1. Federal Agency and Organizational Element to Which Report is Submitted

SECRETARY OF STATE, MAINE DEPT OF THE
101 STATE HOUSE STATION CROSS OFC B, AUGUSTA, ME 043330101

4a. DUNS Number 4b. EIN 5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)

6. Report Type
   - Quarterly
   - Semi-Annual
   - Annual
   - Final

7. Basis of Accounting
   - Cash
   - Accrual

8. Project/Grant Period (Month, Day, Year)
   From: March 28, 2018 To: September 30, 2099

9. Reporting Period End Date (Month, Day, Year)
   September 30, 2021

10. Transactions
    Cumulative

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total Federal Cash</td>
<td>$6,643,743.00</td>
</tr>
<tr>
<td>Cash Receipts</td>
<td>$177,135.00</td>
</tr>
<tr>
<td>Cash Disbursements</td>
<td>$6,466,608.00</td>
</tr>
<tr>
<td>Total Cash</td>
<td>$6,466,608.00</td>
</tr>
</tbody>
</table>

Recipient Share:

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total recipient share required</td>
<td>$859,102.00</td>
</tr>
<tr>
<td>Recipient share of expenditures</td>
<td>$702,553.00</td>
</tr>
<tr>
<td>Remaining recipient share to be provided</td>
<td>$156,549.00</td>
</tr>
</tbody>
</table>

Program Income:

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total Federal share of program income earned</td>
<td>$145,934.00</td>
</tr>
<tr>
<td>Program income expended in accordance with the deduction alternative</td>
<td>$0.00</td>
</tr>
<tr>
<td>Program income expended in accordance with the addition alternative</td>
<td>$0.00</td>
</tr>
<tr>
<td>Unexpended program income</td>
<td>$145,934.00</td>
</tr>
</tbody>
</table>

Indirect Expense

<table>
<thead>
<tr>
<th>Type</th>
<th>Rate</th>
<th>Period From</th>
<th>Period To</th>
<th>Base</th>
<th>Amount Charged</th>
<th>Federal Share</th>
</tr>
</thead>
<tbody>
<tr>
<td>Provisional</td>
<td>6.7</td>
<td>October 1, 2020</td>
<td>June 30, 2021</td>
<td>$84,296.00</td>
<td>$5,647.83</td>
<td>$5,655.00</td>
</tr>
<tr>
<td>Provisional</td>
<td>4.7</td>
<td>July 1, 2021</td>
<td>September 30, 2021</td>
<td>$52,800.00</td>
<td>$2,481.60</td>
<td>$2,471.00</td>
</tr>
</tbody>
</table>

| Totals: | $137,096.00 | $8,129.43 | $8,126.00 |

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:

*Please provide the following information:

13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)
Federal Agency & Organization : ELECTION ASSISTANCE COMMISSION

Federal Grant ID
Recipient Organization

DUNS Number
DUNS Status when Certified
EIN
Reporting Period End Date : September 30, 2021
Status : Awarding Agency Approval
Remarks : "Please provide the following information:

State interest earned (current fiscal year): $ 0
State interest expended (current fiscal year): $ 0
Program income earned (current fiscal year): $ 0
Program income earned breakdown (current fiscal year): $ 0
Program income expended (current fiscal year): $ 0

Note: the "Amount Charged" on both lines of Item 11e (as calculated based on the rounded provisional rate) differ slightly from the "Federal Share" amounts reported on each line in Item 11f. The amounts provided in Item 11f reflect the correct amounts charged based on the actual provisional rates for each period, i.e., 6.709 and 4.679 respectively.
"

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**Federal Agency Review**

Reviewer Name
Phone #
Email
Review Date
Review Comments
3. EAC Progress Report

1. **State or Territory:**
   Maine

2. **Grant Number:**
   ME20101001

3. **Report:**
   Annual (Oct 1 - Sept 30)

4. **Grant:**
   Election Security

5. **Reporting Period Start Date**
   10/01/2020

6. **Reporting Period End Date**
   09/30/2021

4. **Progress and Narrative**

7. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities in accordance with your State Plan/Program Narrative. *(Note: Your activities should align with your Grant Cost Categories Table.)*

   During the reporting period, we used federal funds to provide reimbursement to a small number of municipalities for absentee ballot drop boxes (most reimbursements were done using CARES Act funds). Additionally, funds were used for the design and deployment of an online accessible absentee ballot system before the November 2020 General Election as well as to contract for a resource to assist us with research and support for writing and issuing a Request for Proposals to select a new central
voter registration system.

8. Describe any significant changes to your program during the project, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.

N/A

9. Issues Encountered:

Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if applicable. Address each issue separately and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

The implementation of a new online accessible absentee ballot system was the most challenging project but was successfully accomplished in about 3 months, in time for use with the 2020 General Election. We expect to make improvements in the usability prior to the 2022 elections.

10. Provide a description of any training conducted, including security training.

N/A

11. Subgrants:

Did your office provide subawards to local jurisdictions during this reporting period?

No

12. Match:

Describe how you are meeting or have met the matching requirement.

We expended State general funds on leasing accessible voting place equipment and software, software for the issuance and generation of blank ballots for UOCAVA voters, costs for maintenance of the existing central voter registration system and for leasing of ballot tabulation equipment for about 325 municipalities statewide.

13. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure table.

N/A

5. Expenditures


GRANT COST CATEGORIES - FEDERAL

Voting Equipment and Processes: : $19196
Post-Election Auditing: : $0
Voter Registration Systems: : $52800
Cyber Security: : $0
Voter Education/Communications: : $0
Accessibility: : $65100
Staffing: : $0
Training: : $0
Subgrants: : $0
## Indirect Costs
(If applicable, FFR Line 11): $8126

## Unliquidated Obligations
(If applicable, FFR Line 10f): $0

Other (Specify below): $0

Other (Specify below): $0

Other (Specify below): $0

Total: $145222

Comments:

## 15. GRANT COST CATEGORIES - MATCH

**Voting Equipment and Processes:** $273682

**Post-Election Auditing:** $0

**Voter Registration Systems:** $84975

**Cyber Security:** $0

**Voter Education/Communications:** $0

**Accessibility:** $343896

**Staffing:** $0

**Training:** $0

**Subgrants:** $0

Indirect Costs (If applicable, FFR Line 11): $0

Unliquidated Obligations (If applicable, FFR Line 10f): $0

Other (Specify below): $0

Other (Specify below): $0

Other (Specify below): $0

Total: $702553

Comments:

## 7. Expenditures

### 16. Confirm Total Grant Expenditure Amounts

- Federal: $145222
- Match: $702553
- Total: $847775

**OMB CONTROL NUMBER:** 3265-0020

## 8. Certification

Name and Contact of the authorized certifying official of the recipient.

<table>
<thead>
<tr>
<th>First Name</th>
<th>Julie</th>
</tr>
</thead>
<tbody>
<tr>
<td>Last Name</td>
<td>Flynn</td>
</tr>
<tr>
<td>Title</td>
<td>Deputy Secretary of State</td>
</tr>
</tbody>
</table>
17. Add another contact to send a copy of submission confirmation and edit link?

Signature of Certifying Official:

Julie L. Flynn

Signature of: Julie L. Flynn

9. Report Submitted to EAC

Thank you. Your Annual (Oct 1 - Sept 30) progress report for Election Security has been submitted to the EAC. Please keep the PDF download of your submission as grant record.