

FEDERAL FINANCIAL REPORT

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted ELECTION ASSISTANCE COMMISSION					2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment)		
3. Recipient Organization (Name and complete address including Zip code) Secretary of State, Ohio 180 E Broad St 16th Fl, columbus, OH 432153726							
4a. DUNS Number		4b. EIN		5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)		6. Report Type <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	7. Basis of Accounting <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual
8. Project/Grant Period (Month, Day, Year) From: March 28, 2018 To: September 30, 2019					9. Reporting Period End Date (Month, Day, Year) September 30, 2021		
10. Transactions						Cumulative	
<i>(Use lines a-c for single or combined multiple grant reporting)</i>							
Federal Cash (To report multiple grants separately, also use FFR Attachment):							
a. Cash Receipts						\$25,907,133.00	
b. Cash Disbursements						\$15,191,414.05	
c. Cash on Hand (line a minus b)						\$10,406,386.67	
<i>(Use lines d-o for single grant reporting)</i>							
Federal Expenditures and Unobligated Balance:							
d. Total Federal funds authorized						\$25,907,133.00	
e. Federal share of expenditures						\$15,191,414.05	
f. Federal share of unliquidated obligations						\$3,762,733.36	
g. Total Federal share (sum of lines e and f)						\$18,954,147.41	
h. Unobligated balance of Federal funds (line d minus g)						\$6,952,985.59	
Recipient Share:							
i. Total recipient share required						\$3,353,523.00	
j. Recipient share of expenditures						\$3,353,523.00	
k. Remaining recipient share to be provided (line i minus j)						\$0.00	
Program Income:							
l. Total Federal share of program income earned						\$527,529.07	
m. Program income expended in accordance with the deduction alternative						\$0.00	
n. Program income expended in accordance with the addition alternative						\$309,332.28	
o. Unexpended program income (line l minus line m and line n)						\$218,196.79	
11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
g. Totals:					\$0.00	\$0.00	\$0.00
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation: "Please provide the following information:							
13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)							
a. Typed or Printed Name and Title of Authorized Certifying Official Grewal, Ruchi Revenue & Budget Coordinator					c. Telephone (Area code, number, and extension)		
b. Signature of Authorized Certifying Official Grewal, Ruchi					d. Email Address		
					e. Date Report Submitted (Month, Day, Year) January 19, 2022		

Standard Form 425
OMB Approval Number: 4040-0014
Expiration Date: 02/28/2022

Paperwork Burden Statement

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

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(Additional Page)

Federal Agency & Organization : ELECTION ASSISTANCE COMMISSION

Federal Grant ID

Recipient Organization

DUNS Number

DUNS Status when Certified

EIN : September 30, 2021

Reporting Period End Date

Status : Awarding Agency Approval

Remarks : "Please provide the following information:

State interest earned (current fiscal year): \$58,680.35

State interest expended (current fiscal year): \$80,386.72

Program income earned (current fiscal year): \$0

Program income earned breakdown (current fiscal year): \$ 0 No Program income at all.

Program income expended (current fiscal year): \$0

"

Federal Agency Review

Reviewer Name

Phone #

Email

Review Date

Review Comments

2021-2022 EAC Progress Report

3. EAC Progress Report

1. State or Territory:

Ohio

2. Grant Number:

3. Report:

Annual (Oct 1 - Sept 30)

4. Grant:

Election Security

5. Reporting Period Start Date

10/01/2020

6. Reporting Period End Date

09/30/2021

4. Progress and Narrative

7. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities in accordance with your State Plan/Program Narrative. (Note: Your activities should align with your Grant Cost Categories Table.)

Cybersecurity Monitoring:

During federal fiscal year 2021, the Ohio Secretary of State's Office supported local election officials and cybersecurity efforts by funding Albert intrusion detection devices and deploying a System Information and Management (SIEM) system that is professionally monitored 24X7 to ensure system security is constantly monitored, for all 88 county boards of elections.

Local entity cybersecurity assistance:

The Office also provided cybersecurity security support to local partners by contracting with experts who provide IT support to County Boards of Elections, including assistance with tool, software or hardware integration; software and patch management support; network analysis review; incident response planning and exercising; tier one incident management forensic collection support; and general engineering technical assistance.

Subgrants to local entities:

The Office has also continued to assist and support County Boards of Elections in the implementation of cybersecurity, physical security, and accessibility enhancements funded through sub-grants which were previously distributed to each county board of elections in federal fiscal year 2020.

Continuation of cybersecurity initiatives:

The Office has also continued to implement other cybersecurity initiatives envisioned in the Office's Program Narratives, including implementing the Ohio SOS vulnerability management program, maturing the security awareness program, and improving incident management response activities and IT security upgrades for critical systems. The Office also procured additional secure USB devices to provide to all County Boards of Elections for use when transferring results from secure tabulation machines to the secure election night reporting system.

8. Describe any significant changes to your program during the project, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.

N/A.

9. Issues Encountered:

Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if applicable. Address each issue separately and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

N/A.

10. Provide a description of any training conducted, including security training.

The Office has continued to train roughly 1,000 Secretary of State and County Elections Officials in cybersecurity best practices using KnowBe4. This package allows the Office to provide a quick, high impact cyber security training to help understand the dangers of phishing, how to properly spot a phishing email, and general cybersecurity principles such as good cybersecurity hygiene and password security.

As part of the Secretary of State Cybersecurity Program, the Office has provided training on general cyber awareness and focus training on social engineering topic area to Office staff and to County Boards of Elections. Additionally, in honor of cyber security month, the Office conducted an in-person threat update training which covered changing tactics in social engineering and preventative measures that each Board of Elections could implement.

11. Subgrants:

Did your office provide subawards to local jurisdictions during this reporting period?

No

12. Match:

Describe how you are meeting or have met the matching requirement.

Ohio's HAVA state match requirement was fully satisfied during federal fiscal years 2019 and 2020. Reporting of the Office's final amount of state match was submitted in the annual FFY20 report.

13. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure table.

Ohio has not used any funds to purchase any voting equipment.

5. Expenditures

15. Current Period Amount Expended and Unliquidated Obligations

GRANT COST CATEGORIES - FEDERAL

- Voting Equipment and Processes: : \$0
- Post-Election Auditing: : \$0
- Voter Registration Systems: : \$3345
- Cyber Security: : \$2860710
- Voter Education/Communications: : \$0
- Accessibility: : \$0
- Staffing: : \$0
- Training: : \$18198
- Subgrants: : \$0
- Indirect Costs (If applicable, FFR Line 11): : \$0
- Unliquidated Obligations (If applicable, FFR Line 10f): : \$0
- Other (Specify below) : \$0
- Other (Specify below) : \$0
- Other (Specify below) : \$0
- Total : \$2882253

Comments:

16. GRANT COST CATEGORIES - MATCH

Voting Equipment and Processes: : \$0
Post-Election Auditing: : \$0
Voter Registration Systems: : \$0
Cyber Security: : \$0
Voter Education/Communications: : \$0
Accessibility: : \$0
Staffing: : \$0
Training: : \$0
Subgrants: : \$0
Indirect Costs (If applicable, FFR Line 11): : \$0
Unliquidated Obligations (If applicable, FFR Line 10f): : \$0
Other (Specify below) : \$0
Other (Specify below) : \$0
Other (Specify below) : \$0
Total : \$0

Comments:

7. Expenditures

17. Confirm Total Grant Expenditure Amounts

Federal : \$2,882,252.34
Match : \$0.00
Total : \$2882252.34

8. Certification

Name and Contact of the authorized certifying official of the recipient.

First Name

Ruchi

Last Name

Grewal

Title

Revenue & Budget Coordinator

Phone Number

Email Address

18. Add another contact to send a copy of submission confirmation and edit link?

Yes!

19.

First Name

Scott

Last Name

Geib

Title

Deputy CFO

Email Address

Signature of Certifying Official:

A handwritten signature in black ink, enclosed within a large, hand-drawn oval shape. The signature itself is cursive and appears to read 'Ruchi Grewal'. The oval is drawn with a single continuous line, starting from the left side, curving over the top, and ending on the right side. The signature is positioned in the center of the oval.

Signature of: Ruchi Grewal