

FEDERAL FINANCIAL REPORT

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted ELECTION ASSISTANCE COMMISSION				2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment)					
3. Recipient Organization (Name and complete address including Zip code) LIEUTENANT GOVERNOR OF ALASKA, THE OFFICE OF 240 Main St Ste 400, Juneau, AK 998012108									
4a. DUNS Number		4b. EIN		5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)		6. Report Type <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final		7. Basis of Accounting <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual	
8. Project/Grant Period (Month, Day, Year) From: March 28, 2018 To: September 30, 2019				9. Reporting Period End Date (Month, Day, Year) September 30, 2021					
10. Transactions Cumulative (Use lines a-c for single or combined multiple grant reporting)									
Federal Cash (To report multiple grants separately, also use FFR Attachment):									
a. Cash Receipts				\$6,000,000.00					
b. Cash Disbursements				\$3,998,246.20					
c. Cash on Hand (line a minus b)				\$2,001,753.80					
(Use lines d-o for single grant reporting)									
Federal Expenditures and Unobligated Balance:									
d. Total Federal funds authorized				\$6,000,000.00					
e. Federal share of expenditures				\$3,998,246.20					
f. Federal share of unliquidated obligations				\$0.00					
g. Total Federal share (sum of lines e and f)				\$3,998,246.20					
h. Unobligated balance of Federal funds (line d minus g)				\$2,001,753.80					
Recipient Share:									
i. Total recipient share required				\$2,313,829.79					
j. Recipient share of expenditures				\$210,434.01					
k. Remaining recipient share to be provided (line i minus j)				\$2,103,395.78					
Program Income:									
l. Total Federal share of program income earned				\$168,778.80					
m. Program income expended in accordance with the deduction alternative				\$0.00					
n. Program income expended in accordance with the addition alternative				\$0.00					
o. Unexpended program income (line l minus line m and line n)				\$168,778.80					
11. Indirect Expense		a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share	
				g. Totals:		\$0.00	\$0.00	\$0.00	
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation: "Please provide the following information:									
13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)									
a. Typed or Printed Name and Title of Authorized Certifying Official Forrest, Sharon Administrative Officer, Elections						c. Telephone (Area code, number, and extension)			
b. Signature of Authorized Certifying Official Forrest, Sharon						d. Email Address			
e. Date Report Submitted (Month, Day, Year) December 13, 2021									

Standard Form 425
OMB Approval Number: 4040-0014
Expiration Date: 02/28/2022

Paperwork Burden Statement
 According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

FEDERAL FINANCIAL REPORT

(Additional Page)

Federal Agency & Organization : ELECTION ASSISTANCE COMMISSION

Federal Grant ID : AK20101001

Recipient Organization : LIEUTENANT GOVERNOR OF ALASKA, THE OFFICE OF
240 Main St Ste 400, Juneau, AK 998012108

DUNS Number : 088211875

DUNS Status when Certified : ACTIVE (as of 12/13/2021)

EIN : 1926001185L9

Reporting Period End Date : September 30, 2021

Status : Report Certified/Pending Agency Approval

Remarks : "Please provide the following information:

State interest earned (current fiscal year): \$361.23
State interest expended (current fiscal year): \$0.00
Program income earned (current fiscal year): \$0.00
Program income earned breakdown (current fiscal year): \$0.00
Program income expended (current fiscal year): \$0.00

Federal Agency Review

Reviewer Name :
Phone # :
Email :
Review Date :
Review Comments :

2021-2022 EAC Progress Report

3. EAC Progress Report

1. State or Territory:

Alaska

2. Grant Number:

3. Report:

Annual (Oct 1 - Sept 30)

4. Grant:

Election Security

5. Reporting Period Start Date

10/01/2020

6. Reporting Period End Date

09/30/2021

4. Progress and Narrative

7. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities in accordance with your State Plan/Program Narrative. (Note: Your activities should align with your Grant Cost Categories Table.)

Cyber Security: During this reporting period the Division of Elections hired an Election Security Officer and a Division Operations Manager to oversee election security projects. Personnel expenses for the cyber security position equaled \$136,655.54 (\$129,822.76 federal share principal, \$6,832.78 state match principal). Other cyber security costs for travel and miscellaneous business support supplies cost \$2362.67 (\$2244.54 federal share principal, \$118.13 state match). Both personnel worked with

the Voter Registration and Election Management System (VREMS) software vendor to upgrade a further secure the voter registration system architecture. They assisted a Certified Information Systems Auditor (CISA) with penetration testing of the voter registration system applications and elections user vulnerability assessments. Development was also started on a comprehensive cybersecurity procedures policy for the Division based on the National Institute of Standards and Technology (NIST) Cybersecurity Framework.

Also during this period, the division experienced a data exposure of residents personally identifiable information. The division immediately took action to stop further exposure. Residents were provided with credit monitoring services at no charge for one-year. The division hired five temporary staff in December of 2020 to manage a toll-free number for residents to contact the division. Two temporaries remained on staff through February of 2021 and currently two permanent staff members continue to manage incoming calls. Furthermore, the division hired two and one-half full-time staff, including an Election Security Officer to enhance its focus on cyber security related concerns, including the development of stronger relations with our federal partners.

The division is established in a monthly to bi-monthly working group with the State's Governor's Information Technology and Office of Information Technology and continues to build its cybersecurity procedures policy working with these entities.

The division continues to receive weekly and monthly cyber hygiene scans offered by CISA and reviews and addresses vulnerabilities accordingly. The division has been working with CISA to perform another Remote Vulnerability Assessment that is slated for January/February of 2022. This will be in conjunction with the State's Governor's Information Technology and Office of Information Technology group.

In 2020, the division contracted our escrow agent for \$157,930 to conduct an Independent Build Verification (IBV) of the deposited VREMS application code and to perform a code review to detect any vulnerabilities for remediation. The IBV was successful, and the code review is currently in-progress.

The division has contracted with a third-party vendor for network monitoring and penetration testing of the VREMS environment 24x7 for the cost of \$71,000 In addition, the division is participating with the State Rapid7 scans for security postures and known vulnerabilities to assist with security hardening.

The division is in the process of exploring updates to the VREMS systems platform to determine its future direction for the upcoming 10 to 15 years life.

Communications: During this reporting period, the Division reallocated the Public Relations Manager to manage election security communications and information. Personnel expenses for the communications position totaled \$89,105.35 (\$84,650.08 federal share principal, \$4,455.27 state match principal). Other communications costs for printing, postage, and miscellaneous business support supplies cost \$102,452.13 (\$97,329.52 federal share principal, \$5,122.61 state match principal). In October a data exposure involving some personal voter information was discovered. The PR Manager helped draft press and voter information to educate the public on what happened and what is being done to resolve it. Due to the data exposure, much of the PR Managers time has been spent communicating with media and all voters (including those whose information was not exposed) the security measures we have taken. Additionally, there was collaboration with the Security Officer to rebuild the website to make our security elements and procedures more accessible and evident.

The Public Relations Manager continued to communicate with the media, but mostly with voters who have questions and concerns, about the data exposure and the security measures we have taken. The PR Manager has spent time reaching out to voters to learn what kind of information voters are concerned about regarding election security and what they would like to know more about. The PR Manager has been using this feedback, as well as research, to draft new and extensive copy for the website's Election Security pages to ensure that the updated site is thorough and easy for all audiences to comprehend. They have also been encouraging the media and voters to regard the division as the trusted expert in Alaska's election security and promoting the division as the most accurate and up to date resource. The PR Manager and the Elections Security Officer continue to collaborate on rebuilding the website.

Domain improvements: The Division is working to segment user workstations and resource servers into separate domains, isolated from other State Departments. New server hardware and infrastructure has been purchased to facilitate this move. Servers and workstations are being hardened according to CIS Level 1 standards.

Voting Equipment: During this reporting period funded were expended to pay for successful implementation and election support

services on the Dominion ballot tabulation system. Personnel expenses for time devoted to the voting system equaled \$18,326.20 (\$17,409.89 federal share principal, \$916.31 state match principal). Services included on-site support during the elections, election database setup, support for logic and accuracy testing, and completion of installation at the Elections Offices. Funds were additionally expended to pay for the annual licensing and warranty fees for 304 precinct scanners, 444 accessible voting machines, 12 central scanning machines, and the host communications manager module. Support products such as miscellaneous business supplies (additional memory cards, power cords, protective cases, and tactile interfaces), connectivity costs, and freight/transportation costs for the Dominion voting equipment were also acquired with these funds. Later in the fiscal year, funds were expended to train a new Election Supervisor who was hired after the initial implementation.

8. Describe any significant changes to your program during the project, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.

Cyber Security: Election employees are now required to complete annual Cyber Security Awareness training provided by the State of Alaska and a third-party vendor. Additionally, the Division receives and reviews weekly Cyber Hygiene scans on the Voter Registration System applications. Daily network monitoring reports will be available soon.

Communications: The data exposure in late 2020 was an unplanned event that altered our original plan.

Communication resources were allocated to educate the public on security options and how to protect their confidential information.

9. Issues Encountered:

Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if applicable. Address each issue separately and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

No issues regarding implementation were encountered during this period.

10. Provide a description of any training conducted, including security training.

The Election Security Officer complete a Certified Information Systems Security Officer course.

The Division, along with other State stakeholders participated in the Tabletop the Vote 2021: National Election Cyber Exercise. Division employees continue to take advantage of the EI/MS-ISAC Monthly Membership call whenever possible.

11. Subgrants:

Did your office provide subawards to local jurisdictions during this reporting period?

No

12. Match:

Describe how you are meeting or have met the matching requirement.

Match was met through two separate appropriations from the state. A first match of \$1,626,612 in general funds was appropriated in FY19 to the Election Fund as a result of a reappropriation in Ch. 19, SLA 2018, Sec. 15(b). A second match of \$600,000 in general funds was appropriated in 2020 to the Election Fund in HB 234, Section 19 (a); SB 174, Section 19 (a).

13. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure table.

During this period, two high speed scanners were purchased to upgrade two of the Division's ImageCast Central ballot scanning systems. The older scanners were not compatible with 17" ballots and needed to be upgraded to accommodate larger ballot sizes. The scanners cost the Division \$18,652.18; this cost is folded into the Voting Equipment expenditure section on the table.

5. Expenditures

14. Current Period Amount Expended and Unliquidated Obligations

GRANT COST CATEGORIES - FEDERAL

Voting Equipment and Processes: : \$580721
Voter Registration Systems: : \$53148
Cyber Security: : \$136437
Voter Education/Communications: : \$181980
Training: : \$4080
Unliquidated Obligations (If applicable, FFR Line 10f): : \$0
Other (Specify below) : \$0

Total : \$956366

Comments:

15. GRANT COST CATEGORIES - MATCH

Voting Equipment and Processes: : \$30564
Voter Registration Systems: : \$2797
Cyber Security: : \$7181
Voter Education/Communications: : \$9578
Training: : \$215
Other (Specify below) : \$0
Unliquidated Obligations (If applicable, FFR Line 10f): : \$0

Total : \$50335

Comments:

7. Expenditures

16. Confirm Total Grant Expenditure Amounts

Federal : \$956,365.21
Match : \$50,335.01

Total : \$1006700.22

OMB CONTROL NUMBER: 3265-0020

8. Certification

Name and Contact of the authorized certifying official of the recipient.

First Name

Sharon

Last Name

Forrest

Title

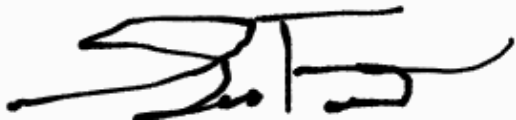
Administrative Officer

Phone Number

Email Address

17. Add another contact to send a copy of submission confirmation and edit link?

Signature of Certifying Official:



Signature of: Sharon Forrest

9. Report Submitted to EAC



Thank you. Your Annual (Oct 1 - Sept 30) progress report for Election Security has been submitted to the EAC. Please keep the PDF download of your submission as grant record.