

FEDERAL FINANCIAL REPORT

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted ELECTION ASSISTANCE COMMISSION				2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment)			
3. Recipient Organization (Name and complete address including Zip code) SECRETARY OF STATE, MISSOURI OFFICE OF THE 600 W MAIN ST, JEFFERSON CITY, MO 651011592							
4a. DUNS Number	4b. EIN	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)		6. Report Type	7. Basis of Accounting		
				<input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	<input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual		
8. Project/Grant Period (Month, Day, Year) From: March 28, 2018				9. Reporting Period End Date (Month, Day, Year) September 30, 2021			
To: September 30, 2029							
10. Transactions					Cumulative		
<i>(Use lines a-c for single or combined multiple grant reporting)</i>							
Federal Cash (To report multiple grants separately, also use FFR Attachment):							
a. Cash Receipts					\$15,365,191.00		
b. Cash Disbursements					\$2,982,503.46		
c. Cash on Hand (line a minus b)					\$12,382,687.54		
<i>(Use lines d-o for single grant reporting)</i>							
Federal Expenditures and Unobligated Balance:							
d. Total Federal funds authorized					\$15,365,191.00		
e. Federal share of expenditures					\$2,982,503.46		
f. Federal share of unliquidated obligations					\$431,981.28		
g. Total Federal share (sum of lines e and f)					\$3,414,484.74		
h. Unobligated balance of Federal funds (line d minus g)					\$11,950,706.26		
Recipient Share:							
i. Total recipient share required					\$1,988,444.00		
j. Recipient share of expenditures					\$1,988,444.00		
k. Remaining recipient share to be provided (line i minus j)					\$0.00		
Program Income:							
l. Total Federal share of program income earned					\$350,413.90		
m. Program income expended in accordance with the deduction alternative					\$0.00		
n. Program income expended in accordance with the addition alternative					\$0.00		
o. Unexpended program income (line l minus line m and line n)					\$350,413.90		
11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
g. Totals:					\$0.00	\$0.00	\$0.00
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation: "Please provide the following information:							
13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)							
a. Typed or Printed Name and Title of Authorized Certifying Official Vincent, Trish Deputy Secretary of State/Chief of Staff				c. Telephone (Area code, number, and extension)			
b. Signature of Authorized Certifying Official Vincent, Trish				d. Email Address			
e. Date Report Submitted (Month, Day, Year) December 31, 2021							

Standard Form 425
OMB Approval Number: 4040-0014
Expiration Date: 02/28/2022

Paperwork Burden Statement
According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

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(Additional Page)

Federal Agency & Organization : ELECTION ASSISTANCE COMMISSION

Federal Grant ID

Recipient Organization

DUNS Number

DUNS Status when Certified

EIN

Reporting Period End Date : September 30, 2021

Status : Awarding Agency Approval

Remarks : "Please provide the following information:

State interest earned (current fiscal year): \$0.00

State interest expended (current fiscal year): \$0.00

Program income earned (current fiscal year): \$0.00

Program income earned breakdown (current fiscal year): 0.00

Program income expended (current fiscal year): \$0.00

Federal Agency Review

Reviewer Name

Phone #

Email

Review Date

Review Comments

2021-2022 EAC Progress Report

3. EAC Progress Report

1. State or Territory:

Missouri

2. Grant Number:

3. Report:

Annual (Oct 1 - Sept 30)

4. Grant:

Election Security

5. Reporting Period Start Date

10/01/2020

6. Reporting Period End Date

09/30/2021

4. Progress and Narrative

7. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities in accordance with your State Plan/Program Narrative. (*Note: Your activities should align with your Grant Cost Categories Table.*)

During this grant period the Missouri Office of the Secretary of State (MSOS) worked with the local election authorities (LEAs) to perform an election security audit/assessment (Election Security Assessment). Most LEAs have completed an Election Security Assessment. MSOS continues to provide resources to improve election security on the local level.

MSOS also is funding two separate programs – Managed Election Security Essentials (MESE) and Election Security Policy

Package (ESPP). The MESE provides LEAs network protection including intrusion prevention, computer security (i.e. anti-virus, anti-malware, and internet protection software), and periodic vulnerability scans of internal and external networks. The ESPP assists LEAs with customizing election security policies and plans, which includes an Election Information Security Policy, an Incident Response Plan, and a Continuity of Operations Plan.

MSOS used these funds to provide managed defense and threat intelligence on the state level.

MSOS provided security training to LEAs through multiple means including training on phishing emails, phishing phone calls, and in person access.

8. Describe any significant changes to your program during the project, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.

N/A

9. Issues Encountered:

Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if applicable. Address each issue separately and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

Currently issues encountered have to do shipment of products needed to implement security measures at the local level.

10. Provide a description of any training conducted, including security training.

All security training conducted was conducted between the LEAs and the third party vendor utilized for the Election Security Assessments or conducted between the MSOS and the third party vendor. The MSOS continues to undergo security training through multiple different means including training on phishing emails, phishing phone calls, and in person access. The MSOS requires all staff to actively participate in quarterly video trainings which require different quizzes to be taken to ensure that MSOS staff is up to date with regards to different security threats. MSOS also provided a Virtual Escape Room training on CyberSecurity.

11. Subgrants:

Did your office provide subawards to local jurisdictions during this reporting period?

Yes

12. Describe the activities carried out by your subgrantees during the reporting period.

The subgrantee paid vendors for equipment and cable installation for the CyberDefense Essentials Program.

Provide a breakdown of aggregate subawards expenditures across major categories.

Security : \$2,647.59

Total : \$2647.59

13. Match:

Describe how you are meeting or have met the matching requirement.

We have met our required \$1,988,444 match with the portion of the Elections Division's annual payments for MSOS software maintenance and support renewal costs for our statewide voter registration database.

14. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure table.

N/A

5. Expenditures

15. Current Period Amount Expended and Unliquidated Obligations

GRANT COST CATEGORIES - FEDERAL

Voter Registration Systems: : \$30656

Cyber Security: : \$610336

Subgrants: : \$2648

Unliquidated Obligations (If applicable, FFR Line 10f): : \$431981

Total : \$1075621

Comments:

16. GRANT COST CATEGORIES - MATCH

Cyber Security: : \$986467

Total : \$986467

Comments:

7. Expenditures

17. Confirm Total Grant Expenditure Amounts

Federal : \$643640

Match : \$986467

Total : \$1630107

OMB CONTROL NUMBER: 3265-0020

8. Certification

Name and Contact of the authorized certifying official of the recipient.

First Name

Patrick

Last Name

Cosby

Title

Accounting Specialist III

Phone Number

Email Address

18. Add another contact to send a copy of submission confirmation and edit link?

Signature of Certifying Official:



Signature of: Patrick James Cosby

9. Report Submitted to EAC



Thank you. Your Annual (Oct 1 - Sept 30) progress report for Election Security has been submitted to the EAC. Please keep the PDF download of your submission as grant record.