

FEDERAL FINANCIAL REPORT

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted ELECTION ASSISTANCE COMMISSION	2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment)
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3. Recipient Organization (Name and complete address including Zip code)

Secretary of State, Alabama
600 Dexter Ave Ste S-105, Montgomery, AL 361303024

4a. DUNS Number	4b. EIN	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)	6. Report Type <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	7. Basis of Accounting <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual
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8. Project/Grant Period (Month, Day, Year) From: March 28, 2018 To: September 30, 2019	9. Reporting Period End Date (Month, Day, Year) September 30, 2021
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10. Transactions Cumulative

(Use lines a-c for single or combined multiple grant reporting)

Federal Cash (To report multiple grants separately, also use FFR Attachment):

a. Cash Receipts	\$13,088,416.00
b. Cash Disbursements	\$7,592,949.74
c. Cash on Hand (line a minus b)	\$5,495,466.26

(Use lines d-o for single grant reporting)

Federal Expenditures and Unobligated Balance:

d. Total Federal funds authorized	\$13,088,416.00
e. Federal share of expenditures	\$7,592,949.74
f. Federal share of unliquidated obligations	\$0.00
g. Total Federal share (sum of lines e and f)	\$7,592,949.74
h. Unobligated balance of Federal funds (line d minus g)	\$5,495,466.26

Recipient Share:

i. Total recipient share required	\$1,693,624.00
j. Recipient share of expenditures	\$747,020.00
k. Remaining recipient share to be provided (line i minus j)	\$946,604.00

Program Income:

l. Total Federal share of program income earned	\$274,080.15
m. Program income expended in accordance with the deduction alternative	\$0.00
n. Program income expended in accordance with the addition alternative	\$0.00
o. Unexpended program income (line l minus line m and line n)	\$274,080.15

11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
g. Totals:					\$0.00	\$0.00	\$0.00

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:

"Please provide the following information:

13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)

a. Typed or Printed Name and Title of Authorized Certifying Official Merrill, John Secretary of State	c. Telephone (Area code, number, and extension) d. Email Address
b. Signature of Authorized Certifying Official Merrill, John	e. Date Report Submitted (Month, Day, Year) January 11, 2022

Standard Form 425
 OMB Approval Number: 4040-0014
 Expiration Date: 02/28/2022

Paperwork Burden Statement
 According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

FEDERAL FINANCIAL REPORT

(Additional Page)

Federal Agency & Organization : ELECTION ASSISTANCE COMMISSION

Federal Grant ID

Recipient Organization : Secretary of State, Alabama

DUNS Number :

DUNS Status when Certified :

EIN : September 30, 2021

Reporting Period End Date : Awarding Agency Approval

Status : "Please provide the following information:

Remarks

State interest earned (current fiscal year): \$13.86

State interest expended (current fiscal year): \$0.00

Program income earned (current fiscal year): \$

Program income earned breakdown (current fiscal year): \$ Source: e.g. Sale of registration list

Program income expended (current fiscal year): \$

"

Federal Agency Review

Reviewer Name

Phone #

Email

Review Date

Review Comments :

2021-2022 EAC Progress Report

3. EAC Progress Report

1. State or Territory:

Alabama

2. Grant Number:

3. Report:

Annual (Oct 1 - Sept 30)

4. Grant:

Election Security

5. Reporting Period Start Date

10/01/2020

6. Reporting Period End Date

09/30/2021

4. Progress and Narrative

7. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities in accordance with your State Plan/Program Narrative. (Note: Your activities should align with your Grant Cost Categories Table.)

The computerized voter registration system continued to be supported through HAVA monies in an effort to administer a secure, uniform voter registration system throughout the entire state. New funds dispersed were used to replace and upgrade outdated ballot marking machines in 58 counties. Funds were also used to inform voters of pandemic safety protocols and absentee voting changes during the 2020 November General Election.

8. Describe any significant changes to your program during the project, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.

N/A

9. Issues Encountered:

Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if applicable. Address each issue separately and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

N/A

10. Provide a description of any training conducted, including security training.

N/A

11. Subgrants:

Did your office provide subawards to local jurisdictions during this reporting period?

Yes

12. Describe the activities carried out by your subgrantees during the reporting period.

Funds dispersed were used to replace and upgrade outdated ballot marking machines in 58 counties from the AutoMark to the Express Vote machines.

Provide a breakdown of aggregate subawards expenditures across major categories.

Voting Equipment : \$2,537,631.46

Election Auditing : \$0.00

Voter Registration Systems : \$0.00

Security : \$0.00

Communications : \$0.00

Other (Voter Reg. Security Equipment) : \$0.00

Other (Specify above) : \$0.00

Other (Specify above) : \$0.00

Total : \$2537631.46

13. Match:

Describe how you are meeting or have met the matching requirement.

\$439,000.00 was used in the subgrants to upgrade ballot marking machines.

14. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure table.

1,585 Express Vote machines were either completely or partially funded through Election Security Grant funds in this reporting period.

5. Expenditures

15. Current Period Amount Expended and Unliquidated Obligations

GRANT COST CATEGORIES - FEDERAL

Voting Equipment and Processes: : \$0
Post-Election Auditing: : \$0
Voter Registration Systems: : \$676293
Cyber Security: : \$40065
Voter Education/Communications: : \$175620
Accessibility: : \$0
Staffing: : \$0
Training: : \$0
Subgrants: : \$3207379

Total : \$4099357

Comments:

16. GRANT COST CATEGORIES - MATCH

Subgrants: : \$439000

Total : \$439000

Comments:

7. Expenditures

17. Confirm Total Grant Expenditure Amounts

Federal : \$4099357
Match : \$439000

Total : \$4538357

OMB CONTROL NUMBER: 3265-0020

8. Certification

Name and Contact of the authorized certifying official of the recipient.

First Name

John

Last Name

Merrill

Title

Secretary of State

Phone Number

Email Address

18. Add another contact to send a copy of submission confirmation and edit link?

Yes!

19.

First Name

Taylor

Last Name

Freeman

Title

Chief Financial Officer

Email Address

Signature of Certifying Official:



Signature of: John H Merrill

9. Report Submitted to EAC



Thank you. Your Annual (Oct 1 - Sept 30) progress report for Election Security has been submitted to the EAC. Please keep the PDF download of your submission as grant record.