

**FEDERAL FINANCIAL REPORT**

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted <b>ELECTION ASSISTANCE COMMISSION</b>	2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) <b>DE20101001</b>
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3. Recipient Organization (Name and complete address including Zip code)  
**ELECTIONS, DELAWARE DEPARTMENT OF  
905 S GOVERNERS AVE STE 170, DOVER, DE 199044112**

4a. DUNS Number	4b. EIN	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)	6. Report Type <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	7. Basis of Accounting <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual
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8. Project/Grant Period (Month, Day, Year) From: <b>March 28, 2018</b> To: <b>September 30, 2019</b>	9. Reporting Period End Date (Month, Day, Year) <b>September 30, 2020</b>
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**10. Transactions** Cumulative  
*(Use lines a-c for single or combined multiple grant reporting)*

**Federal Cash (To report multiple grants separately, also use FFR Attachment):**

a. Cash Receipts	\$6,036,503.00
b. Cash Disbursements	\$4,393,651.93
c. Cash on Hand (line a minus b)	\$1,642,851.07

*(Use lines d-o for single grant reporting)*

**Federal Expenditures and Unobligated Balance:**

d. Total Federal funds authorized	\$6,036,503.00
e. Federal share of expenditures	\$4,393,651.93
f. Federal share of unliquidated obligations	\$1,569,867.10
g. Total Federal share (sum of lines e and f)	\$5,963,519.03
h. Unobligated balance of Federal funds (line d minus g)	\$72,983.97

**Recipient Share:**

i. Total recipient share required	\$757,300.00
j. Recipient share of expenditures	\$10,333,685.25
k. Remaining recipient share to be provided (line i minus j)	\$0.00

**Program Income:**

l. Total Federal share of program income earned	\$0.00
m. Program income expended in accordance with the deduction alternative	\$0.00
n. Program income expended in accordance with the addition alternative	\$0.00
o. Unexpended program income (line l minus line m and line n)	\$0.00

11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
<b>g. Totals:</b>					\$0.00	\$0.00	\$0.00

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:  
State interest earned: \$0

**13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)**

a. Typed or Printed Name and Title of Authorized Certifying Official  <b>Sturgeon, Sommer</b> <b>FISCAL ADMINISTRATIVE OFFICER</b>	c. Telephone (Area code, number, and extension)  d. Email Address
b. Signature of Authorized Certifying Official <b>Sturgeon, Sommer</b>	e. Date Report Submitted (Month, Day, Year) <b>February 3, 2021</b>

Standard Form 425  
OMB Approval Number: 4040-0014  
Expiration Date: 02/28/2022

**Paperwork Burden Statement**  
According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

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(Additional Page)

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Federal Agency & Organization : ELECTION ASSISTANCE COMMISSION

Federal Grant ID : DE20101001

Recipient Organization : ELECTIONS, DELAWARE DEPARTMENT OF  
905 S GOVERNERS AVE STE 170, DOVER, DE 199044112:

DUNS Number :

DUNS Status when Certified :

EIN :

Reporting Period End Date : September 30, 2020

Status :

Remarks :

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**Federal Agency Review**

Reviewer Name :

Phone # :

Email :

Review Date :

Review Comments :

# EAC Progress Report

Response ID:182 Data

## 1. Login

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Please enter your userword and password to begin the Progress Narrative. If you require assistance or have any questions, please contact [grants@eac.gov](mailto:grants@eac.gov)

## 2. Verification

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## 3. EAC Progress Report

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### 1. State or Territory:

Delaware

### 2. Grant Number:

DE20101001

### 3. Report:

Annual (Oct 1 - Sept 30)

### 4. Grant:

Please select only one.

Election Security

### 5. Reporting Period Start Date

10/01/2019

### 6. Reporting Period End Date

09/30/2020

### 7. Recipient Organization:

#### Organization Name

Delaware Commissioner Of Elections

#### Street Address

905 S. Governors Ave, Ste. 170

**City**

Dover

**State**

DE

**Zip**

19904

## 4. Progress and Narrative

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### **8. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities.**

The Department realized that an important need to address was the physical security arrangements in our offices. This topic had been initially discussed in connection with the Department's participation as a members of a cross-agency task force of local, state, and federal agencies focused on elections security, convened under the auspices of the State's Department of Safety and Homeland Security. Under the auspices of this committee, a comprehensive security analysis was undertaken by Delaware State Police and Delaware Capitol Police (the agency charged with protection of the State Capitol and other key State facilities, in partnership with respective local police agencies). This study identified a host of security enhancements to be undertaken. These include a comprehensive network of internal and external security cameras and the monitoring and storage hardware and software to manage this system, a greatly expanded security access badge system, as well as physical security enhancements to Department facilities.

The security cameras provide coverage to reception/public areas of the offices, as well as areas where absentee and vote by mail ballots are prepared for mailing out, as well as where they are processed and prepared for tabulation upon return. The badge access systems also provide trackable records of access to facilities, both general access as well as access to more highly secured areas such as ballot preparation and return facilities.

Physical security enhancements made to the reception areas off facilities include installation of permanent and impenetrable banners in reception areas to protect staff from physical threats or breaches, as well as potential health threats. During this

reporting period we have expended approximately \$293,000 in HAVA funding for physical security.

The following cybersecurity enhancements and risk mitigation efforts are underway:

- **Elections Web Shielding/Web Protection:** Procure website protection and shielding services for each of the state's internet facing websites. These sites are used for voter registration, electronic ballot markings and elections reporting. Through the last presidential elections and the interim elections after that. The State of Delaware has experienced a steady increase in web attacks against its elections related websites. SQL injections and other such attacks are constantly being directed at our internet facing sites. This service will provide continuous vulnerability detection for those sites, web application firewall functionality and around the clock monitoring of attacks against those sites; 12 sites through the next election cycle.
- **Elections Security Incident and Event Management (SIEM)/Central Log Management with Security Monitoring:** The solutions associated with the State's abilities to deliver elections-related services to voters create a significant amount of log data. This data includes Active Directory authentication logs, Endpoint security (CrowdStrike system) logs, mainframe system logs, intrusion prevention and detection logs, and other associated web application activity logs. Managing this volume of logging activity, while essential, is overwhelming the state's capacity. As such, only 90 days of such logs have been able to be maintained for elections-related systems. However, the threat potential identified and to be addressed (various cyberattack vectors may extend over an entire two- or four-year election cycle, with attackers trying to break-in and wait to leverage the break-in during the elections season. Expanded timeframes for monitoring and assessing such threats provide superior levels of protection for voters.
- **Citizen Identity Proofing and Protection/Identity Management:** Most state election systems use information about the citizen to confirm their identity for voter registration, voter information updates and for even electronic and absentee ballot request validation. With recent compromises of organizations like Equifax and other major citizen information management organizations, citizen information is now readily on the dark web. These funds will assist the State to implement a more effective way to validate the identity of people accessing its elections systems electronically. An identity proofing solution will be implemented that can allow citizens/voters to take a picture of their government approved photo ID, then a picture of their face for the comparison between the two and the validation of that identity will ensure much strong confidence in the identity of the person accessing elections-related systems.

Funds from the HAVA grant have been utilized to carry out an Executive Order by the Delaware Governor permitting engagement of the Delaware National Guard (DNG) to undertake a comprehensive security review of Delaware's voting equipment. Cybersecurity experts from DNG reviewed Delaware's voting equipment and related systems to determine security protocols and provisions in place and have made recommendations for continued enhancements to system security to ensure the highest level of safeguards are in place for all components for the State's voting system. We have currently expended approximately \$1,100,000 in HAVA funding on cybersecurity enhancements and security enhancements to the state's voting system.

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**9. Describe any significant changes to your program during the project, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.**

**Otherwise enter N/A.**

No significant changes during this period.

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**10. Issues Encountered:**

**Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if applicable. Address each issue separately and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.**

**Otherwise enter N/A.**

NO ISSUES ENCOUNTERED

**Provide a description of any training conducted.**

**Otherwise enter N/A.**

**11. Provide a description of any security training conducted.**

**Otherwise enter N/A.**

Ongoing security training of all staff (50 full-time staff and approximately 20 temporary staff on general cybersecurity topics (e.g. device and account security/phishing avoidance/data hygiene).

**12. Subgrants (if applicable):**

**Describe how you made funds available to local jurisdictions.**

**Provide a description of the major categories of subgrant activities local voting districts will accomplish with the funds.**

**Otherwise enter N/A.**

N/A

**13. Match (if applicable):**

**Describe how you are meeting the matching requirement.**

**Otherwise enter - match not required.**

We are using our current budget to meet the required state match, and we are on target to have our state match completed prior to the December 2021 deadline.

## **5. Expenditures**

### **8. Current Period Amount Expended and Unliquidated Obligations**

#### **GRANT COST CATEGORIES**

	Federal	Match
Voting Equipment and Processes:	\$805,616.48	\$201,404.12
Post-Election Auditing:		
Voter Registration Systems:		
Cyber Security:	\$147,048.04	\$31,084.26
Communications:	\$45,538.01	\$13,941.54
Total	\$1,393,651.93	\$333,685.26
PHYSICAL SECURITY	\$351,595.01	\$77,686.25
COMPUTER EQUIPMENT	\$43,854.39	\$9,569.09

OMB CONTROL NUMBER: 3265-0020

**6. Certification**

Name and Contact of the authorized certifying official of the recipient.

**First Name**

SOMMER

**Last Name**

STURGEON

**Title**

FISCAL ADMINISTRATIVE OFFICER

**Phone Number**

**Email Address**

**Signature of Certifying Official:**



Signature of: SOMMER L STURGEON

## 7. Report Submitted to EAC

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**Thank you, your progress report has been submitted to EAC. Please keep the PDF download of your submission as grant record.**