

**FEDERAL FINANCIAL REPORT**

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted  <b>ELECTION ASSISTANCE COMMISSION</b>	2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment)
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3. Recipient Organization (Name and complete address including Zip code)

**Secretary of State, Texas**  
**1019 Brazos Street, Austin, TX 787012413**

4a. DUNS	4b. EIN	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)	6. Report Type <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	7. Basis of Accounting <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual
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8. Project/Grant Period (Month, Day, Year) From: <b>March 28, 2018</b> To: <b>September 30, 2019</b>	9. Reporting Period End Date (Month, Day, Year) <b>September 30, 2021</b>
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**10. Transactions** Cumulative

*(Use lines a-c for single or combined multiple grant reporting)*

**Federal Cash (To report multiple grants separately, also use FFR Attachment):**

a. Cash Receipts	\$49,449,808.00
b. Cash Disbursements	\$31,033,256.74
c. Cash on Hand (line a minus b)	\$18,416,551.26

*(Use lines d-o for single grant reporting)*

**Federal Expenditures and Unobligated Balance:**

d. Total Federal funds authorized	\$49,449,808.00
e. Federal share of expenditures	\$31,033,256.74
f. Federal share of unliquidated obligations	\$0.00
g. Total Federal share (sum of lines e and f)	\$31,033,256.74
h. Unobligated balance of Federal funds (line d minus g)	\$18,416,551.26

**Recipient Share:**

i. Total recipient share required	\$6,402,071.00
j. Recipient share of expenditures	\$2,262,889.42
k. Remaining recipient share to be provided (line i minus j)	\$4,139,181.58

**Program Income:**

l. Total Federal share of program income earned	\$1,181,021.61
m. Program income expended in accordance with the deduction alternative	\$0.00
n. Program income expended in accordance with the addition alternative	\$1,158,094.38
o. Unexpended program income (line l minus line m and line n)	\$22,927.23

11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
<b>g. Totals:</b>					\$0.00	\$0.00	\$0.00

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:

"Please provide the following information:

**13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)**

a. Typed or Printed Name and Title of Authorized Certifying Official  <b>Glotzer, Dan</b> <b>Election Funds Manager</b>	c. Telephone (Area code, number, and extension)  d. Email Address
b. Signature of Authorized Certifying Official  <b>Glotzer, Dan</b>	e. Date Report Submitted (Month, Day, Year)  <b>April 5, 2022</b>

Standard Form 425  
OMB Approval Number: 4040-0014  
Expiration Date: 02/28/2022

**Paperwork Burden Statement**  
According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

**FEDERAL FINANCIAL REPORT**

(Additional Page)

Federal Agency & Organization

ELECTION ASSISTANCE COMMISSION

Federal Grant ID

Recipient Organization

DUNS

DUNS Status when Certified

EIN

Reporting Period End Date

Status

Awarding Agency Approval

Remarks

"Please provide the following information:

State interest earned (current fiscal year): \$0

State interest expended (current fiscal year): \$0

Program income earned (current fiscal year): \$0

Program income earned breakdown (current fiscal year): \$ Source: e.g. Sale of registration list

Program income expended (current fiscal year): \$0

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**Federal Agency Review**

Reviewer Name

Phone #

Email

Review Date

Review Comments

# 2021-2022 EAC Progress Report

## 1. Login

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Please enter the username and password provided by the EAC to begin the Progress Report. If you require assistance or have any questions, please contact [Grants@eac.gov](mailto:Grants@eac.gov).

## 2. Verification

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## 3. EAC Progress Report

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### 1. State or Territory:

Texas

### 2. Grant Number:

### 3. Report:

Annual (Oct 1 - Sept 30)

### 4. Grant:

Election Security

### 5. Reporting Period Start Date

10/01/2021

### 6. Reporting Period End Date

09/30/2021

## 4. Progress and Narrative

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### Final Progress Report:

The final report is your opportunity to share the significant features of your project and present information about the results your project achieved.

It should be written as if the reader has no previous knowledge of your project's activities. The report should cover the entire period of performance.

### Review and Self-Assessment:

**Review and highlight all activities that occurred during the implementation of the project, including an assessment of your performance.**

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**CARES Grant Specific:**

**Describe in detail how you used the funds to address the pandemic.**

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**Describe the major issues you faced in dealing with the pandemic and how you addressed or resolved those issues.**

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**7. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities in accordance with your State Plan/Program Narrative. (Note: Your activities should align with your Grant Cost Categories Table.)**

During the reporting period, Texas used funding to make security enhancements to its HAVA-mandated voter registration system ("TEAM"). The Texas Secretary of State's Office ("SOS") worked with its voter registration system software vendor to make security updates to the TEAM system, including election management integration.

SOS also partnered with the Texas Department of Information Resources ("DIR") to provide election security assessments to Texas counties through DIR's Managed Security Services program. Also through that program, SOS used funds for remediation services, a security assessment of the TEAM system, and darknet monitoring services. SOS also hired two election security trainers to provide technical assistance to counties regarding election security.

Lastly, any county that received an ESA was eligible to apply for an Election Security sub-grant of up to \$120,000. As of the end of this reporting period, \$20,009,962 in federal funds has been distributed to 204 counties.

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**8. Describe any significant changes to your program during the project, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.**

N/A

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**9. Issues Encountered:**

**Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if applicable. Address each issue separately and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.**

N/A

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**10. Provide a description of any training conducted, including security training.**

Through the ESA program and various webinars, Texas has trained numerous local election and IT staff members. In addition, SOS has developed a number of templates and procedural documents to assist counties with the development of election security policies and procedures.

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**11. Subgrants:**

**Did your office provide subawards to local jurisdictions during this reporting period?**

Yes

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**12. Describe the activities carried out by your subgrantees during the reporting period.**

As stated, upon completion of the ESA, the county is eligible for an election security sub-grant up to \$120,000. The counties can use the funds for voting equipment, election auditing, voter registration system enhancements, cyber security, and communications. All expenditures must be related to election security. It is anticipated much of the expenditures will be in response to recommendations found in the election security assessments.

**Provide a breakdown of aggregate subawards expenditures across major categories.**

Voting Equipment : \$6,524,438.65  
Election Auditing : \$52,593.55  
Voter Registration Systems : \$456,227.71  
Security : \$731,045.72  
Communications : \$7,204.50

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Total : \$7771510.13

**13. Match:**

**Describe how you are meeting or have met the matching requirement.**

The state secured \$1,162,630 in matching funds from the Texas legislature in response to the 2018 Election Security grant. Due to the additional funds appropriated by Congress in 2020, SOS is requesting an additional \$1.175MM from the legislature for anticipated expenditures by SOS. The remaining \$4mm in match is being made by the counties through the election security sub-grants. Because the grant funds were advanced to the counties, funds are still being expended and reported to SOS. Texas fully anticipates the minimum match to be met or exceeded by the end of the grant period.

**14. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure table.**

COUNTYNAME	PAY_DATE	PURPOSE_AREA	UNITS	PER_UNIT	DESCRIPTION
Bowie	4/12/2021	Voting Equipment	1	30,800.00	VOTING SYSTEMS SECURITY UPDATE LICENSE FEES
Cherokee	9/3/2021	Voting Equipment	1	152,612.00	Election Equipment and Related Equipment
Fayette	7/20/2021	Cyber Security	1	18,432.50	SECURITY FENCING
Glasscock	9/28/2021	Cyber Security	1	12,370.14	Work completed on Cyber Security Plan and Policies
Hardin	5/11/2021	Voting Equipment	12	5,784.75	BALLOT MARKING DEVICES, WHEELCHAIR ACC VOTING BOOTH & SHIPPING
Hidalgo	9/21/2021	Cyber Security	1	5,817.02	cybersecurity
Hidalgo	9/28/2021	Cyber Security	1	5,909.39	cybersecurity
Jasper	7/19/2021	Voting Equipment	1	67,590.00	Installation of equipment
Lipscomb	6/15/2021	Voting Equipment	16	7,144.89	voting machines
Panola	6/8/2021	Voter Registration Systems	1	14,100.00	File Import, Migration, Indexing
Potter	8/9/2021	Voter Registration Systems	1	45,600.00	Cloud-based VR storage system
Refugio	4/13/2021	Voter Registration Systems	2	5,922.93	VISTA SOLUTIONS - VOTER REGISTRATION SCANNING DOCUMENT SYSTEM
Refugio	4/27/2021	Cyber Security	1	6,980.00	TTS - SERVER AND CLOUD BACKUP SYSTEM
San Patricio	8/17/2021	Cyber Security	1	11,452.75	Security system for Election office
San Patricio	9/14/2021	Cyber Security	1	6,595.00	Security System
Schleicher	9/20/2021	Voting Equipment	6	10,896.17	New voting terminals
Titus	5/24/2021	Cyber Security	1	34,025.00	In order to secure our election, Ballot Creation Software, printer and training to create ballots
Uvalde	5/10/2021	Cyber Security	1	9,060.67	Running new electricity for server computers

**Impact:**

**Write an assessment of how your project has impacted the problems you were trying to solve. Were there unexpected benefits? Shortfalls?**

**Lessons Learned:**

**Provide a review of your successes and suggest ways that your experience may be helpful to others. Did you make permanent changes to your processes? What are some areas of potential improvement?**

## Login Capture

## 5. Expenditures

### 1. Current Period Amount Expended and Unliquidated Obligations

#### GRANT COST CATEGORIES - FEDERAL

Voter Registration Systems: : \$2095084

Cyber Security: : \$378794

Subgrants: : \$7771510

Other (Specify below) : \$1096409

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Total : \$11341797

**Comments:**

### 2. GRANT COST CATEGORIES - MATCH

Cyber Security: : \$1985450

Subgrants: : \$137960

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Total : \$2123410

**Comments:**

### CARES COST CATEGORIES - FEDERAL

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Total : \$0

**Comments:**

### CARES COST CATEGORIES - MATCH

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Total : \$0

**Comments:**

## 6. Hidden Script Page

### Federal Sum

11341797

### Match Sum

2123410

### Fed CARES Sum

0

### Match CARES Sum

0

## 7. Expenditures

### 3. Confirm Total Grant Expenditure Amounts

Federal : \$11,341,797.00

Match : \$2,123,410.00

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Total : \$13465207

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**Confirm Total Grant Expenditure Amounts**

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Total : \$0

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**Confirm Total CARES Expenditure Amounts**

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Total : \$0

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**Confirm Total CARES Expenditure Amounts**

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Total : \$0

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**OMB CONTROL NUMBER: 3265-0020**

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## 8. Certification

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**Name and Contact of the authorized certifying official of the recipient.**

**First Name**

Dan

**Last Name**

Glotzer

**Title**

Election Funds Manager

**Phone Number**

**Email Address**

**4. Add another contact to send a copy of submission confirmation and edit link?**

27.

**First Name**

**Last Name**

**Title**

**Email Address**

**Signature of Certifying Official:**



Signature of: Dan Glotzer

## 9. Report Submitted to EAC

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**Thank you. Your Annual (Oct 1 - Sept 30) progress report for Election Security has been submitted to the EAC. Please keep the PDF download of your submission as grant record.**

### **EAC Progress Report Edit Link**

Mar 28, 2022 14:18:11 Success: Email Sent to: dglotzer@sos.texas.gov,,Grants@eac.gov

Mar 30, 2022 10:52:25 Failure: Email not sent.

Apr 05, 2022 15:47:58 Success: Email Sent to: dglotzer@sos.texas.gov,,Grants@eac.gov