

FEDERAL FINANCIAL REPORT

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted ELECTION ASSISTANCE COMMISSION				2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment)			
3. Recipient Organization (Name and complete address including Zip code) Secretary Of State, Indiana 200 W WASHINGTON ST STE 201, INDIANAPOLIS, IN 462042731							
4a. DUNS	4b. EIN	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)		6. Report Type	7. Basis of Accounting		
				<input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	<input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual		
8. Project/Grant Period (Month, Day, Year) From: March 28, 2018				9. Reporting Period End Date (Month, Day, Year) September 30, 2021			
To: September 30, 2029							
10. Transactions					Cumulative		
<i>(Use lines a-c for single or combined multiple grant reporting)</i>							
Federal Cash (To report multiple grants separately, also use FFR Attachment):							
a. Cash Receipts					\$16,140,537.00		
b. Cash Disbursements					\$15,828,512.30		
c. Cash on Hand (line a minus b)					\$312,024.70		
<i>(Use lines d-o for single grant reporting)</i>							
Federal Expenditures and Unobligated Balance:							
d. Total Federal funds authorized					\$16,140,537.00		
e. Federal share of expenditures					\$15,828,512.30		
f. Federal share of unliquidated obligations					\$0.00		
g. Total Federal share (sum of lines e and f)					\$15,828,512.30		
h. Unobligated balance of Federal funds (line d minus g)					\$312,024.70		
Recipient Share:							
i. Total recipient share required					\$2,088,844.00		
j. Recipient share of expenditures					\$1,401,530.00		
k. Remaining recipient share to be provided (line i minus j)					\$687,314.00		
Program Income:							
l. Total Federal share of program income earned					\$194,110.85		
m. Program income expended in accordance with the deduction alternative					\$0.00		
n. Program income expended in accordance with the addition alternative					\$0.00		
o. Unexpended program income (line l minus line m and line n)					\$194,110.85		
11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
g. Totals:					\$0.00	\$0.00	\$0.00
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation: "Please provide the following information:							
13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)							
a. Typed or Printed Name and Title of Authorized Certifying Official Phelps, Jay Director of Elections Modernization				c. Telephone (Area code, number, and extension)			
b. Signature of Authorized Certifying Official Phelps, Jay				d. Email Address			
e. Date Report Submitted (Month, Day, Year) March 25, 2022							

Standard Form 425
OMB Approval Number: 4040-0014
Expiration Date: 02/28/2022

Paperwork Burden Statement
According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

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(Additional Page)

Federal Agency & Organization

ELECTION ASSISTANCE COMMISSION

Federal Grant ID

Recipient Organization

DUNS

DUNS Status when Certified

EIN

Reporting Period End Date

Status

Awarding Agency Approval

Remarks

"Please provide the following information:

State interest earned (current fiscal year): \$3329.85

State interest expended (current fiscal year): \$0

Program income earned (current fiscal year): \$0

Program income earned breakdown (current fiscal year): \$ Source: e.g. Sale of registration list

Program income expended (current fiscal year): \$0

"

Federal Agency Review

Reviewer Name : Augustyn, Arielle

Phone #

Email

Review Date

Review Comments

2021-2022 EAC Progress Report

1. Login

Please enter the username and password provided by the EAC to begin the Progress Report. If you require assistance or have any questions, please contact Grants@eac.gov.

2. Verification

reCAPTCHA

Login Capture

3. EAC Progress Report

1. State or Territory:

Indiana

2. Grant Number:

3. Report:

Annual (Oct 1 - Sept 30)

4. Grant:

Election Security

5. Reporting Period Start Date

10/01/2020

6. Reporting Period End Date

09/30/2021

4. Progress and Narrative

7. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities in accordance with your State Plan/Program Narrative. (Note: Your activities should align with your Grant Cost Categories Table.)

During this grant period the Secretary of State's Office reimbursed a number of vendors the provide services related to Indiana elections.

8. Describe any significant changes to your program during the project, including changes to your original State

Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.

N/A

9. Issues Encountered:

Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if applicable. Address each issue separately and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

N/A

10. Provide a description of any training conducted, including security training.

N/A

11. Subgrants:

Did your office provide subawards to local jurisdictions during this reporting period?

Yes

12. Describe the activities carried out by your subgrantees during the reporting period.

Voting Equipment Category:

The Secretary of State reimbursed MicroVote counties in Indiana for the purchase of (VVPAT) machines. Within the grant period 10/01/2020 - 09/30/2021, \$ 1,916,658.00 of the Election Security Grant was expended for this activity, and carried out by the sub grantees, Steuban and Tippecanoe Counties - MicroVote Counties.

The Secretary of State's Office reimbursed Hart InterCivic counties in Indiana for the purchase of Hart InterCivic Verity Duo voting machines. Within the grant period 10/01/2020 - 09/30/2021, \$ 2,047,910.40 of the Election Security Grant was expended for this activity, and carried out by the sub grantees: Benton, Fountain, Fulton, Gibson, Harrison, Ohio, Ripley, Switzerland, Warren, Washington, and Wayne Counties - Hart InterCivic Counties.

The Secretary of State's Office paid RBM Consulting, customer service vender for Unisyn Voting Solutions Inc. for election related services to the 6 Indiana counties utilizing Unisyn voting machines. Within the grant period 10/01/2020 - 09/30/2021, \$2,624,429.00 of the Election Security Grant was expended for this activity.

The Secretary of State's Office awarded Washington County \$1,690.00 for the purpose of voting equipment disposal.

Provide a breakdown of aggregate subawards expenditures across major categories.

Voting Equipment : \$6,590,687.40

Voter Registration Systems : \$

Security : \$

Communications : \$

Other (Voter Reg. Security Equipment) : \$

Other (Specify above) : \$

Other (Specify above) : \$

Total : \$6590687.4

13. Match:

Describe how you are meeting or have met the matching requirement.

We have not yet met the match requirement.

14. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure table.

To date, the Secretary of State reimbursed a total of 56 MicroVote counties for the purchase of a total of 2,977 Voter Verified Paper Audit Trail (VVPAT) Machines using funds from the Election Security Grant (federal HAVA) funds and state cybersecurity funds. Within the grant period 10/01/2020 - 09/30/2021, \$1,916,658.00 of the Election Security Grant was expended for this activity, and carried out by the sub grantees, Steuban and Tippecanoe Counties - MicroVote Counties.

To date the Secretary of State's Office reimbursed 11 Hart InterCivic counties in Indiana for the purchase of a total of 205 Hart InterCivic Verity Duo Voting Machines using funds from the Election Security Grant (federal HAVA) funds and state cybersecurity funds. Within the grant period 10/01/2020 - 09/30/2021, \$2,047,910.40 of the Election Security Grant was expended for this activity, and carried out by the sub grantees: Benton, Fountain, Fulton, Gibson, Harrison, Ohio, Ripley, Switzerland, Warren, Washington, and Wayne Counties - Hart InterCivic Counties.

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Login Capture

5. Expenditures

15. Current Period Amount Expended and Unliquidated Obligations

GRANT COST CATEGORIES - FEDERAL

- Voting Equipment and Processes: : \$0
- Post-Election Auditing: : \$0
- Voter Registration Systems: : \$0
- Cyber Security: : \$404512
- Voter Education/Communications: : \$0
- Accessibility: : \$0
- Staffing: : \$0
- Training: : \$0
- Subgrants: : \$6590687
- Indirect Costs (If applicable, FFR Line 11): : \$0
- Unliquidated Obligations (If applicable, FFR Line 10f): : \$0
- Other (Specify below) : \$0
- Other (Specify below) : \$16520

Total : \$7011719

Comments: Cybersecurity Category: In an effort to combat cybersecurity issues and foreign interference in elections, the Secretary of State paid for 86 counties to be deployed with FireEye hardware and software for 24/7 monitoring. Within the grant period 10/01/2020 -09/30/2021, \$312,230.00 of the Election Security Grant was expended for this activity. The Secretary of State's Office paid Baker Tilly the Project Management vendor supervising several election related projects. Within the grant period 10/01/2020 -09/30/2021, \$ 92,282.00 of the Election Security Grant was expended for this activity. Other Category: The Secretary of State's Office paid GeoConvergence for services related to GIS Updates that occurred throughout the State regarding the re-predicting and registering that took place. Within the grant period 10/01/2020 - 09/30/2021, \$16,520.00 of the Election Security Grant was expended for this activity.

16. GRANT COST CATEGORIES - MATCH

Cyber Security: : \$723711

Voting Equipment and Processes: : \$297500

Accessibility: : \$565

Total : \$1021776

Comments: Voting Equipment Category (MicroVote): \$297,500.00 (total) Cybersecurity Category: \$723,711.00 (total) BT \$117,220.00 FireEye \$606,491.00 Accessibility Category \$565.00 (total) - Civix (Indiana Statewide Voter Registration System vendor)

7. Expenditures

17. Confirm Total Grant Expenditure Amounts

Federal : \$7,011,719.40

Match : \$1,021,776.00

Total : \$8033495.4

OMB CONTROL NUMBER: 3265-0020

8. Certification

Name and Contact of the authorized certifying official of the recipient.

First Name

Molly

Last Name

Timperman

Title

Deputy Director of Elections Modernization, Legislative Affairs, and HAVA Administration

Phone Number

Email Address

18. Add another contact to send a copy of submission confirmation and edit link?

Signature of Certifying Official:



Signature of: Molly Timperman

9. Report Submitted to EAC



Thank you. Your Annual (Oct 1 - Sept 30) progress report for Election Security has been submitted to the EAC. Please keep the PDF download of your submission as grant record.