

**FEDERAL FINANCIAL REPORT**

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted  <b>ELECTION ASSISTANCE COMMISSION</b>	2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment)
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3. Recipient Organization (Name and complete address including Zip code)

**CALIFORNIA SECRETARY OF STATE**  
**1500 11TH ST, SACRAMENTO, CA 958145701**

4a. DUNS Number	4b. EIN	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)	6. Report Type <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	7. Basis of Accounting <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual
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8. Project/Grant Period (Month, Day, Year) From: <b>March 28, 2018</b> To: <b>September 30, 2019</b>	9. Reporting Period End Date (Month, Day, Year) <b>September 30, 2021</b>
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**10. Transactions** Cumulative

*(Use lines a-c for single or combined multiple grant reporting)*

**Federal Cash (To report multiple grants separately, also use FFR Attachment):**

a. Cash Receipts	\$73,502,386.00
b. Cash Disbursements	\$46,417,512.42
c. Cash on Hand (line a minus b)	\$27,084,873.58

*(Use lines d-o for single grant reporting)*

**Federal Expenditures and Unobligated Balance:**

d. Total Federal funds authorized	\$73,502,386.00
e. Federal share of expenditures	\$46,360,272.42
f. Federal share of unliquidated obligations	\$0.00
g. Total Federal share (sum of lines e and f)	\$46,360,272.42
h. Unobligated balance of Federal funds (line d minus g)	\$27,142,113.58

**Recipient Share:**

i. Total recipient share required	\$9,516,646.00
j. Recipient share of expenditures	\$9,516,646.00
k. Remaining recipient share to be provided (line i minus j)	\$0.00

**Program Income:**

l. Total Federal share of program income earned	\$1,134,305.97
m. Program income expended in accordance with the deduction alternative	\$0.00
n. Program income expended in accordance with the addition alternative	\$0.00
o. Unexpended program income (line l minus line m and line n)	\$1,134,305.97

11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
	Provisional	62.7	October 1, 2020	September 30, 2021	\$1,091.86	\$684.60	\$684.60
<b>g. Totals:</b>					\$1,091.86	\$684.60	\$684.60

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:

"Please provide the following information:

**13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)**

a. Typed or Printed Name and Title of Authorized Certifying Official  <b>Yang, Fan</b> <b>Accounting Administrator I (Supervisor)</b>	c. Telephone (Area code, number, and extension)  d. Email Address
b. Signature of Authorized Certifying Official  <b>Yang, Fan</b>	e. Date Report Submitted (Month, Day, Year)  <b>January 11, 2022</b>

Standard Form 425  
 OMB Approval Number: 4040-0014  
 Expiration Date: 02/28/2022

**Paperwork Burden Statement**  
 According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

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(Additional Page)

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Federal Agency & Organization : ELECTION ASSISTANCE COMMISSION

Federal Grant ID

Recipient Organization

DUNS Number

DUNS Status when Certified

EIN

Reporting Period End Date

Status : Report Certified/Pending Agency Approval

Remarks : "Please provide the following information:

State interest earned (current fiscal year): \$0

State interest expended (current fiscal year): \$0

Program income earned (current fiscal year): \$0

Program income earned breakdown (current fiscal year): \$0 Source: e.g. Sale of registration list

Program income expended (current fiscal year): \$0

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The variance between Line 10b. and 10e explanation: We have a 57,240 variance is due to the duplication of withdrawal happened during Sep 2021. But, we identified and fixed this duplicated withdrawal only in the month of Oct 2021.

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**Federal Agency Review**

Reviewer Name

Phone #

Email

Review Date

Review Comments

# 2021-2022 EAC Progress Report

## 3. EAC Progress Report

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**1. State or Territory:**

California

**2. Grant Number:**

**3. Report:**

Annual (Oct 1 - Sept 30)

**4. Grant:**

Election Security

**5. Reporting Period Start Date**

10/01/2020

**6. Reporting Period End Date**

09/30/2021

## 4. Progress and Narrative

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**7. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities in accordance with your State Plan/Program Narrative. (Note: Your activities should align with your Grant Cost Categories Table.)**

During this reporting period, the HAVA election security funding (1) reimbursed California counties in the form of county contracts to support county implementation of cyber security safeguards and associated infrastructure enhancements to protect against cyber risks and vulnerabilities, (2) provided to California counties to improve the administration of elections through the implementation of vote centers and associated voting process enhancements; (3) provided to California counties for support of

polling place accessibility through the training of counties on accessibility requirements and mitigations and providing support to counties to improve accessibility of polling places; and (4) used for COVID-19 costs to prevent, prepare for, and respond to coronavirus in the November 3, 2020 General Election. The support included county and state support for costs associated with conducting the election in the face of a pandemic including such things as the higher level of voting by mail, ensuring accessibility by all populations, acquiring additional secure ballot drop boxes, staff and poll worker training on cleaning and prevention processes, notifying and educating the public of voting process changes and requirements, acquiring personal protective equipment for election workers and voters, additional staffing costs, cyber and physical security, specialized training of staff and election workers, cleaning and disinfection, and polling locations or election facilities.

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**8. Describe any significant changes to your program during the project, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.**

N/A

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**9. Issues Encountered:**

**Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if applicable. Address each issue separately and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.**

N/A

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**10. Provide a description of any training conducted, including security training.**

California counties were reimbursed for cyber security contracts that were provided to California's 58 counties in prior reporting periods. Under these contracts, counties are allowed to submit claims for reimbursement for the implementation of cyber security safeguards and associated infrastructure enhancements to protect against cyber risks and vulnerabilities. As a condition of receiving funds under the contract, counties must conduct a security assessment and conduct security awareness training for all staff. Training must include cyber security best practices, including how to recognize a phishing email, creating and maintaining strong passwords, utilizing multi-factor authentication, and avoiding dangerous applications. HAVA staff ensured that for any claims of reimbursement during the reporting period, the appropriate cyber security training took place during review of the claims for reimbursement.

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**11. Subgrants:**

**Did your office provide subawards to local jurisdictions during this reporting period?**

Yes

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**12. Describe the activities carried out by your subgrantees during the reporting period.**

California counties were reimbursed for cyber security enhancements, implementing vote centers to improve the administration of elections, improving the accessibility for voters, and purchasing voting equipment. Counties were additionally reimbursed for increased costs as a result of COVID-19 during the November 2020 General Election related to voting equipment, security, communications, accessibility, staffing, and facilities.

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**Provide a breakdown of aggregate subawards expenditures across major categories.**

Voting Equipment : \$24,831,350.82  
Security : \$1,141,858.45  
Communications : \$7,639,633.37  
Other (Voter Reg. Security Equipment) : \$437,882.19  
Other (Specify above) : \$5,551,635.34  
Other (Specify above) : \$1,222,418.59

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Total : \$40824778.76

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**13. Match:**

**Describe how you are meeting or have met the matching requirement.**

California authorized \$46.1 million in the FY 20-21 state General Fund budget to satisfy the match requirement.

**14. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure table.**

Counties were reimbursed for obtaining the following voting equipment during the reporting period:

- o 32,016 Ballot Marking Devices
- o 14 Imagecast Central Tabulators
- o 250 MBP Kit #2 Portable High Volume (3400) Oki Data Printer 712, Laptop, cables and power cords
- o 28 MBP Carts
- o 250 MBP #2 Kit Oki C712 Hardware Warranty
- o Completion of System Acceptance
- o 15 Dominion MBP printers.
- o 9 each 9 ICX kits, 9 ATI kits, 9 voting booths, 9 transport bags
- o 100 vDrives for Hart voting system
- o 8 Mobile Ballot Printing Kits
- o 10 TouchWriters
- o 8 Verity Casters
- o Various Misc. ePollBook parts
- o 8 Mobile Ballot printers
- o 24 ePollBooks
- o 5 Mobile Ballot Printers
- o 1 Verity workstations, printers, scanners, misc.
- o 1 Verity workstations, scanners, printers, misc.
- o 1 ESS BOD system
- o 1 ESS BOD system

**5. Expenditures**

**15. Current Period Amount Expended and Unliquidated Obligations**

**GRANT COST CATEGORIES - FEDERAL**

Subgrants : \$40824779

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Total : \$40824779

**Comments:**

**16. GRANT COST CATEGORIES - MATCH**

Subgrants : \$9516646

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Total : \$9516646

**Comments:**

**7. Expenditures**

**17. Confirm Total Grant Expenditure Amounts**

Federal : \$40,824,778.76

Match : \$9,516,646.00

Total : \$50341424.76

OMB CONTROL NUMBER: 3265-0020

## 8. Certification

Name and Contact of the authorized certifying official of the recipient.

**First Name**

Jessica

**Last Name**

Godina

**Title**

HAVA Coordinator

**Phone Number**

**Email Address**

18. Add another contact to send a copy of submission confirmation and edit link?

Yes!

19.

**First Name**

Susan

**Last Name**

Lapsley

**Title**

HAVA Director

**Email Address**

Signature of Certifying Official:

A handwritten signature in black ink, appearing to read "J. Godina", with a stylized flourish at the end.

Signature of: Jessica Godina