# FEDERAL FINANCIAL REPORT

**ELECTION ASSISTANCE COMMISSION**

**MARYLAND STATE BOARD OF ELECTIONS**

**151 WEST ST STE 200, ANNAPOLIS, MD 214012852**

**1. Federal Agency and Organizational Element to Which Report is Submitted**

**2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment)**

**3. Recipient Organization (Name and complete address including Zip code)**

**4.**
- a. DUNS Number
- b. EIN

**5.**
- a. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)

**6.**
- a. Quarterly
- b. Semi-Annual
- c. Annual
- d. Final

**7. Basis of Accounting**
- a. Cash
- b. Accrual

**8. Project/Grant Period (Month, Day, Year)**
- From: March 28, 2018
- To: September 30, 2099

**9. Reporting Period End Date (Month, Day, Year)**
- September 30, 2021

**10. Transactions**

**Cumulative**

<table>
<thead>
<tr>
<th>Transaction</th>
<th>Cumulative</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Federal Cash (To report multiple grants separately, also use FFR Attachment):</strong></td>
<td>$15,010,079.00</td>
</tr>
<tr>
<td>a. Cash Receipts</td>
<td></td>
</tr>
<tr>
<td>b. Cash Disbursements</td>
<td>$4,619,041.00</td>
</tr>
<tr>
<td>c. Cash on Hand (line a minus b)</td>
<td>$10,391,038.00</td>
</tr>
<tr>
<td><strong>Federal Expenditures and Unobligated Balance:</strong></td>
<td>$15,010,079.00</td>
</tr>
<tr>
<td>d. Total Federal funds authorized</td>
<td></td>
</tr>
<tr>
<td>e. Federal share of expenditures</td>
<td>$4,619,041.00</td>
</tr>
<tr>
<td>f. Federal share of unliquidated obligations</td>
<td>$0.00</td>
</tr>
<tr>
<td>g. Total Federal share (sum of lines e and f)</td>
<td>$4,619,041.00</td>
</tr>
<tr>
<td>h. Unobligated balance of Federal funds (line d minus g)</td>
<td>$10,391,038.00</td>
</tr>
<tr>
<td><strong>Recipient Share:</strong></td>
<td></td>
</tr>
<tr>
<td>i. Total recipient share required</td>
<td>$1,942,461.00</td>
</tr>
<tr>
<td>j. Recipient share of expenditures</td>
<td>$768,401.00</td>
</tr>
<tr>
<td>k. Remaining recipient share to be provided (line i minus j)</td>
<td>$1,174,060.00</td>
</tr>
<tr>
<td><strong>Program Income:</strong></td>
<td>$50,015.00</td>
</tr>
<tr>
<td>i. Total Federal share of program income earned</td>
<td>$50,015.00</td>
</tr>
<tr>
<td>m. Program income expended in accordance with the deduction alternative</td>
<td>$0.00</td>
</tr>
<tr>
<td>n. Program income expended in accordance with the addition alternative</td>
<td>$46,419.00</td>
</tr>
<tr>
<td>o. Unexpended program income (line l minus line m and line n)</td>
<td>$3,596.00</td>
</tr>
</tbody>
</table>

**11. Indirect Expense**

- a. Type
- b. Rate
- c. Period From
- d. Period To
- e. Base
- f. Amount Charged
- g. Federal Share

<table>
<thead>
<tr>
<th>Type</th>
<th>Rate</th>
<th>Period From</th>
<th>Period To</th>
<th>Base</th>
<th>Amount Charged</th>
<th>Federal Share</th>
</tr>
</thead>
<tbody>
<tr>
<td>a.</td>
<td>b.</td>
<td>c.</td>
<td>d.</td>
<td>e.</td>
<td>f.</td>
<td>g.</td>
</tr>
<tr>
<td></td>
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<td></td>
<td></td>
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<td>$0.00</td>
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<td></td>
<td>$0.00</td>
</tr>
</tbody>
</table>

| Totals: | $0.00 | $0.00 | $0.00 |

**12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:**

*Please provide the following information:*

**13. Certification:** By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)

**Holland, Shelly**

**Director Finance**

**Holland, Shelly**

**January 14, 2022**

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**Paperwork Burden Statement**

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer.
Federal Agency & Organization: ELECTION ASSISTANCE COMMISSION

Federal Grant ID
Recipient Organization

DUNS Number
DUNS Status when Certified
EIN

Reporting Period End Date: September 30, 2021
Status: Awarding Agency Approval
Remarks: "Please provide the following information:

State interest earned (current fiscal year): $0.00
State interest expended (current fiscal year): $0.00
Program income earned (current fiscal year): $0.00
Program income earned breakdown (current fiscal year): N/A
Program income expended (current fiscal year): $0.00
"

Federal Agency Review
Reviewer Name
Phone #
Email
Review Date
Review Comments
3. EAC Progress Report

1. State or Territory:
   Maryland

2. Grant Number:

3. Report:
   Annual (Oct 1 - Sept 30)

4. Grant:
   Election Security

5. Reporting Period Start Date
   10/01/2020

6. Reporting Period End Date
   09/30/2021

4. Progress and Narrative

7. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities in accordance with your State Plan/Program Narrative. *(Note: Your activities should align with your Grant Cost Categories Table.)*

   Communication: The Maryland State Board of Elections (SBE) used the HAVA Election Security Grant for Digital Risk Management (DRM). DRM service detects and prevents social media misinformation, impersonation and other surface web manipulations/attacks on US elections.
Training: IT staff participated in Cyber Security and Networking continue education courses. Staff participated in virtual table top exercises with various stakeholders.

Cyber Vulnerabilities & Software: SBE has maintained computer systems operations and maintenance using patch management software to ensure all software updates are maintained on all systems. SBE used the HAVA Election Security Grant for managed firewall and virtual private network (VPN) services. The Election Security Consultant Team now includes a Data Security Specialist. The Data Security Specialist performances assessments documentation on all systems to detect anomalies and provides solutions to ensure the databases/ systems are secure.

Voting Equipment and Process: SBE purchased Cradlepoint routers to be used at early voting centers and during election day for voter registration. The HAVA Election Security Grant was use for the continual cost related to the required data for network connectivity with the MDVOTERS database for same day registration.

Voter Registration - SBE continued to use the HAVA Election Security Grant to maintain the RSA Security subscription cost for two-factor authentication for MDVOTER password protection for all users.

8. Describe any significant changes to your program during the project, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.
   N/A

9. Issues Encountered:

Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if applicable. Address each issue separately and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

   N/A

10. Provide a description of any training conducted, including security training.

   An individual from the IT department attended CompTIA Security + Certification Training.

   IT staff participated in Cyber Security and Networking continue education courses. Staff participated in virtual table top exercises with various stakeholders.

11. Subgrants:

Did your office provide subawards to local jurisdictions during this reporting period?
No

12. Match:

Describe how you are meeting or have met the matching requirement.

   1. The State match was used to conduct 2020 Presidential General Election post election audit.

   2. The State match was used to purchase AppScan software to test both on-premise and web application for security vulnerabilities.

   3. The State match was used purchase services from Maryland Department of Information Technology for Cyber Defense.

13. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure table.
5. Expenditures


GRANT COST CATEGORIES - FEDERAL

Voter Registration Systems: $8000
Cyber Security: $293595
Voter Education/Communications: $79166
Training: $2650
Other (Specify below): $15811
Other (Specify below): $81805
Voting Equipment and Processes: $237359
Total: $718386
Comments: Bank Fees: $15,811 Software & Licenses: $81,805

15. GRANT COST CATEGORIES - MATCH

Post-Election Auditing: $379500
Cyber Security: $35716
Total: $415216
Comments:

7. Expenditures

16. Confirm Total Grant Expenditure Amounts

Federal: $718386
Match: $415216
Total: $1133602

OMB CONTROL NUMBER: 3265-0020

8. Certification

Name and Contact of the authorized certifying official of the recipient.

First Name
Shelly

Last Name
Holland

Title
Director of Finance
17. Add another contact to send a copy of submission confirmation and edit link?

Signature of Certifying Official:

[Signature]

Signature of: Shelly Holland

9. Report Submitted to EAC

Thank you. Your Annual (Oct 1 - Sept 30) progress report for Election Security has been submitted to the EAC. Please keep the PDF download of your submission as grant record.