

**FEDERAL FINANCIAL REPORT**

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted  <b>ELECTION ASSISTANCE COMMISSION</b>	2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment)
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3. Recipient Organization (Name and complete address including Zip code)

**State Of Elections Commission**  
**550 AVE ALTERIAL B, SAN JUAN, PR 009181480**

4a. DUNS Number	4b. EIN	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)	6. Report Type <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	7. Basis of Accounting <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual
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8. Project/Grant Period (Month, Day, Year) From: <b>March 28, 2018</b> To: <b>September 30, 2019</b>	9. Reporting Period End Date (Month, Day, Year) <b>September 30, 2021</b>
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**10. Transactions** Cumulative

*(Use lines a-c for single or combined multiple grant reporting)*

**Federal Cash (To report multiple grants separately, also use FFR Attachment):**

a. Cash Receipts	\$7,818,845.00
b. Cash Disbursements	\$943,507.88
c. Cash on Hand (line a minus b)	\$6,875,337.12

*(Use lines d-o for single grant reporting)*

**Federal Expenditures and Unobligated Balance:**

d. Total Federal funds authorized	\$7,818,845.00
e. Federal share of expenditures	\$943,507.88
f. Federal share of unliquidated obligations	\$0.00
g. Total Federal share (sum of lines e and f)	\$943,507.88
h. Unobligated balance of Federal funds (line d minus g)	\$6,875,337.12

**Recipient Share:**

i. Total recipient share required	\$1,012,225.00
j. Recipient share of expenditures	\$0.00
k. Remaining recipient share to be provided (line i minus j)	\$1,012,225.00

**Program Income:**

l. Total Federal share of program income earned	\$0.00
m. Program income expended in accordance with the deduction alternative	\$0.00
n. Program income expended in accordance with the addition alternative	\$0.00
o. Unexpended program income (line l minus line m and line n)	\$0.00

11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
g. Totals:					\$0.00	\$0.00	\$0.00

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:

"Please provide the following information:

**13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)**

a. Typed or Printed Name and Title of Authorized Certifying Official  <b>Hidalgo Betances, Aideliz</b> <b>HAVA COORDINATOR/BUDGET ANALYST</b>	c. Telephone (Area code, number, and extension)  d. Email Address
b. Signature of Authorized Certifying Official  <b>Hidalgo Betances, Aideliz</b>	e. Date Report Submitted (Month, Day, Year)  <b>December 17, 2021</b>

Standard Form 425  
 OMB Approval Number: 4040-0014  
 Expiration Date: 02/28/2022

**Paperwork Burden Statement**  
 According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

**FEDERAL FINANCIAL REPORT**

(Additional Page)

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Federal Agency & Organization : ELECTION ASSISTANCE COMMISSION

Federal Grant ID

Recipient Organization

DUNS Number

DUNS Status when Certified

EIN

Reporting Period End Date : September 30, 2021

Status : Report Certified/Pending Agency Approval

Remarks : "Please provide the following information:

State interest earned (current fiscal year): \$ 0

State interest expended (current fiscal year): \$ 0

Program income earned (current fiscal year): \$ 0

Program income earned breakdown (current fiscal year): \$ 0 Source: e.g. Sale of registration list

Program income expended (current fiscal year): \$ 0

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**Federal Agency Review**

Reviewer Name

Phone #

Email

Review Date

Review Comments

# 2021-2022 EAC Progress Report

## 3. EAC Progress Report

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### 1. State or Territory:

Puerto Rico

### 2. Grant Number:

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### 3. Report:

Annual (Oct 1 - Sept 30)

### 4. Grant:

Election Security

### 5. Reporting Period Start Date

10/01/2020

### 6. Reporting Period End Date

09/30/2021

## 4. Progress and Narrative

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### 7. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities in accordance with your State Plan/Program Narrative. (*Note: Your activities should align with your Grant Cost Categories Table.*)

The Puerto Rico State Election Commission (SEC) has been awarded \$7,818,846 under the HAVA Election Security Funds, which and initial award in 2018 was for \$3,676,962 and the past fiscal 2020 award for \$4,141,884. As the grant requirement, Puerto Rico is responsible for providing \$1,012,055 for the State Match.

In the previous report FY 2020, SEC informs a total of \$669,227.58, of expenditures under the categories of Voter Registration Equipment, Cyber Security, and other Voter Registration System cost, related to the beginning of optimizing our hardware and software infrastructure.

For the current reporting period FY 2021, \$274,280.30 were allocated for the following purposes: Voter Registration System (Equipment Replacement), Cyber Security (Equipment), and other Voter Registration Systems cost to keep upgrading our hardware and software infrastructure. We will describe in detail all activities during this reporting period below:

Project: Voter Registration System- (Equipment)

Under this category, a total of \$133,897.02 was spent on acquiring computers, licenses, replacing old equipment, extending the equipment warranty, and ensuring that the security improvement programs and updates will work efficiently.

Project: Cyber Security

For cyber security equipment, we have spent \$33,620 on the acquisition of software licensing to improve the application of redundancy and security services for the virtual servers in the Cloud.

Project: Voter Registration Systems; All Other Costs

Currently, SEC has a communications infrastructure in a closed environment with various local offices called Permanent Registration Boards (JIP). All Jips are connected to the SEC Administrative Building through a Virtual Private Network (VPN). To improve the network is imperative to maintain high service availability. To meet this goal, \$106,763.28 was spent in this category for Advance Civil Id-Warranty, maintenance, and correction of expenditures.

#### FEDERAL CASH

Total Federal Funds Authorized: \$7,818,846.00

Total Federal Expenditures reported previously: - 669,227.58

Total Federal Expenditures during this period FY 2021: - 274,280.30

Total Federal Cash on Hand \$6,875,338.12

#### UNOBLIGATED BALANCE

Total Federal Share of Unliquidated Obligations: 0

Total Unobligated Balance of HAVA Election, 101 funds: \$6,875,338.12

#### STATE MATCH

Total State Share 2018 \$ 183,848.00

Total State Expenditures 0.00

Total Balance \$ 183,848.00

Note: The State Match related to the FY 2020, for \$848,377 is still under revision of the Office of Management and Budget of Puerto Rico.

#### UNOBLIGATED BALANCE

Total Share of Unliquidated Obligations: 0.00

Total Unliquidated Balance of State Match funds: \$183,848

#### FEDERAL INTEREST

Total Federal Program Interest Earned \$ 0.00

Total Federal Interest Unliquidated Obligations: (0)  
Total Unexpended Program Interest Remaining: \$ 0.00

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**8. Describe any significant changes to your program during the project, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.**

As a result of the pandemic, SEC is still working on catching up on time with all the technological innovation and improvement plans under the HAVA Election Security grant. In addition, the present challenges of this pandemic time, the fiscal control board, and a new electoral code act have requested a change on the technology implemented on the administration of the election in Puerto Rico. However, we are back on track to fulfill the projects under the revision submitted to EAC on July 12, 2020, seeking to achieve them by the end of FY 2023.

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**9. Issues Encountered:**

**Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if applicable. Address each issue separately and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.**

As referred in the past year report, besides the global pandemic, Puerto Rico's Government established the Electoral Code Act for 2020, or "Ley Electoral 2020", with the principal goal of providing the right to vote, the electoral enrollment, to perform updates for the electoral registration and among other electoral transactions without the need of going physically at a polling center or to a Permanent Board Unit (Jip).

This new electoral code will bring a new implementation design and massive education about the new electoral technologic systems that will provide greater ease and direct accessibility to voting at the stipulated dates by the Electoral Code. That is why SEC revised the HAVA Election projects submitted on July 12, 2021, to EAC to adequate the actual Election Security State Plan, achieving and merging the improvement in technology purposes meeting the federal and state requirements.

Besides the reference scenario, no other issues or aspects were met that make us unable to reach the established goals.

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**10. Provide a description of any training conducted, including security training.**

During this reporting period, no security training was conducted.

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**11. Subgrants:**

**Did your office provide subawards to local jurisdictions during this reporting period?**

No

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**12. Match:**

**Describe how you are meeting or have met the matching requirement.**

STATE MATCHING FUNDS

HAVA requires a 5% State match of the 2018 Security funds, plus 20% of the 2020 HAVA Election Security award. The State match associated with the total amount to date is \$1,012,255. Currently, SEC is still working under the Control Fiscal Board to approve the 20% state match funds.

No expenditure was made under the state match funds for this reporting period. Consequently, the remaining balance is \$183,848.00.

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**13. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure table.**

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## 5. Expenditures

### 14. Current Period Amount Expended and Unliquidated Obligations

#### GRANT COST CATEGORIES - FEDERAL

Voting Equipment and Processes: : \$133897

Voter Registration Systems: : \$106763

Cyber Security: : \$33620

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Total : \$274280

**Comments:**

#### 15. GRANT COST CATEGORIES - MATCH

Voting Equipment and Processes: : \$0

Post-Election Auditing: : \$0

Voter Registration Systems: : \$0

Cyber Security: : \$0

Voter Education/Communications: : \$0

Accessibility: : \$0

Staffing: : \$0

Training: : \$0

Subgrants: : \$0

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Total : \$0

**Comments:**

## 7. Expenditures

### 16. Confirm Total Grant Expenditure Amounts

Federal : \$274280

Match : \$0

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Total : \$274280

## 8. Certification

Name and Contact of the authorized certifying official of the recipient.

**First Name**

FRANCISCO J.

**Last Name**

ROSADO COLOMER

**Title**

CHAIRMAN

**Phone Number**

**Email Address**

17. Add another contact to send a copy of submission confirmation and edit link?

Yes!

18.

**First Name**

AIDELIZ

**Last Name**

HIDALGO BETANCES

**Title**

HAVA COORDINATOR

**Email Address**

Signature of Certifying Official:

A handwritten signature in black ink, appearing to read 'FRC', is written over a light gray background.

Signature of: Francisco J Rosado Colomer

## 9. Report Submitted to EAC

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**Thank you. Your Annual (Oct 1 - Sept 30) progress report for Election Security has been submitted to the EAC. Please keep the PDF download of your submission as grant record.**