

**FEDERAL FINANCIAL REPORT**

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted  <b>ELECTION ASSISTANCE COMMISSION</b>				2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment)			
3. Recipient Organization (Name and complete address including Zip code)  <b>Secretary Of State, Oregon</b> <b>255 Capitol St NE Ste 151, Salem, OR 973101304</b>							
4a. DUNS Number	4b. EIN	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)		6. Report Type	7. Basis of Accounting		
				<input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	<input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual		
8. Project/Grant Period (Month, Day, Year) From: <b>March 28, 2018</b>				9. Reporting Period End Date (Month, Day, Year) <b>September 30, 2021</b>			
To: <b>September 30, 2019</b>							
<b>10. Transactions</b>					Cumulative		
<i>(Use lines a-c for single or combined multiple grant reporting)</i>							
<b>Federal Cash (To report multiple grants separately, also use FFR Attachment):</b>							
a. Cash Receipts					\$11,392,028.44		
b. Cash Disbursements					\$1,278,093.08		
c. Cash on Hand (line a minus b)					\$10,113,935.36		
<i>(Use lines d-o for single grant reporting)</i>							
<b>Federal Expenditures and Unobligated Balance:</b>							
d. Total Federal funds authorized					\$11,392,028.44		
e. Federal share of expenditures					\$1,278,093.08		
f. Federal share of unliquidated obligations					\$3,253,714.28		
g. Total Federal share (sum of lines e and f)					\$4,531,807.36		
h. Unobligated balance of Federal funds (line d minus g)					\$6,860,221.08		
<b>Recipient Share:</b>							
i. Total recipient share required					\$1,473,959.00		
j. Recipient share of expenditures					\$1,473,959.00		
k. Remaining recipient share to be provided (line i minus j)					\$0.00		
<b>Program Income:</b>							
l. Total Federal share of program income earned					\$400,764.35		
m. Program income expended in accordance with the deduction alternative					\$0.00		
n. Program income expended in accordance with the addition alternative					\$400,764.35		
o. Unexpended program income (line l minus line m and line n)					\$0.00		
11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
g. Totals:					\$0.00	\$0.00	\$0.00
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation: "Please provide the following information:							
13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)							
a. Typed or Printed Name and Title of Authorized Certifying Official  <b>Hickam, Michael</b> <b>Financial Services Manager</b>				c. Telephone (Area code, number, and extension)			
b. Signature of Authorized Certifying Official  <b>Hickam, Michael</b>				d. Email Address			
e. Date Report Submitted (Month, Day, Year)  <b>December 29, 2021</b>							

Standard Form 425  
OMB Approval Number: 4040-0014  
Expiration Date: 02/28/2022

**Paperwork Burden Statement**  
According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

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(Additional Page)

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Federal Agency & Organization : ELECTION ASSISTANCE COMMISSION

Federal Grant ID

Recipient Organization

DUNS Number

DUNS Status when Certified

EIN

Reporting Period End Date : September 30, 2021

Status : Awarding Agency Approval

Remarks : "Please provide the following information:

State interest earned (current fiscal year): \$0.00

State interest expended (current fiscal year): \$0.00

Program income earned (current fiscal year): \$0.00

Program income earned breakdown (current fiscal year): \$0.00 Source: e.g. Sale of registration list

Program income expended (current fiscal year): \$0.00

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**Federal Agency Review**

Reviewer Name

Phone #

Email

Review Date

Review Comments

# 2021-2022 EAC Progress Report

## 3. EAC Progress Report

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**1. State or Territory:**

Oregon

**2. Grant Number:**

**3. Report:**

Annual (Oct 1 - Sept 30)

**4. Grant:**

Election Security

**5. Reporting Period Start Date**

10/01/2020

**6. Reporting Period End Date**

09/30/2021

## 4. Progress and Narrative

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**7. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities in accordance with your State Plan/Program Narrative. (Note: Your activities should align with your Grant Cost Categories Table.)**

State completed reimbursements of \$30k to each county for security purposes according to their security plans and assessments. State approved awards to each county and processed payments during this time. Additional funds were spent on security patching and maintenance of the existing voter registration system.

There were match expenditures totaling \$1,205,810 during the time period. These funds were used for voting equipment, system maintenance, and cyber security. For example, multi-factor authentication (MFA) systems were put into place in counties for voter registration database access. The Elections Division also paid the central administrative services division of the state \$616,000 for Albert Network System. Additionally, there were payments for required quality control vendor Gartner Group for quality assurance of the state's statewide voter registration elections management overhaul, \$394,000. The vendor will provide risk management, monitoring and communications with stakeholders on a weekly and monthly basis.

**8. Describe any significant changes to your program during the project, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.**

N/A

**9. Issues Encountered:**

**Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if applicable. Address each issue separately and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.**

Transition of leadership during planning, processing, reimbursement of security funds. This did not significantly impact the use of the funds but was an unforeseen change.

**10. Provide a description of any training conducted, including security training.**

N/A

**11. Subgrants:**

**Did your office provide subawards to local jurisdictions during this reporting period?**

No

**12. Match:**

**Describe how you are meeting or have met the matching requirement.**

Matching requirement was met during the last reporting period. Some items at the top totaling \$1.2m were matched by Other Funds, a fund derived from primarily county payments for maintenance cost recovery for the existing statewide voter registration system.

**13. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure table.**

The only voting equipment attained with these funds during this time period relates to MFA described above. The quality control vendor described above (Gartner), could also be considered assurance in obtaining voter registration systems that are under contract.

**5. Expenditures**

**14. Current Period Amount Expended and Unliquidated Obligations**

**GRANT COST CATEGORIES - FEDERAL**

Voter Registration Systems: : \$95224

Cyber Security: : \$11892

Total : \$107116

**Comments:**

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**15. GRANT COST CATEGORIES - MATCH**

Voting Equipment and Processes: : \$195490  
Post-Election Auditing: : \$0  
Voter Registration Systems: : \$394000  
Cyber Security: : \$616320  
Voter Education/Communications: : \$0  
Accessibility: : \$0  
Staffing: : \$0  
Training: : \$0  
Subgrants: : \$0  
Indirect Costs (If applicable, FFR Line 11): : \$0  
Unliquidated Obligations (If applicable, FFR Line 10f): : \$0  
Other (Specify below) : \$0  
Other (Specify below) : \$0  
Other (Specify below) : \$0

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Total : \$1205810

**Comments:**

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**7. Expenditures**

**16. Confirm Total Grant Expenditure Amounts**

Federal : \$107,116.00  
Match : \$1,205,810.00

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Total : \$1312926

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**OMB CONTROL NUMBER: 3265-0020**

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**8. Certification**

**Name and Contact of the authorized certifying official of the recipient.**

**First Name**

Deborah

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**Last Name**

Scroggin

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**Title**

Elections Director

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**Phone Number**

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**Email Address**

17. Add another contact to send a copy of submission confirmation and edit link?

Signature of Certifying Official:



Signature of: Deborah Scroggin

9. Report Submitted to EAC



Thank you. Your Annual (Oct 1 - Sept 30) progress report for Election Security has been submitted to the EAC. Please keep the PDF download of your submission as grant record.