dedicated and purpose-built elections facility, where critical operations would take place, and dedicated staff could work in a safe and secure environment would be a significant help to conducting elections for Federal office. Unfortunately, the current level of funding is not sufficient to undertake such endeavor at more than a handful of counties.

10. Provide a description of any training conducted, including security training.

The Office of the Secretary of State and our 39 county partners, in collaboration with the Cybersecurity and Infrastructure Security Agency did several tabletop exercises focusing on cyber and election security. These exercises were coordinated by members of the HAVA funded Security Operations Center team. Additionally, we coordinated and attended Active shooter training provided through Department of Homeland Security. Finally, we updated our Elections 101 curriculum with additional Election security information and plan to distribute and teach this updated in the coming years.

11. Subgrants:

Did your office provide subawards to local jurisdictions during this reporting period?

Yes

12. Describe the activities carried out by your subgrantees during the reporting period.

Our subreceptients spent a total of \$367,246.06 on categories classified as "other". These include \$328,264.56 for physical

security including video surveillance equipment, physical barriers between the general public and ballots, and access control; \$23,138.30 for security training; \$1,977.49 for continuity of operations; and \$13,865.71 for administration of the grant.

Other - Physical Security: \$328,264.56
Other - Security Training: \$23,138.30
Other - COOP: \$1,977.49
Other - Administration: \$13,865.71
Total: \$367,246.06

Provide a breakdown of aggregate subawards expenditures across major categories.

Voting Equipment : \$577,755.06
Election Auditing : \$23,974.08
Voter Registration Systems : \$0.00
Security : \$240,754.46
Communications : \$5,069.95
Other (Specify above) : \$367,246.06
Total : \$1214799.61

13. Match:

Describe how you are meeting or have met the matching requirement.

The Washington State Legislature appropriated State match funding.

14. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure table.

No voting equipment was purchased using HAVA security funds during this period, as most counties made those purchases using CARES funds. However, several counties did use their HAVA security funds to upgrade existing equipment to the latest operating system, Windows 10.

5. Expenditures

15. Current Period Amount Expended and Unliquidated Obligations

GRANT COST CATEGORIES - FEDERAL

Cyber Security: : \$1523245
Training: : \$28252
Subgrants: : \$944844
Other (Specify below) : \$2215
Voting Equipment and Processes: : \$0
Post-Election Auditing: : \$126
Voter Registration Systems: : \$0
Voter Education/Communications: : \$0
Accessibility: : \$0
Staffing: : \$0
Indirect Costs (If applicable, FFR Line 11): : \$0
Unliquidated Obligations (If applicable, FFR Line 10f): : \$0
Other (Specify below) : \$0
Other (Specify below) : \$0

Total : \$2498682

Comments: The cumulative amounts listed below are reflective of reporting changes or updates made by subrecipients for expenditures in prior reporting periods, which totals \$84,997.94 in Federal expenditures and \$14,384.93 in State match expenditures, for a total of \$99,382.87 difference between the progress report and the FFR. Additional confusion on reporting periods, specifically the March 2021 report and March 2021 CARES close out, led to subrecipients reporting in the wrong reporting periods for the annual report. The cumulative FFR is reflective of all expenditures the subrecipients and the State have made, and the changes made to the annual report are to better align this report to the FFR. Other categories - State Other - Physical Security: \$1,794.51 Other - Administration: \$420.35

16. GRANT COST CATEGORIES - MATCH

Cyber Security: : \$1816

Subgrants: : \$269956

Other (Specify below) : \$17952

Voting Equipment and Processes: : \$0

Post-Election Auditing: : \$0

Voter Registration Systems: : \$0

Voter Education/Communications: : \$0

Accessibility: : \$0

Staffing: : \$0

Training: : \$0

Indirect Costs (If applicable, FFR Line 11): : \$0

Unliquidated Obligations (If applicable, FFR Line 10f): : \$0

Other (Specify below) : \$0

Other (Specify below) : \$0

Total : \$289724

Comments: Other categories - State There was a negative \$1,816.12 in training, which was related to corrections made by our fiscal department and was properly reclassified as Cyber Security. However, the form does not accept negative numbers, so it is reported below. Other - Training: -\$1,816.12 Other - Administration: \$19,768.21

7. Expenditures

17. Confirm Total Grant Expenditure Amounts

Federal : \$2,498,682.11

Match : \$289,723.75

Total : \$2788405.86

OMB CONTROL NUMBER: 3265-0020

8. Certification

Name and Contact of the authorized certifying official of the recipient.

First Name

Christopher

Last Name

Donald

Title

Budget and grant specialist

Phone Number

Email Address

18. Add another contact to send a copy of submission confirmation and edit link?

Yes!

19.

First Name

Stuart

Last Name

Holmes

Title

Director of Elections

Email Address

Signature of Certifying Official:



Signature of: Christopher Donald

9. Report Submitted to EAC



Thank you. Your Annual (Oct 1 - Sept 30) progress report for Election Security has been submitted to the EAC. Please keep the PDF download of your submission as grant record.