### ELECTION ASSISTANCE COMMISSION

325 Don Gaspar Capital Ste 300, santa fe, NM 875030001

<table>
<thead>
<tr>
<th>DUNS Number</th>
<th>EIN</th>
<th>Recipient Account Number or Identifying Number</th>
<th>Project/Grant Period (Month, Day, Year) From:</th>
<th>To:</th>
<th>Reporting Period End Date (Month, Day, Year)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>March 28, 2018</td>
<td></td>
<td>September 30, 2099</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Transactions</th>
<th>Cumulative</th>
</tr>
</thead>
<tbody>
<tr>
<td>Federal Cash</td>
<td></td>
</tr>
<tr>
<td>a. Cash Receipts</td>
<td>$7,853,131.00</td>
</tr>
<tr>
<td>b. Cash Disbursements</td>
<td>$2,232,756.85</td>
</tr>
<tr>
<td>c. Cash on Hand (line a minus b)</td>
<td>$5,620,374.15</td>
</tr>
<tr>
<td>Federal Expenditures and Unobligated Balance:</td>
<td></td>
</tr>
<tr>
<td>d. Total Federal funds authorized</td>
<td>$7,853,131.00</td>
</tr>
<tr>
<td>e. Federal share of expenditures</td>
<td>$2,232,756.85</td>
</tr>
<tr>
<td>f. Federal share of unliquidated obligations</td>
<td>$1,231,570.48</td>
</tr>
<tr>
<td>g. Total Federal share (sum of lines e and f)</td>
<td>$3,464,327.33</td>
</tr>
<tr>
<td>h. Unobligated balance of Federal funds (line d minus g)</td>
<td>$4,388,803.67</td>
</tr>
<tr>
<td>Recipient Share:</td>
<td></td>
</tr>
<tr>
<td>i. Total recipient share required</td>
<td>$1,015,706.00</td>
</tr>
<tr>
<td>j. Recipient share of expenditures</td>
<td>$487,699.76</td>
</tr>
<tr>
<td>k. Remaining recipient share to be provided (line i minus j)</td>
<td>$528,006.24</td>
</tr>
<tr>
<td>Program Income:</td>
<td></td>
</tr>
<tr>
<td>i. Total Federal share of program income earned</td>
<td>$244,292.08</td>
</tr>
<tr>
<td>m. Program income expended in accordance with the deduction alternative</td>
<td>$0.00</td>
</tr>
<tr>
<td>n. Program income expended in accordance with the addition alternative</td>
<td>$0.00</td>
</tr>
<tr>
<td>o. Unexpended program income (line l minus line m and line n)</td>
<td>$244,292.08</td>
</tr>
</tbody>
</table>

11. Indirect Expense

<table>
<thead>
<tr>
<th>a. Type</th>
<th>b. Rate</th>
<th>c. Period From</th>
<th>Period To</th>
<th>d. Base</th>
<th>e. Amount Charged</th>
<th>f. Federal Share</th>
</tr>
</thead>
<tbody>
<tr>
<td>g. Totals:</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:

State Match Interest: $0

13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)

Albin, Veronica
CFO

Albin, Veronica

January 25, 2022

Report Status: Awarding Agency Approval
Page 1 of 2 Printed Date: Jan 25, 2022
Federal Agency & Organization: ELECTION ASSISTANCE COMMISSION

DUNS Number
DUNS Status when Certified
EIN
Reporting Period End Date: September 30, 2021
Status: Awarding Agency Approval
Remarks: State Match Interest: $0

Federal Agency Review
Reviewer Name
Phone #
Email
Review Date
Review Comments
## 3. EAC Progress Report

1. **State or Territory:**
   New Mexico

2. **Grant Number:**

3. **Report:**
   Annual (Oct 1 - Sept 30)

4. **Grant:**
   Election Security

5. **Reporting Period Start Date**
   10/01/2020

6. **Reporting Period End Date**
   09/30/2021

### 4. Progress and Narrative

7. **Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities in accordance with your State Plan/Program Narrative. (Note: Your activities should align with your Grant Cost Categories Table.)**

   During the reporting period, the primary objective of New Mexico was to continue to bolster election security measures and upgrade equipment. The SOS used HAVA to purchase and replace tabulation systems and ballot scanners to replace older outdated models. Additionally, the state implemented and installed public Ballot Boxes with security seals and security camera systems for mail in ballots in every county in New Mexico.
From a cybersecurity perspective, the State implemented and fostered various testing and security measures for elections during the period. The SOS further fostered security training of all SOS employees, county election staff and IT election support employees which included training, consulting and support for election security equipment; the SOS additionally implemented a Tandem subscription for cybersecurity compliance. A large component of HAVA spending during this period was contractual services for (1) IT System Environment Performance Diagnostics, Tuning, and Recommendations, (2) SERVIS system disaster recovery testing and to develop a SERVIS system security plan and test, (3) election network, web application penetration testing and cybersecurity vulnerability testing.

The SOS provided PPE for the 2020 General Election in support of the NM Counties conducting the election who needed the equipment for voters, poll workers, and other support staff at the polls.

8. Describe any significant changes to your program during the project, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.

N/A

9. Issues Encountered:

Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if applicable. Address each issue separately and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

N/A

10. Provide a description of any training conducted, including security training.

The SOS purchased a KnowBe4 subscription for security training of all SOS employees, county election staff and IT election support employees which included training, consulting and support for election security equipment.

11. Subgrants:

Did your office provide subawards to local jurisdictions during this reporting period?

No

12. Match:

Describe how you are meeting or have met the matching requirement.

The SOS is meeting the match requirement with a special appropriation for the Ballot Box Implementation Project.

13. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure table.

The SOS used HAVA to purchase 212 precinct-based optical scan tabulation systems (Image Cast Evolution) to 8 counties that included security features such as ballot image capture and auditing capabilities and 4 ballot scanners (ImageCast Central) to replace older outdated models. Additionally, the state implemented and installed public Ballot Boxes with security seals and security camera systems for mail in ballots in every county in New Mexico.

5. Expenditures

GRANT COST CATEGORIES - FEDERAL

- Voting Equipment and Processes: $516905
- Cyber Security: $93854
- Voter Education/Communications: $4932
- Staffing: $167681
- Training: $3699
- Other (Specify below): $23761
- Other (Specify below): $3771
- Other (Specify below): $-137982

Total: $676621

Comments: Other 1: 2020 GE PPE; Other 2: Misc office supplies for Native American Outreach; Other 3: GL journal adjustments.

15. GRANT COST CATEGORIES - MATCH

- Voting Equipment and Processes: $307944

Total: $307944

Comments: Ballot Box Project.

7. Expenditures

16. Confirm Total Grant Expenditure Amounts

- Federal: $676,621.00
- Match: $307,944.00

Total: $984565

OMB CONTROL NUMBER: 3265-0020

8. Certification

Name and Contact of the authorized certifying official of the recipient.

First Name
Justin

Last Name
O'Shea

Title
Contracts Manager

Phone Number

Email Address
17. Add another contact to send a copy of submission confirmation and edit link?
Yes!

18.

<table>
<thead>
<tr>
<th>First Name</th>
<th>Veronica</th>
</tr>
</thead>
<tbody>
<tr>
<td>Last Name</td>
<td>Albin</td>
</tr>
<tr>
<td>Title</td>
<td>CFO</td>
</tr>
<tr>
<td>Email Address</td>
<td></td>
</tr>
</tbody>
</table>

Signature of Certifying Official:

Signature of: Justin O'Shea